#### Edgar Filing: SIMON NICHOLAS X - Form 4

| SIMON NI   | CHOLAS X                                |   |   |             |             |        |            |  |              |                             |  |               |              |
|--|---|---|---|-------------|-------------|--------|------------|--|--------------|-----------------------------|--|---------------|--------------|
| Form 4   |   |   |   |             |             |        |            |  |              |                             |  |               |              |
| August 29,   | 2012                                    |   |   |             |             |        |            |  |              |                             |  |               |              |
| FOR  | M 4                                     |   | <b>CEC</b>  |             |             |        | . Not      |  | <b></b>      | ON                          | /B APPF  | ROVAL         | -            |
| Washington, D.C. 20549   |   |   |   |             |             |        |            | ON   | OMB<br>Numb  | er:                         | 3235-0   |               |              |
|  | Check this box<br>if no longer          |   |   |             |             |        |            |  | _            | Expire                      | s: Ja  | anuary        | 231,<br>2005 |
| subject to STATEMENT OF CHANGES IN BENEFT<br>Section 16. SECURITIES<br>Form 4 or   |   |   |   |             |             | FICI   | AL OV      | WNERSHIP (   |              | ated average<br>n hours per |  |               |              |
| Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 |   |   |   |             |             |        |            |  |              |                             |  |               |              |
| (Print or Type   | e Responses)                            |   |   |             |             |        |            |  |              |                             |  |               |              |
| 1. Name and Address of Reporting Person <u>*</u><br>SIMON NICHOLAS X   |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>S Y BANCORP INC [SYBT] |             |             |        |            | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |              |                             |  |               |              |
| (Last)   | (First)                                 | (Middle)                                      | 3. Date   | of Earliest | Transaction | 1      |            | ((   | LIECK        | an appi                     | icable)  |               |              |
| 100 FRANK E. SIMON AVE.  |   |   | (Month/Day/Year)<br>08/27/2012  |             |             |        |            | X_ Director10% Owner<br>Officer (give titleOther (specify<br>below)below)  |              |                             |  |               |              |
| (Street)<br>SHEPHERDSVILLE, KY 40165   |   |   | 4. If Amendment, Date Original Filed(Month/Day/Year)                            |             |             |        |            | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |              |                             |  |               |              |
| SHEFTER  | DS VILLE, KT 4                          | 0105  |   |             |             |        |            | Person   |              |                             |  |               |              |
| (City)   | (State)                                 | (Zip)   | Ta  | ble I - Non | -Derivativ  | e Seci | ırities A  | cquired, Dispose   | ed of,       | or Bene                     | eficially (                                    | <b>)</b> wned |              |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deeme<br>Execution I<br>any<br>(Month/Day | Date, if Transaction(A) or<br>Code (Instr.                                      |             |             | sposed |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | Forn<br>Dire | ct (D)<br>direct            | 7. Nature<br>Benefici<br>Ownersh<br>(Instr. 4) | al<br>11p     | lirect       |
| Common<br>Stock  |   |   |   |             |             | (- )   |            | 9,246.7225   | D            |                             |  |               |              |
| Common<br>Stock  | 08/27/2012                              |   |   | Р           | 42.553      | A      | \$<br>23.5 | 8,136.689  | Ι            |                             | Trust-I<br>Deferre<br>Plan                     |               |              |
| Common<br>Stock  |   |   |   |             |             |        |            | 37,805   | Ι            |                             | By Pub<br>Printin<br>LLC                       |               |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

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# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 1                   |                    |                 |  | 8. Prio<br>Deriv<br>Secur<br>(Instr. |
|---|---|---|---|--|---|---------------------|--------------------|-----------------|--|--------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |                                      |
| Option<br>(Right to<br>Buy)                         | \$ 20.2476  |   |   |  |   | 04/21/2005          | 04/21/2014         | Common<br>Stock | 1,050                                  |                                      |

### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                                   |          | Relationsh |         |       |
|---|----------|------------|---------|-------|
|   | Director | 10% Owner  | Officer | Other |
| SIMON NICHOLAS X<br>100 FRANK E. SIMON AVE.<br>SHEPHERDSVILLE, KY 40165 | X        |            |         |       |
| Signatures  |          |            |         |       |
| //Nicholas X. 08/2<br>Simon   | 9/2012   |            |         |       |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\*\*Signature of

Reporting Person