Edgar Filing: LAMPEN RICHARD - Form 4

Form 4											
March 19, 20 FORM Check this if no long subject to Section 16 Form 4 or Form 5 obligation	EMENT O	TES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 T OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES t to Section 16(a) of the Securities Exchange Act of 1934, the Public Utility Holding Company Act of 1935 or Section						OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5			
may conti <i>See</i> Instru- 1(b).	nue.			vestment	· ·						
(Print or Type R	esponses)										
LAMPEN RICHARD Sy				2. Issuer Name and Ticker or Trading Symbol LADENBURG THALMANN FINANCIAL SERVICES INC [LTS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 4400 BISCA BOULEVAE	(First) YNE RD, 12TH FLO	(Middle)	3. Date of (Month/D 03/18/20		ansaction			_X_ Director _X_ Officer (give below) Pres		• Owner er (specify	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
MIAMI, FL		(7 :)						Person		porting	
(City)	(State)	(Zip)		e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Executio any	on Date, if	3. Transactic Code (Instr. 8) Code V	on(A) or Dis (D)	posed	of 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/18/2009			Р	10,000	А	\$ 0.6	375,631	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
LAMPEN RICHARD 4400 BISCAYNE BOULEVARD 12TH FLOOR MIAMI, FL 33137	X		President and CEO					
Signatures								
/s/ Richard J. Lampen 03/18/	/2009							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.