### Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

### FIRST CITIZENS BANCSHARES INC /DE/

Form 4

December 02, 2015

December 02, 2	2015									
FORM 4	4		~					_	APPROVAL	
	UNITEDS	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							3235-0287	
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu See Instructi 1(b).  (Print or Type Res	Filed purs Section 17(a								January 31, 2005 I average ours per 0.5	
1. Name and Add				Name and	Ticker or Trading	g	5. Relationship	of Reporting Pe	erson(s) to	
HOLDING FRANK B			Symbol FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]							
(Last) POST OFFICE	· · ·	(	3. Date of (Month/Date) 11/30/20	•	ansaction		Director Officer (gives)		0% Owner ther (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SMITHFIELD	, NC 27577						Form filed by Person	More than One l	Reporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Securiti	ies Acq	uired, Disposed	of, or Benefici	ally Owned	
	Transaction Date  Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securities  Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) I	S H ) ( H T	5. Amount of Securities Beneficially Dwned Following Reported Fransaction(s) Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		

Security	(Monul/Day/Tear)	Execution Date, ii	Transactio	officquired (A) of	Securities	Form. Direct	manect
(Instr. 3)		any Code Disposed of (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		
				or	Transaction(s)		
			Code V	Amount (D) Price	(Instr. 3 and 4)		
Class A Common Stock				` /	685,038	I	By Frank B. Holding Revocable Trust
Class A Common Stock					191,761 <u>(1)</u>	I	By spouse
Class A Common Stock					566,125 (1)	I	By Ella Ann Holding Revocable Trust

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Class A Common Stock						12,530 (2)	I	By Twin States Farming, Inc.
Class A Common Stock						827 (2)	I	By E&F Properties, Inc.
Class A Common Stock						2,675 <u>(2)</u>	I	By Holding Properties, LLC
Class B Common Stock						4 (3)	I	By Frank B. Holding Revocable Trust
Class B Common Stock	11/30/2015	P	3,358	A	\$ 239	3,477 (1) (4)	I	By Ella Ann Holding Revocable Trust
Class B Common Stock						1,355 (2)	I	By Twin States Farming, Inc.
Class B Common Stock						200 (2)	I	By E&F Properties, Inc.
Class B Common Stock						2,156 <u>(2)</u>	I	By Holding Properties, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4, and 5)				

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Date Expiration Or Number Of Shares

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HOLDING FRANK B

POST OFFICE BOX 1377

X

# **Signatures**

SMITHFIELD, NC 27577

Frank B. Holding, By: William R. Lathan, Jr., Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The reporting person is or was a director, officer and/or shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (3) The shares of Class B Common Stock held by Frank B. Holding Revocable Trust were listed on previous reports as owned by the Reporting Person individually rather than in his revocable trust.
- Of the total number of shares of Class B Common Stock held by Ella Ann Holding Revocable Trust following the reported transaction, 119 shares were listed on previous reports as owned by the Reporting Person's spouse individually rather than in her revocable trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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