

White George Burton  
 Form 3  
 May 08, 2012

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

White George Burton  
 (Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)  
 05/01/2012

3. Issuer Name and Ticker or Trading Symbol  
 LPL Investment Holdings Inc. [LPLA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 MD, Chief Investment Officer

C/O LPL INVESTMENT HOLDINGS INC., ONE BEACON STREET, 22ND FLOOR

(Street)

BOSTON, MA 02108

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option to purchase Common Stock	Â (1)	12/07/2017	Common Stock	25,000	\$ 27.4	D	Â
Option to purchase Common Stock	Â (2)	02/12/2019	Common Stock	25,000	\$ 18.04	D	Â
Option to purchase Common Stock	Â (3)	09/14/2019	Common Stock	50,000	\$ 22.08	D	Â
Option to purchase Common Stock	Â (4)	03/15/2020	Common Stock	15,000	\$ 23.41	D	Â
Option to purchase Common Stock	Â (5)	12/22/2020	Common Stock	40,000	\$ 34.61	D	Â
Option to purchase Common Stock	Â (6)	02/09/2022	Common Stock	26,901	\$ 32.26	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
White George Burton C/O LPL INVESTMENT HOLDINGS INC. ONE BEACON STREET, 22ND FLOOR BOSTON, MA 02108	Â	Â	Â MD, Chief Investment Officer	Â

## Signatures

/s/ George Burton  
White

05/08/2012

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is currently exercisable as to 20,000 shares. The remaining award will become exercisable on December 7, 2012.
  - (2) This option is currently exercisable as to 15,000 shares. The remaining award will become exercisable in increments of 5,000 shares on each of February 12, 2013 and 2014.
  - (3) This option is currently exercisable as to 20,000 shares. The remaining award will become exercisable in increments of 10,000 shares on each of September 14, 2012, 2013 and 2014.
  - (4) This option is currently exercisable as to 6,000 shares. The remaining award will become exercisable in increments of 3,000 shares on each of March 15, 2013, 2014, and 2015.
  - (5) This option is currently exercisable as to 8,000 shares. The remaining award will become exercisable in increments of 8,000 shares on each of December 22, 2012, 2013, 2014, and 2015.
  - (6) This option is not currently exercisable as to any shares. The award will become exercisable in increments of 5,380 shares on each of February 9, 2013, 2014, 2015, 2016, and 2017.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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