

BROOKS AUTOMATION INC  
Form 4  
March 05, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BELLINI JOSEPH**

2. Issuer Name and Ticker or Trading Symbol  
**BROOKS AUTOMATION INC [BRKS]**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

**C/O BROOKS AUTOMATION, INC., 15 ELIZABETH DRIVE**

(Street)

**CHELMSFORD, MA 01824**

(City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/01/2007**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**President & COO**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				Code	V	Amount				(A) or (D)	Price
Common Stock	03/01/2007		M			23,458	A	\$ 10.25	52,961	D	
Common Stock	03/01/2007		M			28,355	A	\$ 10.25	81,046	D	
Common Stock	03/01/2007		S			51,813	D	\$ 15.5248	29,233	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 10.25	03/01/2007		M	23,458	<u>(1)</u> 03/24/2010	Common Stock	23,458
Non-Qualified Stock Option (Right to Buy)	\$ 10.25	03/01/2007		M	28,355	<u>(2)</u> 03/24/2010	Common Stock	28,355

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BELLINI JOSEPH C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824			President & COO	

## Signatures

/s/ Thomas S. Grilk, attorney-in-fact for Joseph M. Bellini

03/05/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- This option was granted on March 24, 2003 and became exercisable as follows: 5,575 shares vested on March 24, 2004; 1,394 shares vested on June 24, 2004; 1,393 shares vested on September 24, 2004; 1,394 shares vested on December 24, 2004; 2,439 shares vested on March 24, 2005; 2,439 shares vested on June 24, 2005; 2,439 shares vested on September 24, 2005; 2,439 shares vested on December 24, 2005; 2,439 shares vested on March 24, 2006; 2,439 shares vested on June 24, 2006; 2,439 shares vested on September 24, 2006; 2,439 shares vested on December 24, 2006; and 4,687 shares will vest on March 24, 2007.
- (1) This option was granted on March 24, 2003 and became exercisable as follows: 13,175 shares vested on March 24, 2004; 3,294 shares vested on June 24, 2004; 3,294 shares vested on September 24, 2004; 3,294 shares vested on December 24, 2004; 2,249 shares vested on March 24, 2005; 2,248 shares vested on June 24, 2005; 2,249 shares vested on September 24, 2005; 2,248 shares vested on December 24,

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2005; 2,249 shares vested on March 24, 2006; 2,248 shares vested on June 24, 2006; 2,249 shares vested on September 24, 2006; and 2,248 shares vested on December 24, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.