

LITTELFUSE INC /DE
Form 4
September 20, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HUNTER GORDON

(Last) (First) (Middle)

8755 WEST HIGGINS ROAD

(Street)

CHICAGO, IL 60631

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LITTELFUSE INC /DE [LFUS]

3. Date of Earliest Transaction
(Month/Day/Year)
09/16/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman, President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common stock | 09/16/2016 | | M | | 10,000 A \$ 94.84 | 49,404 | D |
| Common stock | 09/16/2016 | | S | | 8,101 (1) D \$ 125.485 (2) | 41,303 | D |
| Common stock | 09/16/2016 | | S | | 1,808 (1) D \$ 126.28 (3) | 39,495 | D |
| Common stock | 09/16/2016 | | S | | 91 (1) D \$ 128.72 | 39,404 | D |
| Common stock | 09/19/2016 | | M | | 4,463 A \$ 94.84 | 43,867 | D |

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| | | | | | | | |
|--------------|------------|---|-----------------------------|---|------------------------------|--------|---|
| Common stock | 09/19/2016 | M | 5,537 | A | \$ 96.15 | 49,404 | D |
| Common stock | 09/19/2016 | S | <u>9,600</u> ⁽¹⁾ | D | \$ 126.464 ⁽⁴⁾ | 39,804 | D |
| Common stock | 09/19/2016 | S | 400 <u>(1)</u> | D | \$ 127.013 ⁽⁵⁾ | 39,404 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock option (right to buy) | \$ 94.84 | 09/16/2016 | | M | 10,000 | <u>(6)</u> 04/25/2021 | Common stock | 10,000 |
| Stock option (right to buy) | \$ 94.84 | 09/19/2016 | | M | 4,463 | <u>(6)</u> 04/25/2021 | Common stock | 4,463 |
| Stock option (right to buy) | \$ 96.15 | 09/19/2016 | | M | 5,537 | <u>(6)</u> 04/25/2022 | Common stock | 5,537 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HUNTER GORDON

8755 WEST HIGGINS ROAD X

CHICAGO, IL 60631

Chairman, President & CEO

Signatures

Mary S. Muchoney, by power of attorney

09/20/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was effected pursuant to a Rule 10b5-1 trading plan.

The shares were sold in multiple transactions at prices ranging from \$124.91 to \$125.90. This amount represents the weighted average

(2) sale price of such transactions. The reporting person undertakes to provide full information regarding the number of shares sold at each separate price upon request of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

The shares were sold in multiple transactions at prices ranging from \$125.92 to \$126.81. This amount represents the weighted average

(3) sale price of such transactions. The reporting person undertakes to provide full information regarding the number of shares sold at each separate price upon request of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

The shares were sold in multiple transactions at prices ranging from \$125.93 to \$126.88. This amount represents the weighted average

(4) sale price of such transactions. The reporting person undertakes to provide full information regarding the number of shares sold at each separate price upon request of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

The shares were sold in multiple transactions at prices ranging from \$126.97 to \$127.05. This amount represents the weighted average

(5) sale price of such transactions. The reporting person undertakes to provide full information regarding the number of shares sold at each separate price upon request of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

(6) The options vest in increments of one third annually beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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