Edgar Filing: CARDIOGENESIS CORP /CA - Form 4

CARDIOGEN Form 4 May 22, 2009	ESIS CORP /C	ĊA								
FORM	Δ								PPROVAL	
Check this	UNITED	STATES		RITIES A			E COMMISSIO	N OMB Number:	3235-0287	
if no longer	r				DENIE			Expires:	January 31, 2005	
subject to Section 16. Form 4 or		IENT OI		SECUI		WNERSHIP OI	Estimated burden ho response.	average urs per		
Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 17(a) of the l	Public U	Itility Hol	ding C		ange Act of 1934, t of 1935 or Secti 1940	,	. 0.0	
(Print or Type Re	esponses)									
1. Name and Ad SLEPIAN MA	Person [*]	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
		CARDIOGENESIS CORP /CA [CGCP.PK]				(Check all applicable)				
(Last)				3. Date of Earliest Transaction (Month/Day/Year)			Officer (give titleOther (specify below) below)			
11 MUSICK			05/20/2009							
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
IRVINE, CA	92618						Person	More than One R	leporting	
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivati	ve Securities A	Acquired, Disposed	of, or Beneficia	ully Owned	
	. Transaction Date Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Dispose (Instr. 3	(A) or ed of (D) (A, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Repor	rt on a separate line	for each cl	ass of sec	urities bene	Pers info requ disp	sons who re rmation con uired to resp	or indirectly. spond to the colle itained in this forr oond unless the fo ently valid OMB co	n are not orm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	(A) or Dis (D) (Instr. 3, 4					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Stock Option (Right to Buy)	\$ 0.22	05/20/2009		A	50,000		<u>(1)</u>	05/20/2019	Common Stock	50,00
Stock Option (Right to Buy)	\$ 0.29	05/19/2008		D		7,500	(2)	05/19/2018	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.29	05/19/2008		А	7,500		(2)	05/19/2018	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.25	06/18/2007		D		7,500	(3)	06/18/2017	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.25	06/18/2007		А	7,500		(3)	06/18/2017	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.59	07/29/2005		D		7,500	(4)	07/29/2015	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.59	07/29/2005		А	7,500		<u>(4)</u>	07/29/2015	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.54	06/17/2004		D		7,500	(5)	06/17/2014	Common Stock	7,50
Stock Option (Right to Buy)	\$ 0.54	06/17/2004		А	7,500		(5)	06/17/2014	Common Stock	7,50
Stock Option (Right to	\$ 0.8	12/11/2003		D		22,500	(6)	12/11/2013	Common Stock	22,50

Buv)

Buy)

Reporting Owners

Reporting Owner Name / Address		Relationsh			
reporting o wher funct functions	Director	10% Owner	Officer	Other	
SLEPIAN MARVIN 11 MUSICK IRVINE, CA 92618	Х				
Signatures					
/s/ William R. Abbott by power	05/22/2009				
<u>**Signature of Rep</u>	orting Person	1			Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares subject to the option vest at 100% on the first anniversary of the grant date. (1)
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (2)and the grant of a replacement option. The option is fully vested
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (3)and the grant of a replacement option. The option is fully vested
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (4) and the grant of a replacement option. The option is fully vested
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (5) and the grant of a replacement option. The option is fully vested
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (6)and the grant of a replacement option. The option is fully vested

Remarks:

Exhibit 99.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.