Stock Yards Bancorp, Inc.

Form 4 July 31, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * THOMPSON KATHY C			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Stock Yards Bancorp, Inc. [SYBT]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	X Director 10% Owner			
6804 FAIRWAY VIEW CT.		CT.	07/29/2014	_X_ Officer (give title Other (specify below) Sr. Executive Vice President			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
PROSPECT, KY 40059				Form filed by More than One Reporting Person			

THOST EC	71,111 10007		Person							
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/29/2014		Code V M	Amount 9,345	(D)	Price \$ 22.8095	42,098.1777 (2)	D		
Common Stock	07/29/2014		S	4,961	D	\$ 29.75	37,137.1777	D		
Common Stock	07/29/2014		F	3,369	D	\$ 29.68	33,768.1777	D		
Common Stock							18,848.9217	I	By ESOP/401k-fbo Kathy	

Thompson

#### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acq (A) Disp (D)	urities uired or posed of tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Option (Right to Buy)	\$ 22.8095	07/29/2014		M		9,345	06/14/2005	12/14/2014	Common Stock	9,34
Option (Right to Buy)	\$ 24.0667						07/17/2006	01/17/2016	Common Stock	14,70
Option (Right to Buy)	\$ 26.83						08/20/2007	02/20/2017	Common Stock	9,50
Stock Appreciation Right	\$ 22.14						02/17/2010	02/17/2019	Common Stock	5,50
Stock Appreciation Right	\$ 21.03						02/16/2011	02/16/2020	Common Stock	9,01
Stock Appreciation Right	\$ 23.76						03/15/2012	03/15/2021	Common Stock	6,11
Stock Appreciation Right	\$ 22.86						02/20/2013	02/20/2022	Common Stock	10,64
Stock Appreciation Right	\$ 29.05						02/18/2015	02/18/2024	Common Stock	8,78

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THOMPSON KATHY C

6804 FAIRWAY VIEW CT. X Sr. Executive Vice President

PROSPECT, KY 40059

#### **Signatures**

//Kathy C. 07/31/2014

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock option.
- (2) Includes shares acquired through dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3