

MEHTA DILIP J MD PHD
Form 3
July 01, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

MEHTA DILIP J MD PHD

(Last) (First) (Middle)

157 TECHNOLOGY DRIVE

(Street)

IRVINE, CA 92618

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/01/2010

3. Issuer Name and Ticker or Trading Symbol

SPECTRUM PHARMACEUTICALS INC [SPPI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock \$.001 Par Value

22,000

D

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Date Exercisable Expiration
Date Date

Title Amount or
Number of

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	Â (1)	09/12/2013	Common Stock	5,000	\$ 4.9	D	Â
Stock Option (Right to Buy)	Â (2)	07/10/2014	Common Stock	20,000	\$ 6.05	D	Â
Stock Option (Right to Buy)	Â (3)	01/03/2015	Common Stock	20,000	\$ 6.66	D	Â
Stock Option (Right to Buy)	Â (4)	12/06/2015	Common Stock	15,000	\$ 4.26	D	Â
Stock Option (Right to Buy)	Â (5)	02/20/2017	Common Stock	20,000	\$ 6.56	D	Â
Stock Option (Right to Buy)	06/26/2009 06/26/2019		Common Stock	10,000	\$ 6.09	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MEHTA DILIP J MD PHD 157 TECHNOLOGY DRIVE IRVINE,Â CAÂ 92618	Â X	Â	Â	Â

Signatures

/S/ Shyam
Kumaria 07/01/2010

__Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the option shares vested on September 12, 2003. The remaining option shares vested in equal annual amounts over 3 years. The option shares were fully vested on July 1, 2010.
- (2) The options shares vested in equal amounts every six months from July 10, 2004 over two years. The option shares were fully vested on July 1, 2010.
- (3) 25% of the option shares vested on January 3, 2005. The remaining option shares vested in equal annual amounts over 3 years. The option shares were fully vested on July 1, 2010.
- (4) 25% of the option shares vested on January 1, 2006. The remaining option shares vested in equal annual amounts every January 1st over three years. The option shares were fully vested on July 1, 2010.
- (5) 25% of the option shares vested on February 20, 2007. The remaining option shares vested in equal annual amounts over 3 years. The option shares were fully vested on July 1, 2010.

Â

Remarks:

ShyamÂ KumariaÂ onÂ behalfÂ ofÂ Dr.Â MehtaÂ byÂ PowerÂ ofÂ Attorney.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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