Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

| Form 4 | ls Bancorp, Inc. | | | | | | | | | | | | |
|--|---|---------------|--|---------------------|------|-------------------|-------------------------------------|--|---|--------------------------|--|--|--|
| October 30 | | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | OMB | MB APPROVAL 3235-0287 | | | |
| | this box | | vv | ashing | gtoi | n, D.C. 2 | 20545 | y | | Number: | January 31, | | |
| if no lo subject | F CHA | | | | | VERSHIP OF | Expires: 200 F Estimated average | | | | | | |
| Section | SECURITIES | | | | | | | burden hours per | | | | | |
| Form 5 obligations may continue Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | | 0.5 | | | |
| <i>See</i> Instruction 1(b). 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | | |
| (Print or Type | e Responses) | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person * 2. Iss HEINTZMAN DAVID P Symbol | | | | | | nd Ticker | | - | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | Stock Yards Bancorp, Inc. [SYBT] | | | | | | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date (Month | | | Transactio | n | | X Director 10% Owner | | | | |
| | | | | 10/28/2014 | | | | | XOfficer (give titleOther (specify below) Chairman & CEO | | | | |
| | (Street) | | | | | Date Origin | nal | | 6. Individual or Jo | int/Group Fil | ing(Check | | |
| LOUISVI | LLE, KY 40206 | | Filed(M | led(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Та | ble I - I | Non | -Derivativ | e Sec | urities Acqu | | , or Beneficia | ally Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, ifTransactionor Disposed of (D)SeCode(Instr. 3, 4 and 5)Bety/Year)(Instr. 8)OvForFor | | | | | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| | | | | | | | (A) or | | Transaction(s) | (I) (Instr. 4) | | | |
| Common | | | | Code | V | Amount | (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock | 08/19/2014 | | | G | V | 170 | D | <u>(2)</u> | 99,493 | D | | | |
| Common Stock | 10/28/2014 | | | М | | 4,000 | А | <u>(1)</u> | 103,493 | D | | | |
| Common Stock | 10/28/2014 | | | S | | 4,000 | D | \$ 31.7164 | 99,493 | D | | | |
| Common Stock | | | | | | | | | 22,768.3094 | Ι | By 401k/ESOP - fbo David Heintman | | |
| | | | | | | | | | 4,041 | Ι | By Spouse | | |

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | onof D Secu Acq (A) Disp (D) | urities uired or posed of tr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|---|--|--------------------|---|---------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amour or Numbe of Shar |
| Option (Right to Buy) | \$ 22.8095 | 10/28/2014 | | М | | 4,000 | 06/14/2005 | 12/14/2014 | Common Stock | 4,00 |
| Option (Right to Buy) | \$ 24.0667 | | | | | | 07/17/2006 | 01/17/2016 | Common Stock | 31,50 |
| Option (Right to Buy) | \$ 26.83 | | | | | | 08/20/2007 | 02/20/2017 | Common Stock | 22,00 |
| Stock Appreciation Right | \$ 23.37 | | | | | | 08/19/2008 | 02/19/2018 | Common Stock | 13,50 |
| Stock Appreciation Right | \$ 22.14 | | | | | | 02/17/2010 | 02/17/2019 | Common Stock | 12,30 |
| Stock Appreciation Right | \$ 21.03 | | | | | | 02/16/2011 | 02/16/2020 | Common Stock | 17,55 |
| Stock Appreciation Right | \$ 23.76 | | | | | | 03/15/2012 | 03/15/2021 | Common Stock | 14,38 |
| Stock Appreciation | \$ 22.86 | | | | | | 02/20/2013 | 02/20/2022 | Common Stock | 24,27 |

| Right | | | | | |
|--------------------------------|----------|------------|------------|-----------------|-------|
| Stock Appreciation Right | \$ 22.89 | 02/19/2014 | 02/19/2023 | Common Stock | 16,67 |
| Stock Appreciation Right | \$ 29.05 | 02/18/2015 | 02/18/2024 | Common Stock | 19,32 |

Reporting Owners

| Reporting Owner Name / Add | ress | Relationships | | | | | | | |
|---|------------|---------------|----------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206 | Х | | Chairman & CEO | | | | | | |
| Signatures | | | | | | | | | |
| //David P. | | | | | | | | | |
| Heintzman | 10/30/2014 | | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of nonqualified stock option.
- (2) Gifted to charitable organization.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.