#### VALANJU SUBHASH S

Form 4 April 03, 2007

### FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * VALANJU SUBHASH S	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)	JOHNSON CONTROLS INC [JCI] 3. Date of Earliest Transaction (Month/Day/Year) 04/02/2007	(Check all applicable)			
5757 N. GREEN BAY AVENUE, P.O. BOX 591		Director 10% Owner Officer (give title Other (specifically) below)  Vice President and CIO			
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MILWAUKEE, WI 53201-0591		Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative Securities Acq	uired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/02/2007		A	20.998 A \$ 95.248	1 5,628.746 <u>(1)</u>	D	
Common Stock					6,460.41 (2)	Ι	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5.  Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Phantom Stock Units - Annual Incentive Plan	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	22,
Phantom Stock Units - Long-Term Incentive Plan	(3)					<u>(6)</u>	<u>(6)</u>	Common Stock	2,61
Phantom Stock Units/Excess Benefit Plan-Common	(3)					(8)	<u>(8)</u>	Common Stock	1,89
Stock Option	\$ 28.8907					11/18/2000	11/18/2008	Common Stock	10
Stock Option	\$ 29.2032					11/17/2001	11/17/2009	Common Stock	14
Stock Option	\$ 28.4219					11/15/2002	11/15/2010	Common Stock	30
Stock Option	\$ 40.115					11/14/2003	11/14/2011	Common Stock	22
Stock Option	\$ 40.2975					11/20/2004	11/20/2012	Common Stock	24
Stock Option	\$ 52.55					11/19/2005(10)	11/19/2013	Common Stock	24
Stock Option	\$ 61.69					11/17/2006(10)	11/17/2014	Common Stock	21
Stock Option	\$ 67.685					11/16/2007(10)	11/16/2015	Common Stock	20
Stock Option	\$ 71.895					10/02/2008(10)	10/02/2016	Common Stock	16

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VALANJU SUBHASH S
5757 N. GREEN BAY AVENUE
P.O. BOX 591
MILWAUKEE, WI 53201-0591

Vice
President
and CIO

#### **Signatures**

Arlene D. Gumm Attorney-In-Fact for Subhash S. Valanju 04/03/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 18.03 shares acquired through the reinvestment of dividends on March 30, 2007, at a price of \$94.4436 per share.
- The number of underlying securities is based on the stock fund balance on April 2, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a April 2, 2007, stock fund price of \$95.30 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (4) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's termination.
- (5) Includes 78.779 phantom stock units acquired through the reinvestment of dividends on March 30, 2007, at a price of \$94.62 per phantom unit.
- (6) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination.
- (7) Includes 9.076 phantom stock units acquired through the reinvestment of dividends on March 30, 2007, at a price of \$94.62 per phantom unit.
- (8) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (9) Includes 6.597 phantom stock units acquired through the reinvestment of dividends on March 30, 2007, at a price of \$94.62 per phantom unit.
- (10) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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