Edgar Filing: TRINITY INDUSTRIES INC - Form 4

#### TRINITY INDUSTRIES INC

Form 4

February 04, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1 0					me and Tic			<i>-</i>	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 2525 Stemmo	, , , ,	of Reporting Person,				M	Statement for onth/Day/Year /31/03	_					
									President & Cl Group	President & CEO Trinity Rail Group			
	(Street)							If Amendment,	7. Individual or Joint/Group Filin				
							Da	ate of Original	(Check Applica	(Check Applicable Line)			
Dallas, Texas	75207-2401							Ionth/Day/Year)	$\underline{\mathbf{X}}$ Form filed by One Reporting				
									Person				
										Form filed by More than One			
									Reporting Perso	Reporting Person			
(Cit	ty) (State)	T	able	I Non-E	<b>Derivat</b>	ive Se	curities Acquired, Disposed of, or Beneficially Owned						
1. Title of	2. Trans-	2A. Deemed	3. Trans	-	4. Securitie	es Acqu	iired	5. Amount of	6. Owner-	7. Nature of			
Security	action	Execution	action C	ode	(A) or Disposed of (I		f(D)	Securities	ship Form:	Indirect			
(Instr. 3)	Date	Date,	(Instr. 8	)				Beneficially	Direct (D)	Beneficial			
	(Month/ Day/	if any	Code	V	Amount	(A)	Price	Owned Follow-	or Indirect (I)	Ownership			
	Year)	(Month/Day/				or		ing Reported	(Instr. 4)	(Instr. 4)			
		Year)				(D)		Transactions(s)					
							(Instr. 3 & 4)						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially **FORM 4 (continued)**

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of		Date,	Code	Derivati	Me Ionth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative	(Month/	if any		Securiti	<b>X</b> ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	Day/	(Month/	(Instr.	Acquire	d			Following	ative	
		Year)	Day/	8)	(A) or				Reported	Security:	
			Year)		Dispose	d			Transaction(s)	Direct	
					of (D)				(Instr. 4)	(D)	
I		Ī							ľ		

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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			(Instr. 3, 4 & 5)									or Indirect (I) (Instr. 4)	
			Code	V (A		Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Trinity Stock Units	1 - for - 1	01/31/03	A		2	(1)		Common Stock	2	\$17.39	701	I	by Trust

Explanation of Responses:

(1) The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.

> By: /s/ Michael G. Fortado For: Michael E. Flannery

Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).