

ADVANCED SEMICONDUCTOR ENGINEERING INC  
Form 6-K  
August 07, 2013

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934

August 7, 2013

Commission File Number 001-16125

Advanced Semiconductor Engineering, Inc.  
( Exact name of Registrant as specified in its charter)

26 Chin Third Road  
Nantze Export Processing Zone  
Kaoshiung, Taiwan  
Republic of China  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Note: Regulation S-T Rule 101(b)(1) only permits the submission in paper of a Form 6-K if submitted solely to provide an attached annual report to security holders.

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Note: Regulation S-T Rule 101(b)(7) only permits the submission in paper of a Form 6-K if submitted to furnish a report or other document that the registrant foreign private issuer must furnish and make public under the laws of the jurisdiction in which the registrant is incorporated, domiciled or legally organized (the registrant's "home country"), or under the rules of the home country exchange on which the registrant's securities are traded, as long as the report or other document is not a press release, is not required to be and has not been distributed to the registrant's security holders, and, if discussing a material event, has already been the subject of a Form 6-K submission or other Commission filing on EDGAR.

Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes      No    X

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b):  
Not applicable

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Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

ADVANCED SEMICONDUCTOR ENGINEERING, INC.

Date: August 7, 2013

By: /s/ Joseph Tung  
Name: Joseph Tung  
Title: Chief Financial Officer

Advanced Semiconductor Engineering, Inc.

FOR IMMEDIATE RELEASE

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ADVANCED SEMICONDUCTOR ENGINEERING, INC.  
ANNOUNCES MONTHLY NET REVENUES

TAIPEI, TAIWAN, R.O.C., AUGUST 7, 2013 – ADVANCED SEMICONDUCTOR ENGINEERING, INC. (NYSE: ASX, TAIEX: 2311, “ASE” or the “Company”), announces its unaudited consolidated net revenues for July 2013.

CONSOLIDATED NET REVENUES (UNAUDITED)

(NT\$ Million)	Jul 2013	Jun 2013	Jul 2012	Sequential Change	YoY Change
Net Revenues	17,531	16,605	15,681	+5.6%	+11.8%

(US\$ Million)	Jul 2013	Jun 2013	Jul 2012	Sequential Change	YoY Change
Net Revenues	585	557	525	+5.0%	+11.5%

Starting from Feb. 1, 2010, Universal Scientific Industrial Co., Ltd.’s consolidated revenues were consolidated into ASE Inc.’s consolidated revenues. Net revenues for the ATM assembly test and material business (excluding USI) are as follows:

ATM NET REVENUES (UNAUDITED)

(NT\$ Million)	Jul 2013	Jun 2013	Jul 2012	Sequential Change	YoY Change
Net Revenues	12,185	12,204	11,017	-0.2%	+10.6%

(US\$ Million)	Jul 2013	Jun 2013	Jul 2012	Sequential Change	YoY Change
	407	409	369	-0.7%	+10.3%

Net  
Revenues

Safe Harbor Notice:

This press release contains "forward-looking statements" within the meaning of Section 27A of the United States Securities Act of 1933, as amended, and Section 21E of the United States Securities Exchange Act of 1934, as amended, including statements regarding our future results of operations and business prospects. Although these forward-looking statements, which may include statements regarding our future results of operations, financial condition or business prospects, are based on our own information and information from other sources we believe to be reliable, you should not place undue reliance on these forward-looking statements, which apply only as of the date of this press release. The words "anticipate", "believe", "estimate", "expect", "intend", "plan" and similar expressions, as relate to us, are intended to identify these forward-looking statements in this press release. Our actual results of operations, financial condition or business prospects may differ materially from those expressed or implied in these forward-looking statements for a variety of reasons, including risks associated with cyclical and market conditions in the semiconductor industry; demand for the outsourced semiconductor packaging and testing services we offer and for such outsourced services generally; the highly competitive semiconductor industry; our ability to introduce new packaging, interconnect materials and testing technologies in order to remain competitive; our ability to successfully integrate pending and future mergers and acquisitions; international business activities; our business strategy; general economic and political conditions, including the recent global financial crisis; possible disruptions in commercial activities caused by natural or human-induced disasters; our future expansion plans and capital expenditures; the strained relationship between the Republic of China and the People's Republic of China; fluctuations in foreign currency exchange rates; and other factors. For a discussion of these risks and other factors, please see the documents we file from time to time with the Securities and Exchange Commission, including our 2012 Annual Report on Form 20-F filed on April 24, 2013.

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New York Dormitory Authority, (Memorial Sloan-Kettering Cancer Center), 4.375%, 7/1/34<sup>(1)</sup>

9,325 9,906,601

Suffolk County Economic Development Corp., (Catholic Health Services of Long Island Obligated Group), 5.00%,  
7/1/28

5,890 6,347,653

**\$18,647,883**

**Housing 8.9%**

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New York City Housing Development Corp., 3.45%, 11/1/37

\$870 \$862,692

New York City Housing Development Corp., 3.80%, 11/1/37

885 897,213

New York City Housing Development Corp., 3.80%, 11/1/43

1,675 1,683,961

New York City Housing Development Corp., 4.05%, 11/1/41

2,030 2,075,147

New York City Housing Development Corp., 4.95%, 11/1/39

2,500 2,545,225

New York Housing Finance Agency, (FHLMC), (FNMA), (GNMA), 3.20%, 11/1/46

2,815 2,597,119

New York Housing Finance Agency, (FHLMC), (FNMA), (GNMA), 4.00%, 11/1/42

500 514,895

New York Housing Finance Agency, (FNMA), 3.95%, 11/1/37

1,000 1,027,780

New York Mortgage Agency, 3.55%, 10/1/33

995 1,004,343

New York Mortgage Agency, 3.60%, 10/1/34

3,295 3,336,880

Westchester County Local Development Corp., (Purchase Housing Corp. II), 5.00%, 6/1/47

1,500 1,664,865

**\$18,210,120**

**Industrial Development Revenue 1.3%**

New York Liberty Development Corp., (Goldman Sachs Group, Inc.), 5.25%, 10/1/35

\$640 \$796,352

New York Liberty Development Corp., (Goldman Sachs Group, Inc.), 5.50%, 10/1/37

1,440 1,862,078

**\$2,658,430**

**Insured Education 3.8%**

New York Dormitory Authority, (City University), (AMBAC), 5.25%, 7/1/30

\$50 \$58,785

New York Dormitory Authority, (City University), (AMBAC), 5.50%, 7/1/35

1,345 1,676,462

New York Dormitory Authority, (Educational Housing Services CUNY Student Housing), (AMBAC), 5.25%, 7/1/23

1,750 1,966,772

New York Dormitory Authority, (Pratt Institute), (AGC), Prerefunded to 7/1/19, 5.00%, 7/1/34

1,555 1,606,953

New York Dormitory Authority, (Pratt Institute), (AGC), Prerefunded to 7/1/19, 5.125%, 7/1/39

2,405 2,488,309

**\$7,797,281**

**Insured Electric Utilities 6.1%**

Long Island Power Authority, Electric System Revenue, (BHAC), Prerefunded to 4/1/19, 5.75%, 4/1/33

\$5,000 \$5,160,750

New York Power Authority, (BHAC), 4.50%, 11/15/47<sup>(1)</sup>

7,210 7,222,329

**\$12,383,079**

**Insured Escrowed/Prerefunded 5.2%**

Brentwood Union Free School District, (AGC), Prerefunded to 11/15/19, 4.75%, 11/15/23

\$2,290 \$2,390,256

Brentwood Union Free School District, (AGC), Prerefunded to 11/15/19, 5.00%, 11/15/24

2,390 2,502,689

Freeport, (AGC), Prerefunded to 10/15/18, 5.00%, 10/15/20

185 186,920



Freeport, (AGC), Prerefunded to 10/15/18, 5.00%, 10/15/21

195 197,024

Hoosic Valley Central School District, (AGC), Prerefunded to 6/15/19, 4.00%, 6/15/23

1,110 1,136,074

<b>Security</b>	<b>Principal Amount (000 s omitted)</b>	<b>Value</b>
Nassau County Sewer and Storm Water Finance Authority, (BHAC), Prerefunded to 11/1/18, 5.125%, 11/1/23	\$ 300	\$ 303,693
Nassau County Sewer and Storm Water Finance Authority, (BHAC), Prerefunded to 11/1/18, 5.375%, 11/1/28	3,835	3,885,354
		<b>\$ 10,602,010</b>
<b>Insured General Obligations 3.6%</b>		
East Northport Fire District, (AGC), 4.50%, 11/1/20	\$ 200	\$ 202,068
East Northport Fire District, (AGC), 4.50%, 11/1/21	200	202,036
East Northport Fire District, (AGC), 4.50%, 11/1/22	200	202,030
East Northport Fire District, (AGC), 4.50%, 11/1/23	200	202,030
Longwood Central School District, Suffolk County, (AGC), 4.15%, 6/1/23	820	821,607
Longwood Central School District, Suffolk County, (AGC), 4.25%, 6/1/24	860	861,772
Oyster Bay, (AGM), 4.00%, 8/1/28	4,585	4,817,230
		<b>\$ 7,308,773</b>
<b>Insured Lease Revenue/Certificates of Participation 2.7%</b>		
Ulster County Resource Recovery Agency, Solid Waste System, (AMBAC), 0.00%, 3/1/21	\$ 1,490	\$ 1,409,794
Ulster County Resource Recovery Agency, Solid Waste System, (AMBAC), 0.00%, 3/1/23	1,090	975,735
Ulster County Resource Recovery Agency, Solid Waste System, (AMBAC), 0.00%, 3/1/25	3,635	3,034,316
		<b>\$ 5,419,845</b>
<b>Insured Other Revenue 2.0%</b>		
New York City Transitional Finance Authority, (BHAC), 5.50%, 7/15/38	\$ 4,050	\$ 4,061,745
		<b>\$ 4,061,745</b>
<b>Insured Special Tax Revenue 2.4%</b>		
New York Thruway Authority, Miscellaneous Tax Revenue, (AMBAC), 5.50%, 4/1/20	\$ 2,175	\$ 2,321,856
Puerto Rico Infrastructure Financing Authority, (AMBAC), 0.00%, 7/1/36	3,000	1,085,520
Puerto Rico Sales Tax Financing Corp., (NPF), 0.00%, 8/1/45	6,705	1,533,098
		<b>\$ 4,940,474</b>
<b>Lease Revenue/Certificates of Participation 3.4%</b>		
Hudson Yards Infrastructure Corp., 5.00%, 2/15/42 <sup>(1)</sup>	\$ 6,000	\$ 6,846,300
		<b>\$ 6,846,300</b>

**Other Revenue 12.4%**

Battery Park City Authority, 5.00%, 11/1/34	\$	4,925	\$	5,146,674
Brooklyn Arena Local Development Corp., (Barclays Center), 0.00%, 7/15/31		4,900		3,003,847
Brooklyn Arena Local Development Corp., (Barclays Center), 5.00%, 7/15/42		1,000		1,098,210
New York City Transitional Finance Authority, (Building Aid), 5.00%, 7/15/32 <sup>(1)</sup>		10,000		11,416,100
New York Liberty Development Corp., (7 World Trade Center), 5.00%, 9/15/32		4,110		4,525,891
			\$	<b>25,190,722</b>

**Special Tax Revenue 25.8%**

Metropolitan Transportation Authority, Dedicated Tax Revenue, 5.00%, 11/15/31 <sup>(1)</sup>	\$	10,000	\$	11,128,600
New York City Transitional Finance Authority, Future Tax Revenue, 4.00%, 8/1/42		2,100		2,189,313
New York City Transitional Finance Authority, Future Tax Revenue, 5.00%, 2/1/35 <sup>(1)</sup>		10,000		10,690,000
New York City Transitional Finance Authority, Future Tax Revenue, 5.50%, 11/1/35 <sup>(1)</sup>		565		613,110
New York City Transitional Finance Authority, Future Tax Revenue, Prerefunded to 11/1/20, 5.50%, 11/1/35 <sup>(1)</sup>		435		471,414
New York Dormitory Authority, Personal Income Tax Revenue, 5.00%, 6/15/31 <sup>(1)</sup>		6,500		7,235,345
New York Dormitory Authority, Sales Tax Revenue, 5.00%, 3/15/34		6,600		7,376,886

<b>Security</b>	<b>Principal Amount (000 s omitted)</b>	<b>Value</b>
New York Thruway Authority, Miscellaneous Tax Revenue, Prerefunded to 4/1/20, 5.00%, 4/1/26	\$ 2,370	\$ 2,506,749
Sales Tax Asset Receivables Corp., 5.00%, 10/15/30 <sup>(1)</sup>	8,900	10,258,674
		<b>\$ 52,470,091</b>
<b>Transportation 18.9%</b>		
Metropolitan Transportation Authority, 4.00%, 11/15/42	\$ 7,030	\$ 7,237,948
Nassau County Bridge Authority, 5.00%, 10/1/35	1,565	1,658,274
Nassau County Bridge Authority, 5.00%, 10/1/40	300	317,340
New York Thruway Authority, 4.00%, 1/1/36	2,500	2,635,375
New York Thruway Authority, 5.00%, 1/1/37	7,240	7,849,608
Port Authority of New York and New Jersey, 5.00%, 10/15/35 <sup>(1)</sup>	8,000	9,112,480
Port Authority of New York and New Jersey, 5.00%, 10/15/41 <sup>(1)</sup>	5,000	5,646,200
Triborough Bridge and Tunnel Authority, 5.00%, 11/15/38 <sup>(1)</sup>	4,045	4,092,407
		<b>\$ 38,549,632</b>
<b>Water and Sewer 5.4%</b>		
Albany, Municipal Water Finance Authority, 5.00%, 12/1/26	\$ 755	\$ 830,100
Albany, Municipal Water Finance Authority, 5.00%, 12/1/29	500	549,215
New York City Municipal Water Finance Authority, (Water and Sewer System), Prerefunded to 6/15/21, 5.00%, 6/15/44 <sup>(1)</sup>	8,750	9,556,137
		<b>\$ 10,935,452</b>
<b>Senior Living/Life Care 0.8%</b>		
Brookhaven Local Development Corp., (Jeffersons Ferry), 5.00%, 11/1/24	\$ 135	\$ 153,534
Brookhaven Local Development Corp., (Jeffersons Ferry), 5.25%, 11/1/25	325	377,874
Brookhaven Local Development Corp., (Jeffersons Ferry), 5.25%, 11/1/26	200	234,382
Brookhaven Local Development Corp., (Jeffersons Ferry), 5.25%, 11/1/36	845	962,768
		<b>\$ 1,728,558</b>
<b>Total Tax-Exempt Investments 169.2%</b> <b>(identified cost \$332,940,693)</b>		<b>\$ 344,692,901</b>
<b>Other Assets, Less Liabilities (69.2)%</b>		<b>\$ (140,949,559)</b>
<b>Net Assets 100.0%</b>		<b>\$ 203,743,342</b>

The percentage shown for each investment category in the Portfolio of Investments is based on net assets.

The Fund invests primarily in debt securities issued by New York municipalities. The ability of the issuers of the debt securities to meet their obligations may be affected by economic developments in a specific industry or municipality. In order to reduce the risk associated with such economic developments, at June 30, 2018, 15.2% of total investments are backed by bond insurance of various financial institutions and financial guaranty assurance agencies. The aggregate percentage insured by an individual financial institution or financial guaranty assurance agency ranged from 0.4% to 6.0% of total investments.

- (1) Security represents the municipal bond held by a trust that issues residual interest bonds.

**Abbreviations:**

AGC	- Assured Guaranty Corp.
AGM	- Assured Guaranty Municipal Corp.
AMBAC	- AMBAC Financial Group, Inc.
BHAC	- Berkshire Hathaway Assurance Corp.
FHLMC	- Federal Home Loan Mortgage Corp.
FNMA	- Federal National Mortgage Association
GNMA	- Government National Mortgage Association
NPFG	- National Public Finance Guaranty Corp.

The Fund did not have any open derivative instruments at June 30, 2018.

Under generally accepted accounting principles for fair value measurements, a three-tier hierarchy to prioritize the assumptions, referred to as inputs, is used in valuation techniques to measure fair value. The three-tier hierarchy of inputs is summarized in the three broad levels listed below.

Level 1 quoted prices in active markets for identical investments

Level 2 other significant observable inputs (including quoted prices for similar investments, interest rates, prepayment speeds, credit risk, etc.)

Level 3 significant unobservable inputs (including a fund's own assumptions in determining the fair value of investments)

In cases where the inputs used to measure fair value fall in different levels of the fair value hierarchy, the level disclosed is determined based on the lowest level input that is significant to the fair value measurement in its entirety. The inputs or methodology used for valuing securities are not necessarily an indication of the risk associated with investing in those securities.

At June 30, 2018, the hierarchy of inputs used in valuing the Fund's investments, which are carried at value, were as follows:

<b>Asset Description</b>	<b>Level 1</b>	<b>Level 2</b>	<b>Level 3</b>	<b>Total</b>
Tax-Exempt Investments	\$	\$ 344,692,901	\$	\$ 344,692,901
<b>Total Investments</b>	<b>\$</b>	<b>\$ 344,692,901</b>	<b>\$</b>	<b>\$ 344,692,901</b>

At June 30, 2018, there were no investments transferred between Level 1 and Level 2 during the fiscal year to date then ended.

For information on the Fund's policy regarding the valuation of investments and other significant accounting policies, please refer to the Fund's most recent financial statements included in its semiannual or annual report to shareholders.

**Proposed Plan of Reorganization**

In April 2018, the Trustees of the Fund approved an Agreement and Plan of Reorganization (the Agreement) whereby the Fund would acquire substantially all the assets and assume substantially all the liabilities of Eaton Vance New York Municipal Bond Fund II (New York Fund II) in exchange for common shares of the Fund. The proposed reorganization is subject to approval by the shareholders of New York Fund II.

**Item 2. Controls and Procedures**

(a) It is the conclusion of the registrant's principal executive officer and principal financial officer that the effectiveness of the registrant's current disclosure controls and procedures (such disclosure controls and procedures having been evaluated within 90 days of the date of this filing) provide reasonable assurance that the information required to be disclosed by the registrant on this Form N-Q has been recorded, processed, summarized and reported within the time period specified in the Commission's rules and forms and that the information required to be disclosed by the registrant on this Form N-Q has been accumulated and communicated to the registrant's principal executive officer and principal financial officer in order to allow timely decisions regarding required disclosure.

(b) There have been no changes in the registrant's internal controls over financial reporting during the fiscal quarter for which the report is being filed that have materially affected, or are reasonably likely to materially affect the registrant's internal control over financial reporting.

**Item 3. Exhibits**

A separate certification for each principal executive officer and principal financial officer of the registrant as required by Rule 30a-2(a) under the 1940 Act is attached hereto.



**Signatures**

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Eaton Vance New York Municipal Bond Fund

By: /s/ Payson F. Swaffield  
Payson F. Swaffield  
President

Date: August 21, 2018

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, this report has been signed below by the following persons on behalf of the registrant and in the capacities and on the dates indicated.

By: /s/ Payson F. Swaffield  
Payson F. Swaffield  
President

Date: August 21, 2018

By: /s/ James F. Kirchner  
James F. Kirchner  
Treasurer

Date: August 21, 2018