

TRUPANION INC.
Form 3
July 17, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting
Person *

LEVITAN DAN

(Last) (First) (Middle)

C/O TRUPANION, INC., 907
NW BALLARD WAY

(Street)

SEATTLE, WA 98107

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/17/2014

3. Issuer Name and Ticker or Trading Symbol
TRUPANION INC. [TRUP]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

126,231

I

By Maveron Equity Partners III,
L.P. ⁽¹⁾

Common Stock

5,356

I

By Maveron III Entrepreneurs?
Fund L.P. ⁽²⁾

Common Stock

17,307

I

By MEP Associates III, L.P. ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Convertible Preferred Stock	Â (4)	Â (4)	Common Stock	3,673,730 \$ (4)	I By Maveron Equity Partners III, L.P. (1)
Series A Convertible Preferred Stock	Â (4)	Â (4)	Common Stock	155,880 \$ (4)	I By Maveron III Entrepreneurs? Fund L.P. (2)
Series A Convertible Preferred Stock	Â (4)	Â (4)	Common Stock	503,720 \$ (4)	I By MEP Associates III, L.P. (3)
Series B Convertible Preferred Stock	Â (5)	Â (5)	Common Stock	1,210,920 \$ (5)	I By Maveron Equity Partners III, L.P. (1)
Series B Convertible Preferred Stock	Â (5)	Â (5)	Common Stock	51,364 \$ (5)	I By Maveron III Entrepreneurs? Fund L.P. (2)
Series B Convertible Preferred Stock	Â (5)	Â (5)	Common Stock	166,032 \$ (5)	I By MEP Associates III, L.P. (3)
Series C Convertible Preferred Stock	Â (6)	Â (6)	Common Stock	545,165 \$ (6)	I By Maveron Equity Partners III, L.P. (1)
Series C Convertible Preferred Stock	Â (6)	Â (6)	Common Stock	23,131 \$ (6)	I By Maveron III Entrepreneurs? Fund L.P. (2)
Series C Convertible Preferred Stock	Â (6)	Â (6)	Common Stock	74,750 \$ (6)	I By MEP Associates III, L.P. (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LEVITAN DAN C/O TRUPANION, INC. 907 NW BALLARD WAY SEATTLE, WA 98107	Â X	Â X	Â	Â

Signatures

/s/ Charlotte Sim-Warner as attorney-in-fact for Dan Levitan

07/17/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person, together with Clayton Lewis, Peter McCormick and Jason Stoffer, are members of Maveron General Partner III LLC ("Maveron LLC"), which is the general partner of Maveron Equity Partners III, L.P. ("Maveron Equity"), and may be deemed to

- (1) share voting and investment power over the securities held by Maveron Equity. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person, together with Clayton Lewis, Peter McCormick and Jason Stoffer, are members of Maveron LLC, which is the General Partner of Maveron III Entrepreneurs' Fund L.P. ("Maveron Entrepreneurs"), and may be deemed to share voting and investment

- (2) power over the securities held by Maveron Entrepreneurs. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person, together with Clayton Lewis, Peter McCormick and Jason Stoffer, are members of Maveron LLC, which is the General Partner of MEP Associates III, L.P. ("MEP"), and may be deemed to share voting and investment power over the securities held

- (3) by MEP. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (4) Each share of the issuer's Series A Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's initial public offering ("IPO") and has no expiration date.
- (5) Each share of the issuer's Series B Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's IPO and has no expiration date.
- (6) Each share of the issuer's Series C Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's IPO and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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