**Doty David Daniel** Form 3 April 09, 2009

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

A Doty David Daniel

(First)

(Middle)

Statement

(Month/Day/Year)

04/06/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Ready Mix, Inc. [RMX]

4. Relationship of Reporting Person(s) to Issuer

Director

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

4602 E. THOMAS ROAD

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Other Person (give title below) (specify below)

10% Owner

Form filed by More than One

Reporting Person

PHOENIX. AZÂ 85018

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

Chief Financial Officer

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise

Price of

5. Derivative

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

Expiration Date Exercisable

Date

Amount or Title Number of Shares

Derivative Security

Direct (D) or Indirect (I) (Instr. 5)

Security:

1

### Edgar Filing: Doty David Daniel - Form 3

Employee stock options (right to buy)	(1)	11/11/2010	Common stock	6,750	\$ 12.5	D	Â
Employee stock options (right to buy)	(2)	11/30/2011	Common	10,000	\$ 10.35	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
noporous o mari muno i ridaress	Director	10% Owner	Officer	Other		
Doty David Daniel 4602 E. THOMAS ROAD PHOENIX Â AZÂ 85018	Â	Â	Chief Financial Officer	Â		

## **Signatures**

/s/ David D.
Doty

\*\*Signature of Reporting Person

O4/09/2009

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 1, 2005, the reporting person was granted 6,750 options to purchase common stock. The options vested in three equal installments of 2,250 options each on November 1, 2006, 2007 and 2008, respectively.
- On November 30, 2006, the reporting person was granted 10,000 options to purchase common stock. The options vested in three installments of 3,333, 3,333 and 3,334 options each on November 30, 2007, 2008 and 2009, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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