

HOME BANCSHARES INC
Form 5
February 07, 2014

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Ashley Richard H

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Amount | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------|-------|--|--|---|
| Common Stock - Restricted | Â | Â | Â | Â | Â | Â | Â | 2,666 ⁽²⁾ ⁽³⁾ ⁽⁶⁾ ₍₇₎ | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | Â | 1,197,252.9952 _{(6) (7)} | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | Â | 1,750 ⁽⁶⁾ | I | By Square Associates LLC |
| | Â | Â | Â | Â | Â | Â | Â | 3,668 ⁽⁶⁾ | I | By Wife IRA |

| | | | | | | | | | | |
|--------------|---|---|---|---|---|---|-------------------------------------|---|--|-----------------------------|
| Common Stock | | | | | | | | | | |
| Common Stock | Â | Â | Â | Â | Â | Â | 1,234,480 ⁽⁶⁾ | I | | By Conservative Development |
| Common Stock | Â | Â | Â | Â | Â | Â | 12,834.8 ⁽⁶⁾ | I | | By Wife |
| Common Stock | Â | Â | Â | Â | Â | Â | 7,391 ⁽⁴⁾ ⁽⁶⁾ | I | | By IRA |
| Common Stock | Â | Â | Â | Â | Â | Â | 544 ⁽⁶⁾ | I | | Custodian for children |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. De Se (In |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title | Amount or Number of Shares |
| Common Stock | \$ 8.6 ⁽⁵⁾ | Â | Â | Â | Â | Â ⁽¹⁾ 01/09/2018 | Common Stock | 2,376 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Ashley Richard H P.O. BOX 966 CONWAY, AR 72033 | Â X | Â | Â | Â |

Signatures

/s/Richard H. Ashley by Rachel
Wesson

02/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (2) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.
- (3) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (4) Includes 130.0124 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) The exercise price decreased as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
- (6) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
- (7) The reporting person had 666 shares (split adjusted) vest since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.