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SWISS HELVETIA FUND, INC.

Form N-PX

August 24, 2017

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM N-PX

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ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-05128

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The Swiss Helvetia Fund, Inc.  
(Exact name of registrant as specified in charter)

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7 Bryant Park  
New York, New York 10018  
(Address of principal executive offices) (Zip code)

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With copy to:

Mark Hemenetz  
Schroders Investment Management North America Inc.  
7 Bryant Park  
New York, New York 10018  
(Name and Address of Agent for Service)

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Registrant's telephone number, including area code: (800) 730-2932

Date of fiscal year end: December 31

Date of reporting period: July 1, 2016 to June 30, 2017

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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 ((S) (S) 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

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A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. (S) 3507.

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Item 1. Proxy Voting Record

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-05128  
Reporting Period: 07/01/2016 - 06/30/2017  
Swiss Helvetia Fund, Inc

===== Swiss Helvetia Fund, Inc =====

ACTELION LTD.

Ticker: ATLN Security ID: H0032X135  
Meeting Date: APR 05, 2017 Meeting Type: Annual  
Record Date:

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Accept Financial Statements and Statutory Reports      | For     | For       | Management |
| 1.2  | Approve Remuneration Report                            | For     | Against   | Management |
| 2    | Approve Allocation of Income and Omission of Dividends | For     | For       | Management |
| 3    | Approve Discharge of Board and Senior Management       | For     | For       | Management |
| 4.1a | Reelect Jean-Pierre Garnier as Director                | For     | For       | Management |
| 4.1b | Reelect Jean-Paul Clozel as Director                   | For     | For       | Management |
| 4.1c | Reelect Juhani Anttila as Director                     | For     | For       | Management |
| 4.1d | Reelect Robert Bertolini as Director                   | For     | For       | Management |
| 4.1e | Reelect John Greisch as Director                       | For     | For       | Management |
| 4.1f | Reelect Peter Gruss as Director                        | For     | For       | Management |
| 4.1g | Reelect Michael Jacobi as Director                     | For     | For       | Management |
| 4.1h | Reelect Jean Malo as Director                          | For     | For       | Management |
| 4.1i | Reelect David Stout as Director                        | For     | For       | Management |
| 4.1j | Reelect Herna Verhagen as Director                     | For     | For       | Management |

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|      |   |     |         |            |
|------|---|-----|---------|------------|
| 4.2  | Elect Jean-Pierre Garnier as Board Chairman   | For | For     | Management |
| 4.3a | Appoint Herna Verhagen as Member of the Compensation Committee                                  | For | For     | Management |
| 4.3b | Appoint Jean-Pierre Garnier as Member of the Compensation Committee                             | For | For     | Management |
| 4.3c | Appoint John Griesch as Member of the Compensation Committee                                    | For | For     | Management |
| 5.1a | Elect Ludo Ooms as Director   | For | For     | Management |
| 5.1b | Elect Claudio Cescato as Director   | For | For     | Management |
| 5.1c | Elect Andrea Ostinelli as Director  | For | For     | Management |
| 5.1d | Elect Pascal Hoorn as Director  | For | For     | Management |
| 5.1e | Elect Julian Bertschinger as Director   | For | For     | Management |
| 5.2  | Elect Ludo Ooms as Board Chairman   | For | For     | Management |
| 5.3a | Appoint Claudio Cescato as Member of the Compensation Committee                                 | For | For     | Management |
| 5.3b | Appoint Andrea Ostinelli as Member of the Compensation Committee                                | For | For     | Management |
| 5.3c | Appoint Pascal Hoorn as Member of the Compensation Committee                                    | For | For     | Management |
| 6    | Approve Issuance of Shares in Idorsia Ltd. to Actelion Shareholders in Connection with Spin-Off | For | For     | Management |
| 7    | Designate BDO AG as Independent Proxy   | For | For     | Management |
| 8    | Ratify Ernst & Young AG as Auditors   | For | For     | Management |
| 9    | Approve CHF 681,000 Reduction in Share Capital via Cancellation of Repurchased Shares           | For | For     | Management |
| 10   | Transact Other Business (Voting)  | For | Against | Management |

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### ADECCO GROUP AG

Ticker: ADEN Security ID: H00392318  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 1.2   | Approve Remuneration Report  | For     | For       | Management |
| 2.1   | Approve Allocation of Income and Dividends of CHF 1.50 per Share                               | For     | For       | Management |
| 2.2   | Approve EUR 154 Million Reduction in Share Capital and Capital Repayment of EUR 0.90 per Share | For     | For       | Management |
| 2.3   | Amend Articles Re: Threshold to Submit Items to Agenda   | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 4.1   | Approve Remuneration of Directors in the Amount of CHF 4.7 Million                             | For     | Against   | Management |
| 4.2   | Approve Remuneration of Executive Committee in the Amount of CHF 34.8 Million                  | For     | For       | Management |
| 5.1.1 | Reelect Rolf Doerig as Director and as   | For     | Against   | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
|       | Board Chairman  |     |         |            |
| 5.1.2 | Reelect Jean-Christophe Deslarzes as Director                             | For | For     | Management |
| 5.1.3 | Reelect Alexander Gut as Director   | For | For     | Management |
| 5.1.4 | Reelect Didier Lamouche as Director                                       | For | For     | Management |
| 5.1.5 | Reelect David Prince as Director  | For | For     | Management |
| 5.1.6 | Reelect Wanda Rapaczynski as Director                                     | For | For     | Management |
| 5.1.7 | Reelect Kathleen Taylor as Director                                       | For | For     | Management |
| 5.1.8 | Elect Ariane Gorin as Director  | For | For     | Management |
| 5.2.1 | Appoint Alexander Gut as Member of the Compensation Committee             | For | For     | Management |
| 5.2.2 | Appoint Jean-Christophe Deslarzes as Member of the Compensation Committee | For | For     | Management |
| 5.2.3 | Appoint Wanda Rapaczynski as Member of the Compensation Committee         | For | For     | Management |
| 5.2.4 | Appoint Kathleen Taylor as Member of the Compensation Committee           | For | For     | Management |
| 5.3   | Designate Andreas Keller as Independent Proxy                             | For | For     | Management |
| 5.4   | Ratify Ernst & Young AG as Auditors                                       | For | For     | Management |
| 6.1   | Approve Partial Cancellation of Conditional Capital                       | For | For     | Management |
| 6.2   | Approve Creation of CHF 855,780 Pool of Capital without Preemptive Rights | For | For     | Management |
| 7     | Establish Range for Board Size  | For | Against | Management |
| 8     | Change Location of Registered Office/Headquarters to Zurich, Switzerland  | For | For     | Management |
| 9     | Transact Other Business (Voting)  | For | Against | Management |

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AIROPACK TECHNOLOGY GROUP AG

Ticker: AIRN Security ID: H0107G108  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                               | For     | For       | Management |
| 2     | Approve Treatment of Net Loss   | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                                | For     | For       | Management |
| 4.1.1 | Reelect Antoine Kohler as Director  | For     | For       | Management |
| 4.1.2 | Reelect Quint Kelders as Director   | For     | For       | Management |
| 4.1.3 | Reelect Attila Tamer as Director  | For     | For       | Management |
| 4.1.4 | Reelect Okko Filius as Director   | For     | For       | Management |
| 4.1.5 | Reelect Robert Seminara as Director   | For     | For       | Management |
| 4.1.6 | Reelect Ralf Ackermann as Director  | For     | For       | Management |
| 4.2   | Elect Christophe Villemin as Director   | For     | For       | Management |
| 4.3   | Reelect Antoine Kohler as Board Chairman  | For     | For       | Management |
| 4.4.1 | Reappoint Antoine Kohler as Member of the Nomination and Compensation Committee | For     | For       | Management |
| 4.4.2 | Reappoint Okko Filius as Member of the  | For     | For       | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 4.5 | Nomination and Compensation Committee<br>Appoint Christophe Villemin as Member of the Nomination and Compensation Committee | For | For     | Management |
| 5   | Approve Remuneration of Directors in the Amount of CHF 200,000  | For | Against | Management |
| 6   | Approve Remuneration of Executive Committee in the Amount of CHF 2 Million  | For | Against | Management |
| 7   | Ratify BDO AG as Auditors   | For | For     | Management |
| 8   | Designate Daniel Bill as Independent Proxy  | For | For     | Management |
| 9.1 | Approve Renewal of CHF 8 Million Authorized Capital Pool without Preemptive Rights  | For | For     | Management |
| 9.2 | Increase Conditional Capital Pool to Guarantee Option Conversion Rights to CHF 15.2 Million                                 | For | Against | Management |
| 9.3 | Approve Creation of CHF 5.3 Million Pool of Capital to Guarantee Option Rights of the Apollo Funds                          | For | For     | Management |
| 10  | Transact Other Business (Voting)  | For | Against | Management |

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### ARYZTA AG

Ticker: ARYN Security ID: H0336B110

Meeting Date: DEC 13, 2016 Meeting Type: Annual

Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports                          | For     | For       | Management |
| 1.2   | Approve Remuneration Report  | For     | For       | Management |
| 2.1   | Approve Allocation of Income   | For     | For       | Management |
| 2.2   | Approve Dividends of CHF 0.57 per Share from Capital Contribution Reserves | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                           | For     | For       | Management |
| 4.1.1 | Elect Gary McGann as Director and Chairman                                 | For     | For       | Management |
| 4.1.2 | Elect Charles Adair as Director  | For     | For       | Management |
| 4.1.3 | Reelect Dan Flinter as Director  | For     | For       | Management |
| 4.1.4 | Reelect Annette Flynn as Director  | For     | For       | Management |
| 4.1.5 | Reelect Owen Killian as Director   | For     | For       | Management |
| 4.1.6 | Reelect Andrew Morgan as Director  | For     | For       | Management |
| 4.1.7 | Reelect Wolfgang Werle as Director   | For     | For       | Management |
| 4.1.8 | Elect Rolf Watter as Director  | For     | For       | Management |
| 4.2.1 | Appoint Charles Adair as Member of the Compensation Committee              | For     | For       | Management |
| 4.2.2 | Appoint Gary McGann as Member of the Compensation Committee                | For     | For       | Management |
| 4.2.3 | Appoint Rolf Watter as Member of the Compensation Committee                | For     | For       | Management |
| 4.3   | Ratify PricewaterhouseCoopers AG as  | For     | For       | Management |

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|     |  |     |         |            |
|-----|--|-----|---------|------------|
|     | Auditors   |     |         |            |
| 4.4 | Designate Patrick O'Neill as Independent Proxy                                 | For | For     | Management |
| 5.1 | Approve Remuneration of Directors in the Amount of CHF 1 Million               | For | For     | Management |
| 5.2 | Approve Remuneration of Executive Committee in the Amount of CHF 15.05 Million | For | For     | Management |
| 6   | Transact Other Business (Voting)   | For | Against | Management |

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BALOISE HOLDING

Ticker: BALN Security ID: H04530202  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date:

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|------|---|---------|-----------|------------|
| 1    | Accept Financial Statements and Statutory Reports                                     | For     | For       | Management |
| 2    | Approve Discharge of Board and Senior Management                                      | For     | For       | Management |
| 3    | Approve Allocation of Income and Dividends of CHF 5.20 per Share                      | For     | For       | Management |
| 4.1  | Approve CHF 120,000 Reduction in Share Capital via Cancellation of Repurchased Shares | For     | For       | Management |
| 4.2  | Approve Creation of CHF 500,000 Pool of Capital without Preemptive Rights             | For     | For       | Management |
| 5.1a | Reelect Andreas Burckhardt as Director and Board Chairman                             | For     | For       | Management |
| 5.1b | Reelect Andreas Beerli as Director  | For     | For       | Management |
| 5.1c | Reelect Georges-Antoine de Boccard as Director  | For     | For       | Management |
| 5.1d | Reelect Christoph Gloor as Director   | For     | For       | Management |
| 5.1e | Reelect Karin Keller-Sutter as Director   | For     | For       | Management |
| 5.1f | Reelect Werner Kummer as Director   | For     | For       | Management |
| 5.1g | Reelect Hugo Lasat as Director  | For     | For       | Management |
| 5.1h | Reelect Thomas Pleines as Director  | For     | For       | Management |
| 5.1i | Reelect Marie-Noelle Venturi-Zen-Ruffinen as Director                                 | For     | For       | Management |
| 5.1j | Elect Thomas von Planta as Director   | For     | For       | Management |
| 5.2a | Appoint Georges-Antoine de Boccard as Member of the Compensation Committee            | For     | For       | Management |
| 5.2b | Appoint Karin Keller-Sutter as Member of the Compensation Committee                   | For     | For       | Management |
| 5.2c | Appoint Thomas Pleines as Member of the Compensation Committee                        | For     | For       | Management |
| 5.2d | Appoint Marie-Noelle Venturi-Zen-Ruffinen as Member of the Compensation Committee     | For     | For       | Management |
| 5.3  | Designate Christophe Sarasin as Independent Proxy                                     | For     | For       | Management |
| 5.4  | Ratify Ernst & Young AG as Auditors   | For     | For       | Management |
| 6.1  | Approve Remuneration of Directors in the Amount of CHF 3.3 Million                    | For     | For       | Management |
| 6.2a | Approve Fixed Remuneration of Executive Committee in the Amount of                    | For     | For       | Management |

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|      |   |     |         |            |
|------|---|-----|---------|------------|
|      | CHF 4 Million   |     |         |            |
| 6.2b | Approve Variable Remuneration of Executive Committee in the Amount of CHF 4.7 Million | For | For     | Management |
| 7    | Transact Other Business (Voting)  | For | Against | Management |

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BASILEA PHARMACEUTICA AG

Ticker: BSLN Security ID: H05131109  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date:

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 1b | Approve Variable Remuneration of Executive Committee for Fiscal 2016 (Non-Binding)           | For     | For       | Management |
| 2  | Approve Treatment of Net Loss  | For     | For       | Management |
| 3  | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 4a | Reelect Daniel Lew as Director   | For     | For       | Management |
| 4b | Reelect Martin Nicklasson as Director  | For     | For       | Management |
| 4c | Reelect Thomas Rinderknecht as Director  | For     | For       | Management |
| 4d | Reelect Domenico Scala as Director and Board Chairman  | For     | For       | Management |
| 4e | Reelect Steven Skolsky as Director   | For     | For       | Management |
| 4f | Reelect Thomas Werner as Director  | For     | For       | Management |
| 4g | Elect Nicole Onetto as Director  | For     | For       | Management |
| 5a | Appoint Martin Nicklasson as Member of the Compensation Committee                            | For     | For       | Management |
| 5b | Appoint Steven Skolsky as Member of the Compensation Committee                               | For     | For       | Management |
| 5c | Appoint Thomas Werner as Member of the Compensation Committee                                | For     | For       | Management |
| 6a | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 1.7 Million          | For     | For       | Management |
| 6b | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 3.2 Million   | For     | For       | Management |
| 6c | Approve Variable Remuneration of Executive Committee in the Amount of CHF 5.1 Million        | For     | For       | Management |
| 7  | Designate Caroline Cron as Independent Proxy   | For     | For       | Management |
| 8  | Ratify PricewaterhouseCoopers AG as Auditors   | For     | For       | Management |
| 9  | Increase Existing Capital Pool without Preemptive Rights from CHF 1 Million to CHF 2 Million | For     | Against   | Management |
| 10 | Transact Other Business (Voting)   | For     | Against   | Management |

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BELIMO HOLDING AG

Ticker: BEAN Security ID: H07171103  
 Meeting Date: APR 03, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                               | For     | For       | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 75 per Share                  | For     | For       | Management |
| 3     | Approve Remuneration Report   | For     | For       | Management |
| 4     | Approve Discharge of Board and Senior Management                                | For     | For       | Management |
| 5.1.1 | Reelect Adrian Altenburger as Director  | For     | For       | Management |
| 5.1.2 | Reelect Patrick Burkhalter as Director  | For     | For       | Management |
| 5.1.3 | Reelect Martin Hess as Director   | For     | For       | Management |
| 5.1.4 | Reelect Hans Peter Wehrli as Director   | For     | For       | Management |
| 5.1.5 | Reelect Martin Zwyssig as Director  | For     | For       | Management |
| 5.2.1 | Reelect Hans Peter Wehrli as Board Chairman                                     | For     | For       | Management |
| 5.2.2 | Reelect Martin Zwyssig as Deputy Chairman                                       | For     | For       | Management |
| 5.3.1 | Appoint as Adrian Altenburger Member and Chairman of the Compensation Committee | For     | For       | Management |
| 5.3.2 | Appoint as Patrick Burkhalter Member of the Compensation Committee              | For     | For       | Management |
| 5.3.3 | Appoint Martin Hess as Member of the Compensation Committee                     | For     | For       | Management |
| 5.4   | Designate Proxy Voting Services GmbH as Independent Proxy                       | For     | For       | Management |
| 5.5   | Ratify KPMG AG as Auditors  | For     | For       | Management |
| 6.1   | Approve Remuneration of Directors in the Amount of CHF 800,000                  | For     | For       | Management |
| 6.2   | Approve Remuneration of Executive Committee in the Amount of CHF 4.36 Million   | For     | For       | Management |
| 7     | Transact Other Business (Voting)  | For     | Against   | Management |

BUCHER INDUSTRIES AG

Ticker: BUCN Security ID: H10914176  
 Meeting Date: APR 19, 2017 Meeting Type: Annual  
 Record Date:

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|------|---|---------|-----------|------------|
| 1    | Accept Financial Statements and Statutory Reports             | For     | For       | Management |
| 2    | Approve Discharge of Board and Senior Management              | For     | For       | Management |
| 3    | Approve Allocation of Income and Dividends of CHF 5 per Share | For     | For       | Management |
| 4.1a | Reelect Claude Cornaz as Director                             | For     | Against   | Management |



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|      |   |     |         |            |
|------|---|-----|---------|------------|
| 4.1b | Reelect Anita Hauser as Director  | For | For     | Management |
| 4.1c | Reelect Michael Hauser as Director  | For | For     | Management |
| 4.1d | Reelect Philip Mosimann as Director<br>and as Board Chairman                                | For | For     | Management |
| 4.1e | Reelect Heinrich Spoerry as Director  | For | For     | Management |
| 4.1f | Reelect Valentin Vogt as Director   | For | For     | Management |
| 4.2a | Appoint Claude Cornaz as Member of the<br>Compensation Committee                            | For | Against | Management |
| 4.2b | Appoint Anita Hauser as Member of the<br>Compensation Committee                             | For | For     | Management |
| 4.2c | Appoint Valentin Vogt as Member of the<br>Compensation Committee                            | For | For     | Management |
| 4.3  | Designate Mathe & Partner as<br>Independent Proxy   | For | For     | Management |
| 4.4  | Ratify PricewaterhouseCoopers as<br>Auditors  | For | For     | Management |
| 5.1  | Approve Variable Remuneration of<br>Executive Committee in the Amount of<br>CHF 2.5 Million | For | For     | Management |
| 5.2  | Approve Remuneration Report   | For | For     | Management |
| 5.3  | Approve Remuneration of Directors in<br>the Amount of CHF 1.2 Million                       | For | For     | Management |
| 5.4  | Approve Fixed Remuneration of<br>Executive Committee in the Amount of<br>CHF 4.8 Million    | For | For     | Management |
| 6    | Transact Other Business (Voting)  | For | Against | Management |

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### BURCKHARDT COMPRESSION HOLDING AG

Ticker: BCHN Security ID: H12013100

Meeting Date: JUL 01, 2016 Meeting Type: Annual

Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Open Meeting  | None    | None      | Management |
| 2     | Accept Financial Statements and<br>Statutory Reports              | For     | For       | Management |
| 3     | Approve Allocation of Income and<br>Dividends of CHF 10 per Share | For     | For       | Management |
| 4     | Approve Discharge of Board and Senior<br>Management               | For     | For       | Management |
| 5.1.  | Reelect Valentin Vogt as Director                                 | For     | For       | Management |
| 5.1.2 | Reelect Hans Hess as Director                                     | For     | For       | Management |
| 5.1.3 | Reelect Urs Leinhaeuser as Director                               | For     | For       | Management |
| 5.1.4 | Reelect Monika Kruesi as Director                                 | For     | For       | Management |
| 5.1.5 | Reelect Stephan Bross as Director                                 | For     | For       | Management |
| 5.2   | Elect Valentin Vogt as Board Chairman                             | For     | For       | Management |
| 5.3.1 | Appoint Hans Hess as Member of the<br>Compensation Committee      | For     | For       | Management |
| 5.3.2 | Appoint Stephan Bross as Member of the<br>Compensation Committee  | For     | For       | Management |
| 5.4   | Ratify PricewaterhouseCoopers AG as<br>Auditors                   | For     | For       | Management |
| 5.5   | Designate Andreas Keller as<br>Independent Proxy                  | For     | For       | Management |
| 6.1   | Approve Maximum Variable Remuneration                             | For     | For       | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
|     | of Board of Directors in the Amount of CHF 100,000  |     |         |            |
| 6.2 | Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 1.4 Million | For | For     | Management |
| 6.3 | Approve Remuneration Report   | For | For     | Management |
| 6.4 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 2.13 Million           | For | For     | Management |
| 7   | Transact Other Business (Voting)  | For | Against | Management |

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### CEMBRA MONEY BANK AG

Ticker: CMBN Security ID: H3119A101  
Meeting Date: APR 26, 2017 Meeting Type: Annual  
Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                            | For     | For       | Management |
| 2     | Approve Remuneration Report  | For     | For       | Management |
| 3.1   | Approve Allocation of Income   | For     | For       | Management |
| 3.2   | Approve Dividends of CHF 3.45 per Share from Capital Contribution Reserves   | For     | For       | Management |
| 3.3   | Approve Dividends of CHF 1.00 per Share from Distributable Profits           | For     | For       | Management |
| 4     | Approve Discharge of Board and Senior Management                             | For     | For       | Management |
| 5.1.1 | Reelect Felix Weber as Director  | For     | For       | Management |
| 5.1.2 | Reelect Peter Athanas as Director  | For     | For       | Management |
| 5.1.3 | Reelect Urs Baumann as Director  | For     | For       | Management |
| 5.1.4 | Reelect Denis Hall as Director   | For     | For       | Management |
| 5.1.5 | Reelect Katrina Machin as Director   | For     | For       | Management |
| 5.1.6 | Reelect Monica Maechler as Director  | For     | For       | Management |
| 5.1.7 | Reelect Ben Tellings as Director   | For     | For       | Management |
| 5.2   | Reelect Felix Weber as Board Chairman  | For     | For       | Management |
| 5.3.1 | Appoint Urs Baumann as Member of the Compensation Committee                  | For     | For       | Management |
| 5.3.2 | Appoint Katrina Machin as Member of the Compensation Committee               | For     | For       | Management |
| 5.3.3 | Appoint Ben Tellings as Member of the Compensation Committee                 | For     | For       | Management |
| 5.4   | Designate Andreas Keller as Independent Proxy                                | For     | For       | Management |
| 5.5   | Ratify KPMG AG as Auditors   | For     | For       | Management |
| 6.1   | Approve Creation of CHF 3 Million Pool of Capital without Preemptive Rights  | For     | For       | Management |
| 6.2   | Amend Articles Re: Ordinary and Extraordinary General Meetings               | For     | For       | Management |
| 6.3   | Amend Articles Re: Convocation, Resolutions, and Minutes of General Meetings | For     | For       | Management |
| 6.4   | Amend Articles Re: Editorial Changes Concerning Senior Management            | For     | For       | Management |
| 7.1   | Approve Remuneration of Directors in the Amount of CHF 1.45 Million          | For     | For       | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 7.2 | Approve Maximum Fixed and Variable Remuneration of Executive Committee in the Amount of CHF 5.3 Million | For | For     | Management |
| 8   | Transact Other Business (Voting)  | For | Against | Management |

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COMPAGNIE FINANCIERE RICHEMONT SA

Ticker: CFR Security ID: H25662182  
 Meeting Date: SEP 14, 2016 Meeting Type: Annual  
 Record Date:

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|------|---|---------|-----------|------------|
| 1    | Accept Financial Statements and Statutory Reports   | For     | For       | Management |
| 2    | Approve Allocation of Income and Dividends of CHF 1.70 per Registered A Share and CHF 0.17 per Registered B Share | For     | For       | Management |
| 3    | Approve Discharge of Board of Directors   | For     | For       | Management |
| 4.1  | Reelect Johann Rupert as Director and Board Chairman  | For     | Against   | Management |
| 4.2  | Reelect Yves-Andre Istel as Director  | For     | Against   | Management |
| 4.3  | Reelect Josua Malherbe as Director  | For     | Against   | Management |
| 4.4  | Reelect Jean-Blaise Eckert as Director  | For     | Against   | Management |
| 4.5  | Reelect Bernard Fornas as Director  | For     | Against   | Management |
| 4.6  | Reelect Richard Lepeu as Director   | For     | For       | Management |
| 4.7  | Reelect Ruggero Magnoni as Director   | For     | Against   | Management |
| 4.8  | Reelect Simon Murray as Director  | For     | Against   | Management |
| 4.9  | Reelect Guillaume Pictet as Director  | For     | For       | Management |
| 4.10 | Reelect Norbert Platt as Director   | For     | Against   | Management |
| 4.11 | Reelect Alan Quasha as Director   | For     | Against   | Management |
| 4.12 | Reelect Maria Ramos as Director   | For     | For       | Management |
| 4.13 | Reelect Lord Renwick of Clifton as Director   | For     | Against   | Management |
| 4.14 | Reelect Jan Rupert as Director  | For     | Against   | Management |
| 4.15 | Reelect Gary Saage as Director  | For     | Against   | Management |
| 4.16 | Reelect Jurgen Schrempf as Director   | For     | Against   | Management |
| 4.17 | Reelect The Duke of Wellington as Director  | For     | Against   | Management |
| 4.18 | Elect Jeff Moss as Director   | For     | For       | Management |
| 4.19 | Elect Cyrille Vigneron as Director  | For     | Against   | Management |
| 5.1  | Appoint Lord Renwick of Clifton as Member of the Compensation Committee   | For     | Against   | Management |
| 5.2  | Appoint Yves Andre Istel as Member of the Compensation Committee  | For     | Against   | Management |
| 5.3  | Appoint The Duke of Wellington as Member of the Compensation Committee  | For     | Against   | Management |
| 6    | Ratify PricewaterhouseCoopers as Auditor  | For     | For       | Management |
| 7    | Designate Francoise Demierre Morand Independent Proxy   | For     | For       | Management |
| 8.1  | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 7.4 Million                               | For     | For       | Management |
| 8.2  | Approve Maximum Fixed Remuneration of Senior Executive Committee in the Amount of CHF 9.9 Million                 | For     | Against   | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 8.3 | Approve Maximum Variable Remuneration of Senior Executive Committee in the Amount of CHF 16.4 Million | For | Against | Management |
| 9   | Transact Other Business (Voting)  | For | Against | Management |

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CREDIT SUISSE GROUP AG

Ticker: CSGN Security ID: H3698D419

Meeting Date: APR 28, 2017 Meeting Type: Annual

Record Date:

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Receive Financial Statements and Statutory Reports (Non-Voting)  | None    | None      | Management |
| 1.2  | Approve Remuneration Report  | For     | Against   | Management |
| 1.3  | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 2    | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 3.1  | Approve Allocation of Income   | For     | For       | Management |
| 3.2  | Approve Dividends of CHF 0.70 per Share from Capital Contribution Reserves                                     | For     | For       | Management |
| 4.1  | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 12.0 Million                           | For     | For       | Management |
| 4.2a | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 17 Million                | For     | Against   | Management |
| 4.2b | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 31 Million                      | For     | For       | Management |
| 4.2c | Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 31.2 Million       | For     | For       | Management |
| 5    | Approve Amendment of Pool of Authorized Capital with or without Preemptive Rights for Stock or Scrip Dividends | For     | For       | Management |
| 6.1a | Reelect Urs Rohner as Director and Board Chairman  | For     | For       | Management |
| 6.1b | Reelect Iris Bohnet as Director  | For     | For       | Management |
| 6.1c | Reelect Alexander Gut as Director  | For     | For       | Management |
| 6.1d | Reelect Andreas Koopmann as Director   | For     | For       | Management |
| 6.1e | Reelect Seraina Macia as Director  | For     | For       | Management |
| 6.1f | Reelect Kai Nargolwala as Director   | For     | For       | Management |
| 6.1g | Reelect Joaquin Ribeiro as Director  | For     | For       | Management |
| 6.1h | Reelect Severin Schwan as Director   | For     | For       | Management |
| 6.1i | Reelect Richard Thornburgh as Director   | For     | For       | Management |
| 6.1j | Reelect John Tiner as Director   | For     | For       | Management |
| 6.1k | Reelect Andreas Gottschling as Director  | For     | For       | Management |
| 6.1l | Reelect Alexandre Zeller as Director   | For     | For       | Management |
| 6.2a | Appoint Iris Bohnet as Member of the Compensation Committee  | For     | For       | Management |
| 6.2b | Appoint Andreas Koopmann as Member of the Compensation Committee   | For     | For       | Management |

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|      |   |      |         |            |
|------|---|------|---------|------------|
| 6.2c | Appoint Kai Nargolwala as Member of the Compensation Committee        | For  | For     | Management |
| 6.2d | Appoint Alexandre Zeller as Member of the Compensation Committee      | For  | For     | Management |
| 6.3  | Ratify KPMG AG as Auditors  | For  | For     | Management |
| 6.4  | Designate BDO AG as Special Auditor                                   | For  | For     | Management |
| 6.5  | Designate Andreas Keller as Independent Proxy                         | For  | For     | Management |
| 7.1  | Transact Other Business: Proposals by Shareholders (Voting)           | None | Against | Management |
| 7.2  | Transact Other Business: Proposals by the Board of Directors (Voting) | None | Against | Management |

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### CREDIT SUISSE GROUP AG

Ticker: CSGN                      Security ID: H3698D419  
Meeting Date: MAY 18, 2017      Meeting Type: Special  
Record Date:

| # | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|---|--|---------|-----------|------------|
| 1 | Approve CHF 16.2 Million Share Capital Increase with Preemptive Rights | For     | For       | Management |
| 2 | Transact Other Business: Proposals by Shareholders (Voting)            | None    | Against   | Management |
| 3 | Transact Other Business: Proposals by the Board of Directors (Voting)  | None    | Against   | Management |

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### DKSH HOLDING AG

Ticker: DKSH                      Security ID: H2012M121  
Meeting Date: MAR 23, 2017      Meeting Type: Annual  
Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                                     | For     | For       | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 4.50 per Share                      | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                                      | For     | For       | Management |
| 4.1   | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 2.6 Million   | For     | For       | Management |
| 4.2   | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 16.5 Million | For     | For       | Management |
| 5.1.1 | Reelect Adrian T. Keller as Director  | For     | For       | Management |
| 5.1.2 | Reelect Rainer-Marc Frey as Director  | For     | For       | Management |
| 5.1.3 | Reelect Frank Ch. Gulich as Director  | For     | For       | Management |
| 5.1.4 | Reelect David Kamenetzky as Director  | For     | For       | Management |
| 5.1.5 | Reelect Andreas W. Keller as Director   | For     | For       | Management |
| 5.1.6 | Reelect Robert Peugeot as Director  | For     | Against   | Management |

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|       |  |     |         |            |
|-------|--|-----|---------|------------|
| 5.1.7 | Reelect Theo Siegert as Director                                 | For | For     | Management |
| 5.1.8 | Reelect Hans Christoph Tanner as Director                        | For | For     | Management |
| 5.1.9 | Reelect Joerg Wolle as Director                                  | For | For     | Management |
| 5.2   | Elect Joerg Wolle as Board Chairman                              | For | For     | Management |
| 5.3.1 | Appoint Adrian T. Keller as Member of the Compensation Committee | For | For     | Management |
| 5.3.2 | Appoint Frank Ch. Gulich as Member of the Compensation Committee | For | For     | Management |
| 5.3.3 | Appoint Robert Peugeot as Member of the Compensation Committee   | For | Against | Management |
| 6     | Ratify Ernst & Young AG as Auditors                              | For | For     | Management |
| 7     | Designate Ernst A. Widmer as Independent Proxy                   | For | For     | Management |
| 8     | Transact Other Business (Voting)                                 | For | Against | Management |

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DUFREY AG

Ticker: DUFN Security ID: H2082J107  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports                                   | For     | For       | Management |
| 1.2   | Approve Remuneration Report   | For     | Against   | Management |
| 2     | Approve Allocation of Income  | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                                    | For     | For       | Management |
| 4.1   | Reelect Juan Carlos Torres Carretero as Director and Board Chairman                 | For     | Against   | Management |
| 4.2.1 | Reelect Andres Holzer Neumann as Director   | For     | Against   | Management |
| 4.2.2 | Reelect Jorge Born as Director  | For     | For       | Management |
| 4.2.3 | Reelect Xavier Bouton as Director   | For     | Against   | Management |
| 4.2.4 | Reelect Claire Chiang as Director   | For     | For       | Management |
| 4.2.5 | Reelect Julian Diaz Gonzalez as Director  | For     | Against   | Management |
| 4.2.6 | Reelect George Koutsolioutsos as Director   | For     | Against   | Management |
| 4.2.7 | Reelect Heekyung Min as Director  | For     | For       | Management |
| 4.2.8 | Reelect Joaquin Moya-Angeler Cabrera as Director                                    | For     | Against   | Management |
| 5.1   | Reappoint Jorge Born as Member of the Compensation Committee                        | For     | For       | Management |
| 5.2   | Reappoint Xavier Bouton as Member of the Compensation Committee                     | For     | Against   | Management |
| 5.3   | Reappoint Heekyung Min as Member of the Compensation Committee                      | For     | For       | Management |
| 6     | Ratify Ernst & Young Ltd. as Auditors   | For     | For       | Management |
| 7     | Designate Altenburger Ltd. as Independent Proxy                                     | For     | For       | Management |
| 8.1   | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 8.4 Million | For     | Against   | Management |
| 8.2   | Approve Maximum Remuneration of Executive Committee in the Amount of                | For     | Against   | Management |

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CHF 53.5 Million  
 9 Transact Other Business (Voting) For Against Management

FEINTOOL INTERNATIONAL HOLDING AG

Ticker: FTON Security ID: H24510135

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date:

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1   | Accept Financial Statements and Statutory Reports                            | For     | For       | Management |
| 2a  | Approve Allocation of Income   | For     | For       | Management |
| 2b  | Approve Dividends of CHF 2.00 per Share from Capital Contribution Reserves   | For     | For       | Management |
| 3   | Approve Discharge of Board and Senior Management                             | For     | For       | Management |
| 4a  | Approve Remuneration of Directors in the Amount of CHF 1.5 Million           | For     | Against   | Management |
| 4b  | Approve Remuneration of Executive Committee in the Amount of CHF 1.8 Million | For     | For       | Management |
| 5a1 | Reelect Alexander von Witzleben as Director                                  | For     | Against   | Management |
| 5a2 | Reelect Michael Soormann as Director   | For     | Against   | Management |
| 5a3 | Reelect Thomas A. Erb as Director  | For     | Against   | Management |
| 5a4 | Reelect Thomas Muhr as Director  | For     | Against   | Management |
| 5a5 | Reelect Rolf-Dieter Kempis as Director                                       | For     | For       | Management |
| 5a6 | Elect Heinz Loosli as Director   | For     | Against   | Management |
| 5b  | Elect Alexander von Witzleben as Board Chairman                              | For     | Against   | Management |
| 5c1 | Appoint Alexander von Witzleben as Member of the Compensation Committee      | For     | Against   | Management |
| 5c2 | Appoint Michael Soormann as Member of the Compensation Committee             | For     | Against   | Management |
| 5d  | Designate COT Treuhand AG as Independent Proxy                               | For     | For       | Management |
| 5e  | Ratify KPMG AG as Auditors   | For     | For       | Management |
| 6   | Transact Other Business (Voting)   | For     | Against   | Management |

FORBO HOLDING AG

Ticker: FORN Security ID: H26865214

Meeting Date: APR 06, 2017 Meeting Type: Annual

Record Date:

| # | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For     | For       | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 2   | Approve Discharge of Board and Senior Management  | For | For     | Management |
| 3   | Approve Allocation of Income and Dividends of CHF 19 per Share from Capital Contribution Reserves   | For | Against | Management |
| 4   | Authorize Repurchase of up to Ten Percent of Issued Share Capital                                   | For | For     | Management |
| 5   | Amend Articles related to the Long-Term Compensation Plan of the Executive Committee                | For | For     | Management |
| 6.1 | Approve Remuneration Report   | For | Against | Management |
| 6.2 | Approve Remuneration of Directors in the Amount of CHF 4 Million                                    | For | For     | Management |
| 6.3 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 2.3 Million                  | For | For     | Management |
| 6.4 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 1.5 Million               | For | For     | Management |
| 6.5 | Approve Maximum Variable Long-Term Remuneration of Executive Committee in the Amount of CHF 225,000 | For | For     | Management |
| 7.1 | Reelect This Schneider as Director and as Board Chairman  | For | For     | Management |
| 7.2 | Reelect Peter Altorfer as Director  | For | For     | Management |
| 7.3 | Reelect Michael Pieper as Director  | For | For     | Management |
| 7.4 | Reelect Claudia Coninx-Kaczynski as Director  | For | For     | Management |
| 7.5 | Reelect Reto Mueller as Director  | For | For     | Management |
| 7.6 | Reelect Vincent Studer as Director  | For | For     | Management |
| 8.1 | Appoint Peter Altorfer as Member of the Compensation Committee                                      | For | Against | Management |
| 8.2 | Appoint Claudia Coninx-Kaczynski as Member of the Compensation Committee                            | For | For     | Management |
| 8.3 | Appoint Michael Pieper as Member of the Compensation Committee                                      | For | For     | Management |
| 9   | Ratify KPMG as Auditors   | For | For     | Management |
| 10  | Designate Rene Peyer as Independent Proxy   | For | For     | Management |
| 11  | Transact Other Business (Voting)  | For | Against | Management |

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GAM HOLDING LTD.

Ticker: GAM Security ID: H2878E106  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date:

| #   | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-----|---|---------|-----------|------------|
| 1.1 | Accept Financial Statements and Statutory Reports   | For     | For       | Management |
| 1.2 | Approve Remuneration Report (Non-Binding)   | For     | Against   | Management |
| 2   | Approve Allocation of Income and Dividends of CHF 0.65 per Share from Capital Contribution Reserves | For     | For       | Management |
| 3   | Approve Discharge of Board and Senior Management  | For     | For       | Management |



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|      |  |         |         |             |
|------|--|---------|---------|-------------|
| 4    | Approve CHF 30,610 Reduction in Share Capital via Cancellation of Repurchased Shares         | For     | For     | Management  |
| 5    | Approve Cancellation of Conditional Capital Authorization                                    | For     | For     | Management  |
| 6.1  | Reelect Hugh Scott-Barrett as Director and as Board Chairman                                 | For     | Against | Management  |
| 6.2  | Reelect Diego du Monceau as Director   | For     | Against | Management  |
| 6.3  | Reelect Nancy Mistretta as Director  | For     | For     | Management  |
| 6.4  | Reelect Ezra Field as Director   | For     | For     | Management  |
| 6.5  | Reelect Benjamin Meuli as Director   | For     | For     | Management  |
| 6.6  | Elect David Jacob as Director  | For     | For     | Management  |
| 6.7  | Elect Kasia Robinski as Director   | Against | For     | Shareholder |
| 6.8  | Elect Kasia Robinski as Chairman of the Board  | Against | For     | Shareholder |
| 6.9  | Elect William Raynar as Director   | Against | For     | Shareholder |
| 6.10 | Elect Rudolf Bohli as Director   | Against | For     | Shareholder |
| 7.1  | Appoint Diego du Monceau as Member of the Compensation Committee                             | For     | Against | Management  |
| 7.2  | Appoint Nancy Mistretta as Member of the Compensation Committee                              | For     | Against | Management  |
| 7.3  | Appoint Benjamin Meuli as Member of the Compensation Committee                               | For     | Against | Management  |
| 7.4  | Appoint Kasia Robinski as Member of the Compensation Committee                               | Against | For     | Shareholder |
| 7.5  | Appoint William Raynar as Member of the Compensation Committee                               | Against | For     | Shareholder |
| 8.1  | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 2.3 Million          | For     | Against | Management  |
| 8.2  | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 8 Million     | For     | Against | Management  |
| 8.3  | Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 16 Million | For     | Against | Management  |
| 9    | Ratify KPMG AG as Auditors   | For     | For     | Management  |
| 10   | Designate Tobias Rohner as Independent Proxy   | For     | For     | Management  |
| 11   | Transact Other Business (Voting)   | For     | Against | Management  |

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GATEGROUP HOLDING AG

Ticker: GATE Security ID: ADPV34580  
Meeting Date: JUL 29, 2016 Meeting Type: Special  
Record Date:

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Adam Tan as Director and Board Chairman            | For     | For       | Management |
| 1.2 | Elect Di Xin as Director                                 | For     | For       | Management |
| 1.3 | Elect Frank Nang as Director                             | For     | For       | Management |
| 1.4 | Elect Stewart Gordon Smith as Director                   | For     | For       | Management |
| 1.5 | Elect Xavier Rossinyol as Director                       | For     | Against   | Management |
| 1.6 | Reelect Frederick W. Reid as Director                    | For     | For       | Management |
| 2.1 | Appoint Adam Tan as Member of the Compensation Committee | For     | For       | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 2.2 | Appoint Di Xin as Member of the Compensation Committee            | For | For     | Management |
| 2.3 | Appoint Frederick W. Reid as Member of the Compensation Committee | For | For     | Management |
| 3   | Transact Other Business (Voting)                                  | For | Against | Management |

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HELVETIA HOLDING AG

Ticker: HELN Security ID: H3701H100

Meeting Date: APR 28, 2017 Meeting Type: Annual

Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                            | For     | For       | Management |
| 2     | Approve Discharge of Board and Senior Management                             | For     | For       | Management |
| 3     | Approve Allocation of Income and Dividends of 21 per Share                   | For     | For       | Management |
| 4.1   | Elect Pierin Vincenz as Director and Board Chairman                          | For     | For       | Management |
| 4.2.1 | Elect Ivo Furrer as Director   | For     | For       | Management |
| 4.2.2 | Reelect Hans-Juerg Bernet as Director  | For     | For       | Management |
| 4.2.3 | Reelect Jean-Rene Fournier as Director                                       | For     | For       | Management |
| 4.2.4 | Reelect Patrik Gisell as Director  | For     | For       | Management |
| 4.2.5 | Reelect Hans Kuenzle as Director   | For     | For       | Management |
| 4.2.6 | Reelect Christoph Lechner as Director  | For     | For       | Management |
| 4.2.7 | Reelect Gabriela Maria Payer as Director                                     | For     | For       | Management |
| 4.2.8 | Reelect Doris Russi Schurter as Director                                     | For     | For       | Management |
| 4.2.9 | Reelect Andreas von Planta as Director                                       | For     | For       | Management |
| 4.3.1 | Appoint Hans-Juerg Bernet as Member of the Compensation Committee            | For     | For       | Management |
| 4.3.2 | Appoint Gabriela Maria Payer as Member of the Compensation Committee         | For     | For       | Management |
| 4.3.3 | Appoint Doris Russi Schurter as Member of the Compensation Committee         | For     | For       | Management |
| 4.3.4 | Appoint Andreas von Planta as Member of the Compensation Committee           | For     | For       | Management |
| 5.1   | Approve Fixed Remuneration of Directors in the Amount of CHF 3 Million       | For     | Against   | Management |
| 5.2   | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 8.4   | For     | For       | Management |
| 5.3   | Approve Variable Remuneration of Directors in the Amount of CHF 547,000      | For     | Against   | Management |
| 5.4   | Approve Remuneration of Executive Committee in the Amount of CHF 3.6 Million | For     | For       | Management |
| 6     | Designate Schmuki Bachmann Rechtsanwaelte as Independent Proxy               | For     | For       | Management |
| 7     | Ratify KPMG AG as Auditors   | For     | For       | Management |
| 8     | Transact Other Business (Voting)   | For     | Against   | Management |

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 IMPLENIA AG

Ticker: IMPN Security ID: H41929102  
 Meeting Date: MAR 22, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports                           | For     | For       | Management |
| 1.2   | Approve Remuneration Report   | For     | Against   | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 2 per Share               | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                            | For     | For       | Management |
| 4.1   | Approve Remuneration of Directors in the Amount of CHF 1.35 Million         | For     | For       | Management |
| 4.2   | Approve Remuneration of Executive Committee in the Amount of CHF 10 Million | For     | Against   | Management |
| 5.1.1 | Reelect Hans Ulrich Meister as Director and as Board Chairman               | For     | For       | Management |
| 5.1.2 | Reelect Chantal Balet Emery as Director                                     | For     | For       | Management |
| 5.1.3 | Reelect Henner Mahlstedt as Director  | For     | For       | Management |
| 5.1.4 | Reelect Ines Poeschel as Director   | For     | For       | Management |
| 5.1.5 | Reelect Kyrre Olaf Johansen as Director                                     | For     | For       | Management |
| 5.1.6 | Reelect Laurent Vulliet as Director   | For     | For       | Management |
| 5.2.1 | Reappoint Ines Poeschel as Member of the Compensation Committee             | For     | For       | Management |
| 5.2.2 | Appoint Chantal Balet Emery as Member of the Compensation Committee         | For     | For       | Management |
| 5.2.3 | Appoint Laurent Vulliet as Member of the Compensation Committee             | For     | For       | Management |
| 5.3   | Designate Andreas Keller as Independent Proxy                               | For     | For       | Management |
| 5.4   | Ratify PricewaterhouseCoopers AG as Auditors                                | For     | For       | Management |
| 6     | Transact Other Business (Voting)  | For     | Against   | Management |

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 JULIUS BAER GRUPPE AG

Ticker: BAER Security ID: H4414N103  
 Meeting Date: APR 12, 2017 Meeting Type: Annual  
 Record Date:

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Accept Financial Statements and Statutory Reports                | For     | For       | Management |
| 1.2 | Approve Remuneration Report                                      | For     | For       | Management |
| 2   | Approve Allocation of Income and Dividends of CHF 1.20 per Share | For     | For       | Management |
| 3   | Approve Discharge of Board and Senior Management                 | For     | For       | Management |
| 4.1 | Approve Maximum Remuneration of Board                            | For     | For       | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
|       | of Directors in the Amount of CHF 3.8 Million   |     |         |            |
| 4.2.1 | Approve Variable Cash-Based Remuneration of Executive Committee for Fiscal 2016 in the Amount of CHF 6.1 Million  | For | For     | Management |
| 4.2.2 | Approve Variable Share-Based Remuneration of Executive Committee for Fiscal 2017 in the Amount of CHF 6.7 Million | For | For     | Management |
| 4.2.3 | Approve Maximum Fixed Remuneration of Executive Committee for Fiscal 2018 in the Amount of CHF 5.7 Million        | For | For     | Management |
| 5.1.1 | Reelect Daniel Sauter as Director   | For | For     | Management |
| 5.1.2 | Reelect Gilbert Achermann as Director   | For | For     | Management |
| 5.1.3 | Reelect Ann Almeida as Director   | For | For     | Management |
| 5.1.4 | Reelect Andreas Amschwand as Director   | For | For     | Management |
| 5.1.5 | Reelect Heinrich Baumann as Director  | For | For     | Management |
| 5.1.6 | Reelect Paul Chow as Director   | For | For     | Management |
| 5.1.7 | Reelect Claire Giraut as Director   | For | For     | Management |
| 5.1.8 | Reelect Gareth Penny as Director  | For | For     | Management |
| 5.1.9 | Reelect Charles Stonehill as Director   | For | For     | Management |
| 5.2   | Elect Ivo Furrer as Director  | For | For     | Management |
| 5.3   | Elect Daniel Sauter as Board Chairman   | For | For     | Management |
| 5.4.1 | Appoint Ann Almeida as Member of the Compensation Committee   | For | For     | Management |
| 5.4.2 | Appoint Gilbert Achermann as Member of the Compensation Committee   | For | For     | Management |
| 5.4.3 | Appoint Heinrich Baumann as Member of the Compensation Committee  | For | For     | Management |
| 5.4.4 | Appoint Gareth Penny as Member of the Compensation Committee  | For | For     | Management |
| 6     | Ratify KPMG AG as Auditors  | For | For     | Management |
| 7     | Designate Marc Nater as Independent Proxy   | For | For     | Management |
| 8     | Transact Other Business (Voting)  | For | Against | Management |

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### KUROS BIOSCIENCES AG

Ticker: KURN                      Security ID: H4769G117  
Meeting Date: MAY 22, 2017      Meeting Type: Annual  
Record Date:

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1  | Accept Financial Statements and Statutory Reports                                  | For     | For       | Management |
| 2  | Approve Treatment of Net Loss  | For     | For       | Management |
| 3  | Approve Discharge of Board and Senior Management                                   | For     | For       | Management |
| 4a | Approve Fixed Remuneration of Board of Directors in the Amount of CHF 308,000      | For     | For       | Management |
| 4b | Approve Grant of Stock Options to Board of Directors in the Amount of CHF 102,700  | For     | Against   | Management |
| 4c | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 3.1 Million | For     | For       | Management |

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|    |  |     |         |            |
|----|--|-----|---------|------------|
| 4d | Approve Variable Remuneration of Executive Committee in the Amount of CHF 1.3 Million  | For | For     | Management |
| 4e | Approve Grant of Stock Options to Executive Committee in the Amount of CHF 2.6 Million | For | For     | Management |
| 5a | Reelect Christian Itin as Director and Board Chairman                                  | For | For     | Management |
| 5b | Reelect Leanna Caron as Director   | For | For     | Management |
| 5c | Reelect Didier Cowling as Director   | For | For     | Management |
| 5d | Reelect Gerhard Ries as Director   | For | For     | Management |
| 5e | Reelect Harry Welten as Director   | For | For     | Management |
| 5f | Elect Clemens van Blitterswijk as Director   | For | For     | Management |
| 5g | Elect Frank-Jan van der Velden as Director   | For | For     | Management |
| 5h | Elect Giacomo Di Nepi as Director  | For | For     | Management |
| 5i | Elect Ivan Cohen-Tanugi as Director  | For | For     | Management |
| 6a | Appoint Gerhard Ries as Member of the Compensation Committee                           | For | For     | Management |
| 6b | Appoint Leanna Caron as Member of the Compensation Committee                           | For | For     | Management |
| 7  | Designate Andreas Keller as Independent Proxy  | For | For     | Management |
| 8  | Ratify PricewaterhouseCoopers Ltd. as Auditors   | For | For     | Management |
| 9  | Increase and Adjust Pool of Conditional Capital for Employee Equity Plans              | For | Against | Management |
| 10 | Approve Creation of CHF 3.2 Million Pool of Capital without Preemptive Rights          | For | Against | Management |
| 11 | Transact Other Business (Voting)   | For | Against | Management |

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LINDT & SPRUENGLI AG

Ticker: LISN Security ID: H49983176  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date:

| #   | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-----|---|---------|-----------|------------|
| 1   | Accept Financial Statements and Statutory Reports   | For     | For       | Management |
| 2   | Approve Remuneration Report   | For     | For       | Management |
| 3   | Approve Discharge of Board and Senior Management  | For     | For       | Management |
| 4.1 | Approve Allocation of Income and Dividends of CHF 580 per Registered Share and CHF 58 per Participation Certificate           | For     | For       | Management |
| 4.2 | Approve Dividends from Capital Contribution Reserves of CHF 300 per Registered Share and CHF 30 per Participation Certificate | For     | For       | Management |
| 5.1 | Elect Ernst Tanner as Director and Board Chairman   | For     | Against   | Management |
| 5.2 | Elect Antonio Bulgheroni as Director  | For     | Against   | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 5.3 | Elect Rudolf Spruengli as Director  | For | Against | Management |
| 5.4 | Elect Elisabeth Guertler as Director  | For | For     | Management |
| 5.5 | Elect Petra Schadeberg-Herrmann as Director                                 | For | Against | Management |
| 5.6 | Elect Thomas Rinderknecht as Director                                       | For | For     | Management |
| 6.1 | Appoint Rudolf Spruengli as Member of the Compensation Committee            | For | Against | Management |
| 6.2 | Appoint Antonio Bulgheroni as Member of the Compensation Committee          | For | Against | Management |
| 6.3 | Appoint Elisabeth Guertler as Member of the Compensation Committee          | For | For     | Management |
| 7   | Designate Patrick Schleiffer as Independent Proxy                           | For | For     | Management |
| 8   | Ratify PricewaterhouseCoopers AG as Auditors                                | For | For     | Management |
| 9.1 | Approve Remuneration of Directors in the Amount of CHF 5.3 Million          | For | Against | Management |
| 9.2 | Approve Remuneration of Executive Committee in the Amount of CHF 18 Million | For | Against | Management |
| 10  | Transact Other Business (Voting)  | For | Against | Management |

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LOGITECH INTERNATIONAL S.A.

Ticker: LOGN Security ID: H50430232  
 Meeting Date: SEP 07, 2016 Meeting Type: Annual  
 Record Date: SEP 01, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1  | Accept Financial Statements and Statutory Reports                      | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation         | For     | For       | Management |
| 3  | Appropriation Of Retained Earnings                                     | For     | For       | Management |
| 4  | Amend Omnibus Stock Plan   | For     | For       | Management |
| 5  | Approve Discharge of Board and Senior Management                       | For     | For       | Management |
| 6A | Elect Director Edouard Bugnion   | For     | For       | Management |
| 6B | Elect Director Bracken Darrell   | For     | For       | Management |
| 6C | Elect Director Sally Davis   | For     | For       | Management |
| 6D | Elect Director Guerrino De Luca  | For     | For       | Management |
| 6E | Elect Director Sue Gove  | For     | For       | Management |
| 6F | Elect Director Didier Hirsch   | For     | For       | Management |
| 6G | Elect Director Neil Hunt   | For     | For       | Management |
| 6H | Elect Director Dimitri Panayotopoulos                                  | For     | For       | Management |
| 6I | Elect Director Lung Yeh  | For     | For       | Management |
| 6J | Elect Director Patrick Aebischer                                       | For     | For       | Management |
| 7  | Elect Guerrino De Luca as Board Chairman                               | For     | For       | Management |
| 8A | Appoint Sally Davis as Member of the Compensation Committee            | For     | For       | Management |
| 8B | Appoint Neil Hunt as Member of the Compensation Committee              | For     | For       | Management |
| 8C | Appoint Dimitri Panayotopoulos as Member of the Compensation Committee | For     | For       | Management |
| 8D | Appoint Edouard Bugnion as Member of the Compensation Committee        | For     | For       | Management |

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|    |   |     |     |            |
|----|---|-----|-----|------------|
| 9  | Approve Remuneration of Directors   | For | For | Management |
| 10 | Approve Remuneration of Executive Committee in the Amount of USD 20,200,000           | For | For | Management |
| 11 | Ratify KPMG AG as Auditors  | For | For | Management |
| 12 | Designate Beatrice Ehlers as Independent Proxy  | For | For | Management |
| A  | Authorize Independent Representative to Vote on Any Amendment to Previous Resolutions | For | For | Management |

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LONZA GROUP LTD.

Ticker:           LONN                   Security ID: H50524133  
Meeting Date: APR 25, 2017   Meeting Type: Annual  
Record Date:

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1    | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 2    | Approve Remuneration Report  | For     | For       | Management |
| 3    | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 4    | Approve Allocation of Income and Dividends of CHF 2.75 per Share                                 | For     | For       | Management |
| 5.1a | Reelect Patrick Aebischer as Director  | For     | For       | Management |
| 5.1b | Reelect Werner Bauer as Director   | For     | For       | Management |
| 5.1c | Reelect Jean-Daniel Gerber as Director   | For     | For       | Management |
| 5.1d | Reelect Christoph Maeder as Director   | For     | For       | Management |
| 5.1e | Reelect Barbara Richmond as Director   | For     | For       | Management |
| 5.1f | Reelect Margot Scheltema as Director   | For     | For       | Management |
| 5.1g | Reelect Rolf Soiron as Director  | For     | For       | Management |
| 5.1h | Reelect Juergen Steinemann as Director   | For     | For       | Management |
| 5.1i | Reelect Antonio Trius as Director  | For     | For       | Management |
| 5.2  | Elect Albert Baehny as Director  | For     | For       | Management |
| 5.3  | Elect Rolf Soiron as Board Chairman  | For     | For       | Management |
| 5.4a | Appoint Jean-Daniel Gerber as Member of the Nomination and Compensation Committee                | For     | For       | Management |
| 5.4b | Appoint Christoph Maeder as Member of the Nomination and Compensation Committee                  | For     | For       | Management |
| 5.4c | Appoint Juergen Steinemann as Member of the Nomination and Compensation Committee                | For     | For       | Management |
| 6    | Ratify KPMG AG as Auditors   | For     | For       | Management |
| 7    | Designate Daniel Pluess as Independent Proxy   | For     | For       | Management |
| 8    | Approve Remuneration of Directors in the Amount of CHF 3 Million                                 | For     | For       | Management |
| 9.1  | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5 Million                 | For     | For       | Management |
| 9.2  | Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 4.3 Million | For     | For       | Management |
| 9.3  | Approve Variable Long-Term   | For     | For       | Management |

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|    |   |     |         |            |
|----|---|-----|---------|------------|
|    | Remuneration of Executive Committee in the Amount of CHF 6.8 Million                                |     |         |            |
| 10 | Approve CHF 22 Million Share Capital Increase Via the Issuance of New Shares with Preemptive Rights | For | For     | Management |
| 11 | Approve Creation of CHF 7.5 Million Pool of Authorized Capital without Preemptive Rights            | For | For     | Management |
| 12 | Approve CHF 2.5 Million Increase in Pool of Conditional Capital without Preemptive Rights           | For | Against | Management |
| 13 | Transact Other Business (Voting)  | For | Against | Management |

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### NESTLE SA

Ticker: NESN                      Security ID: H57312649  
Meeting Date: APR 06, 2017      Meeting Type: Annual  
Record Date:

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|------|---|---------|-----------|------------|
| 1.1  | Accept Financial Statements and Statutory Reports                   | For     | For       | Management |
| 1.2  | Approve Remuneration Report   | For     | For       | Management |
| 2    | Approve Discharge of Board and Senior Management                    | For     | For       | Management |
| 3    | Approve Allocation of Income and Dividends of CHF 2.30 per Share    | For     | For       | Management |
| 4.1a | Reelect Paul Bulcke as Director                                     | For     | For       | Management |
| 4.1b | Reelect Andreas Koopmann as Director                                | For     | For       | Management |
| 4.1c | Reelect Henri de Castries as Director                               | For     | For       | Management |
| 4.1d | Reelect Beat W. Hess as Director                                    | For     | For       | Management |
| 4.1e | Reelect Renato Fassbind as Director                                 | For     | For       | Management |
| 4.1f | Reelect Steven G. Hoch as Director                                  | For     | For       | Management |
| 4.1g | Reelect Naina Lal Kidwai as Director                                | For     | For       | Management |
| 4.1h | Reelect Jean-Pierre Roth as Director                                | For     | For       | Management |
| 4.1i | Reelect Ann M. Veneman as Director                                  | For     | For       | Management |
| 4.1j | Reelect Eva Cheng as Director                                       | For     | For       | Management |
| 4.1k | Reelect Ruth K. Oniang'o as Director                                | For     | For       | Management |
| 4.1l | Reelect Patrick Aebischer as Director                               | For     | For       | Management |
| 4.2a | Elect Ulf Mark Schneider as Director                                | For     | For       | Management |
| 4.2b | Elect Ursula M. Burns as Director                                   | For     | For       | Management |
| 4.3  | Elect Paul Bulcke as Board Chairman                                 | For     | Against   | Management |
| 4.4a | Appoint Beat W. Hess as Member of the Compensation Committee        | For     | For       | Management |
| 4.4b | Appoint Andreas Koopmann as Member of the Compensation Committee    | For     | For       | Management |
| 4.4c | Appoint Jean-Pierre Roth as Member of the Compensation Committee    | For     | For       | Management |
| 4.4d | Appoint Patrick Aebischer as Member of the Compensation Committee   | For     | For       | Management |
| 4.5  | Ratify KPMG AG as Auditors  | For     | For       | Management |
| 4.6  | Designate Hartmann Dreyer as Independent Proxy                      | For     | For       | Management |
| 5.1  | Approve Remuneration of Directors in the Amount of CHF 10 Million   | For     | For       | Management |
| 5.2  | Approve Remuneration of Executive Committee in the Amount of CHF 60 | For     | For       | Management |



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Million  
 6 Transact Other Business (Voting)                      Against    Against    Management

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 NOVARTIS AG

Ticker:            NOVN                      Security ID:    H5820Q150

Meeting Date: FEB 28, 2017    Meeting Type: Annual

Record Date:

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1    | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 2    | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 3    | Approve Allocation of Income and Dividends of CHF 2.75 per Share                           | For     | For       | Management |
| 4    | Approve CHF 5.14 Million Reduction in Share Capital via Cancellation of Repurchased Shares | For     | For       | Management |
| 5.1  | Approve Maximum Remuneration of Directors in the Amount of CHF 8.5 Million                 | For     | For       | Management |
| 5.2  | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 99 Million        | For     | For       | Management |
| 5.3  | Approve Remuneration Report (Non-Binding)  | For     | Against   | Management |
| 6.1  | Reelect Joerg Reinhardt as Director and Chairman   | For     | For       | Management |
| 6.2  | Reelect Nancy C. Andrews as Director   | For     | For       | Management |
| 6.3  | Reelect Dimitri Azar as Director   | For     | For       | Management |
| 6.4  | Reelect Ton Buechner as Director   | For     | For       | Management |
| 6.5  | Reelect Srikant Datar as Director  | For     | For       | Management |
| 6.6  | Reelect Elizabeth Doherty as Director  | For     | For       | Management |
| 6.7  | Reelect Ann Fudge as Director  | For     | For       | Management |
| 6.8  | Reelect Pierre Landolt as Director   | For     | For       | Management |
| 6.9  | Reelect Andreas von Planta as Director   | For     | For       | Management |
| 6.10 | Reelect Charles L. Sawyers as Director   | For     | For       | Management |
| 6.11 | Reelect Enrico Vanni as Director   | For     | For       | Management |
| 6.12 | Reelect William T. Winters as Director   | For     | For       | Management |
| 6.13 | Elect Frans van Houten as Director   | For     | For       | Management |
| 7.1  | Appoint Srikant Datar as Member of the Compensation Committee                              | For     | For       | Management |
| 7.2  | Appoint Ann Fudge as Member of the Compensation Committee                                  | For     | For       | Management |
| 7.3  | Appoint Enrico Vanni as Member of the Compensation Committee                               | For     | For       | Management |
| 7.4  | Appoint William T. Winters as Member of the Compensation Committee                         | For     | For       | Management |
| 8    | Ratify PricewaterhouseCoopers AG as Auditors   | For     | For       | Management |
| 9    | Designate Peter Andreas Zahn as Independent Proxy  | For     | For       | Management |
| 10   | Transact Other Business (Voting)   | For     | Against   | Management |

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OC OERLIKON CORPORATION AG

Ticker: OERL Security ID: H59187106  
 Meeting Date: APR 11, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                                     | For     | For       | Management |
| 2.1   | Approve Allocation of Income  | For     | For       | Management |
| 2.2   | Approve Dividends of CHF 0.30 per Share from Capital Contribution Reserves            | For     | For       | Management |
| 3     | Approve Discharge of Board (Except Hans Ziegler) and Senior Management                | For     | For       | Management |
| 4.1.1 | Reelect Michael Suess as Director and Board Chairman                                  | For     | For       | Management |
| 4.1.2 | Reelect Jean Botti as Director  | For     | For       | Management |
| 4.1.3 | Reelect David Metzger as Director   | For     | For       | Management |
| 4.1.4 | Reelect Alexey Moskov as Director   | For     | For       | Management |
| 4.1.5 | Reelect Gerhard Pegam as Director   | For     | For       | Management |
| 4.2   | Elect Geoffrey Merszei as Director  | For     | For       | Management |
| 5.1.1 | Appoint Michael Suess as Member of the Human Resources Committee                      | For     | Against   | Management |
| 5.1.2 | Appoint Alexey Moskov as Member of the Human Resources Committee                      | For     | Against   | Management |
| 5.2   | Appoint Gerhard Pegam as Member of the Human Resources Committee                      | For     | For       | Management |
| 6     | Ratify PricewaterhouseCoopers as Auditors   | For     | For       | Management |
| 7     | Designate Proxy Voting Services GmbH as Independent Proxy                             | For     | For       | Management |
| 8     | Approve Remuneration of Directors in the Amount of CHF 2.1 Million                    | For     | For       | Management |
| 9     | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5.2 Million    | For     | Against   | Management |
| 10    | Approve Variable Remuneration of Executive Committee in the Amount of CHF 8.6 Million | For     | Against   | Management |
| 11    | Transact Other Business (Voting)  | For     | Against   | Management |

SFS GROUP AG

Ticker: SFSN Security ID: H7482F118  
 Meeting Date: APR 26, 2017 Meeting Type: Annual  
 Record Date:

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1   | Accept Financial Statements and Statutory Reports                          | For     | For       | Management |
| 2.1 | Approve Remuneration of Directors in the Amount of CHF 760,000 in Cash and | For     | Against   | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
|     | CHF 433,000 in Shares   |     |         |            |
| 2.2 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 4.1 Million                                  | For | For     | Management |
| 2.3 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 972,000 in Cash and CHF 741,000 in Shares | For | Against | Management |
| 3   | Approve Discharge of Board and Senior Management  | For | For     | Management |
| 4   | Approve Allocation of Income and Dividends of CHF 1.75 per Share  | For | For     | Management |
| 5.1 | Reelect Urs Kaufmann as Director  | For | Against | Management |
| 5.2 | Reelect Thomas Oetterli as Director   | For | For     | Management |
| 5.3 | Reelect Heinrich Spoerry as Director and as Board Chairman  | For | For     | Management |
| 5.4 | Reelect Joerg Walther as Director   | For | For     | Management |
| 5.5 | Elect Nick Huber as Director  | For | For     | Management |
| 5.6 | Elect Bettina Stadler as Director   | For | For     | Management |
| 6.1 | Appoint Urs Kaufmann as Member of the Nomination and Compensation Committee   | For | Against | Management |
| 6.2 | Appoint Heinrich Spoerry as Member of the Nomination and Compensation Committee                                     | For | Against | Management |
| 6.3 | Appoint Nick Huber as Member of the Nomination and Compensation Committee   | For | Against | Management |
| 7   | Designate Buerki Bolt Nemeth Rechtsanwaelte as Independent Proxy  | For | For     | Management |
| 8   | Ratify PricewaterhouseCoopers AG as Auditors  | For | For     | Management |
| 9   | Transact Other Business (Voting)  | For | Against | Management |

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### SONOVA HOLDING AG

Ticker: SOON                      Security ID: H8024W106  
Meeting Date: JUN 13, 2017      Meeting Type: Annual  
Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports                | For     | For       | Management |
| 1.2   | Approve Remuneration Report (Non-Binding)                        | For     | For       | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 2.30 per Share | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                 | For     | For       | Management |
| 4.1.1 | Reelect Robert Spoerry as Director and Board Chairman            | For     | For       | Management |
| 4.1.2 | Reelect Beat Hess as Director                                    | For     | For       | Management |
| 4.1.3 | Elect Lynn Dorsey Bleil as Director                              | For     | For       | Management |
| 4.1.4 | Reelect Michael Jacobi as Director                               | For     | For       | Management |
| 4.1.5 | Reelect Stacy Enxing Seng as Director                            | For     | For       | Management |
| 4.1.6 | Reelect Anssi Vanjoki as Director                                | For     | For       | Management |
| 4.1.7 | Reelect Roland van der Vis as Director                           | For     | For       | Management |
| 4.1.8 | Reelect Jinlong Wang as Director                                 | For     | For       | Management |
| 4.2.1 | Appoint Robert Spoerry as Member of                              | For     | For       | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
|       | the Compensation Committee  |     |         |            |
| 4.2.2 | Appoint Beat Hess as Member of the Compensation Committee                     | For | For     | Management |
| 4.2.3 | Appoint Stacy Enxing Seng as Member of the Compensation Committee             | For | For     | Management |
| 4.3   | Ratify PricewaterhouseCoopers AG as Auditors                                  | For | For     | Management |
| 4.4   | Designate Andreas Keller as Independent Proxy                                 | For | For     | Management |
| 5.1   | Approve Remuneration of Board of Directors in the Amount of CHF 3 Million     | For | For     | Management |
| 5.2   | Approve Remuneration of Executive Committee in the Amount of CHF 16.9 Million | For | For     | Management |
| 6     | Approve CHF 4,600 Reduction in Share Capital via Cancellation of Shares       | For | For     | Management |
| 7     | Transact Other Business (Voting)  | For | Against | Management |

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### SUNRISE COMMUNICATIONS GROUP AG

Ticker: SRCG                      Security ID: H83659104  
Meeting Date: APR 11, 2017      Meeting Type: Annual  
Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                            | For     | For       | Management |
| 2.1   | Approve Allocation of Income   | For     | For       | Management |
| 2.2   | Approve Dividends from Capital Contribution Reserves                         | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                             | For     | For       | Management |
| 4.1.1 | Reelect Peter Schoepfer as Director  | For     | For       | Management |
| 4.1.2 | Reelect Jesper Ovesen as Director  | For     | For       | Management |
| 4.1.3 | Reelect Robin Bienenstock as Director  | For     | For       | Management |
| 4.1.4 | Reelect Michael Krammer as Director  | For     | For       | Management |
| 4.1.5 | Reelect Joachim Preisig as Director  | For     | For       | Management |
| 4.1.6 | Reelect Christoph Vilanek as Director  | For     | Against   | Management |
| 4.1.7 | Reelect Peter Kurer as Director  | For     | For       | Management |
| 4.1.8 | Reelect Peter Kurer as Board Chairman  | For     | For       | Management |
| 4.2.1 | Appoint Peter Schoepfer as Member of the Compensation Committee              | For     | For       | Management |
| 4.2.2 | Appoint Peter Kurer as Member of the Compensation Committee                  | For     | For       | Management |
| 4.2.3 | Appoint Christoph Vilanek as Member of the Compensation Committee            | For     | Against   | Management |
| 4.2.4 | Appoint Michael Krammer as Member of the Compensation Committee              | For     | For       | Management |
| 4.2.5 | Appoint Peter Schoepfer as Chairman of the Compensation Committee            | For     | For       | Management |
| 5     | Designate Andreas Keller as Independent Proxy                                | For     | For       | Management |
| 6     | Ratify Ernst & Young AG as Auditors  | For     | For       | Management |
| 7.1   | Approve Remuneration Report  | For     | For       | Management |
| 7.2   | Approve Maximum Aggregate Remuneration of Directors in the Amount of CHF 1.4 | For     | For       | Management |

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|     |  |     |         |            |
|-----|--|-----|---------|------------|
|     | Million  |     |         |            |
| 7.3 | Approve Maximum Aggregate Remuneration of Executive Committee in the Amount of CHF 11.9 Million            | For | For     | Management |
| 8.1 | Approve CHF 350,000 Pool of Authorized Capital without Preemptive Rights for Employee Equity Participation | For | Against | Management |
| 8.2 | Approve Creation of CHF 4.5 Million Pool of Capital without Preemptive Rights                              | For | For     | Management |
| 8.3 | Amend Articles Re: Deadline for Additional Agenda Items  | For | For     | Management |
| 8.4 | Amend Articles Re: Variable Compensation of the Executive Committee  | For | For     | Management |
| 9   | Transact Other Business (Voting)   | For | Against | Management |

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### SWATCH GROUP AG

Ticker: UHR Security ID: H83949133

Meeting Date: MAY 23, 2017 Meeting Type: Annual

Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 2     | Approve Discharge of Board and Senior Management   | For     | For       | Management |
| 3     | Approve Allocation of Income and Dividends of CHF 1.35 per Registered Share and CHF 6.75 per Bearer Shares | For     | For       | Management |
| 4.1.1 | Approve Fixed Remuneration of Non-Executive Directors in the Amount of CHF 1 Million                       | For     | Against   | Management |
| 4.1.2 | Approve Fixed Remuneration of Executive Directors in the Amount of CHF 2.6 Million                         | For     | Against   | Management |
| 4.2   | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 6.0 Million                         | For     | Against   | Management |
| 4.3   | Approve Variable Remuneration of Executive Directors in the Amount of CHF 6.5 Million                      | For     | Against   | Management |
| 4.4   | Approve Variable Remuneration of Executive Committee in the Amount of CHF 18.1 Million                     | For     | Against   | Management |
| 5.1   | Elect Nayla Hayek as Director  | For     | Against   | Management |
| 5.2   | Elect Ernst Tanner as Director   | For     | Against   | Management |
| 5.3   | Elect Daniela Aeschlimann as Director  | For     | Against   | Management |
| 5.4   | Elect Georges N. Hayek as Director   | For     | Against   | Management |
| 5.5   | Elect Claude Nicollier as Director   | For     | Against   | Management |
| 5.6   | Elect Jean-Pierre Roth as Director   | For     | For       | Management |
| 5.7   | Elect Nayla Hayek as Board Chairman  | For     | Against   | Management |
| 6.1   | Appoint Nayla Hayek as Member of the Compensation Committee  | For     | Against   | Management |
| 6.2   | Appoint Ernst Tanner as Member of the Compensation Committee   | For     | Against   | Management |

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|     |   |     |         |            |
|-----|---|-----|---------|------------|
| 6.3 | Appoint Daniela Aeschlimann as Member of the Compensation Committee | For | Against | Management |
| 6.4 | Appoint Georges N. Hayek as Member of the Compensation Committee    | For | Against | Management |
| 6.5 | Appoint Claude Nicollier as Member of the Compensation Committee    | For | Against | Management |
| 6.6 | Appoint Jean-Pierre Roth as Member of the Compensation Committee    | For | For     | Management |
| 7   | Designate Bernhard Lehmann as Independent Proxy                     | For | For     | Management |
| 8   | Ratify PricewaterhouseCoopers Ltd as Auditors                       | For | For     | Management |
| 9   | Transact Other Business (Voting)                                    | For | Against | Management |

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### SWISS LIFE HOLDING

Ticker: SLHN Security ID: H8404J162

Meeting Date: APR 25, 2017 Meeting Type: Annual

Record Date:

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|------|---|---------|-----------|------------|
| 1.1  | Accept Financial Statements and Statutory Reports   | For     | For       | Management |
| 1.2  | Approve Remuneration Report   | For     | For       | Management |
| 2.1  | Approve Allocation of Income  | For     | For       | Management |
| 2.2  | Approve Dividends of CHF 11.00 per Share from Capital Contribution Reserves   | For     | For       | Management |
| 3    | Approve Discharge of Board of Directors   | For     | For       | Management |
| 4.1  | Approve Fixed Remuneration of Board of Directors in the Amount of CHF 3.2 Million   | For     | Against   | Management |
| 4.2  | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 4.4 Million                                | For     | For       | Management |
| 4.3  | Approve Maximum Fixed Remuneration and Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 13.8 Million | For     | For       | Management |
| 5.1  | Reelect Rolf Doerig as Director and Board Chairman  | For     | Against   | Management |
| 5.2  | Reelect Gerold Buehrer as Director  | For     | For       | Management |
| 5.3  | Reelect Adrienne Fumagalli as Director  | For     | For       | Management |
| 5.4  | Reelect Ueli Dietiker as Director   | For     | For       | Management |
| 5.5  | Reelect Damir Filipovic as Director   | For     | For       | Management |
| 5.6  | Reelect Frank Keuper as Director  | For     | For       | Management |
| 5.7  | Reelect Henry Peter as Director   | For     | For       | Management |
| 5.8  | Reelect Frank Schneulin as Director   | For     | For       | Management |
| 5.9  | Reelect Franziska Sauber as Director  | For     | For       | Management |
| 5.10 | Reelect Klaus Tschuetscher as Director  | For     | For       | Management |
| 5.11 | Elect Stefan Loacker as Director  | For     | For       | Management |
| 5.12 | Appoint Henry Peter as Member of the Compensation Committee   | For     | For       | Management |
| 5.13 | Appoint Frank Schneulin as Member of the Compensation Committee   | For     | For       | Management |
| 5.14 | Appoint Franziska Sauber as Member of the Compensation Committee  | For     | For       | Management |

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|   |   |     |         |            |
|---|---|-----|---------|------------|
| 6 | Designate Andreas Zuercher as Independent Proxy | For | For     | Management |
| 7 | Ratify PricewaterhouseCoopers AG as Auditors    | For | For     | Management |
| 8 | Transact Other Business (Voting)                | For | Against | Management |

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### SWISS REINSURANCE (SCHWEIZERISCHE RUECKVERSICHERUNGS)

Ticker: SREN Security ID: H8431B109

Meeting Date: APR 21, 2017 Meeting Type: Annual

Record Date:

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Approve Remuneration Report  | For     | Against   | Management |
| 1.2  | Accept Financial Statements and Statutory Reports  | For     | For       | Management |
| 2    | Approve Allocation of Income and Dividends of CHF 4.85 per Share   | For     | For       | Management |
| 3    | Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 18.3 Million                | For     | For       | Management |
| 4    | Approve Discharge of Board of Directors  | For     | For       | Management |
| 5.1a | Reelect Walter Kielholz as Director and Board Chairman   | For     | Against   | Management |
| 5.1b | Reelect Raymond Ch'ien as Director   | For     | Against   | Management |
| 5.1c | Reelect Renato Fassbind as Director  | For     | Against   | Management |
| 5.1d | Reelect Mary Francis as Director   | For     | Against   | Management |
| 5.1e | Reelect Rajna Brandon as Director  | For     | Against   | Management |
| 5.1f | Reelect Robert Henrikson as Director   | For     | Against   | Management |
| 5.1g | Reelect Trevor Manuel as Director  | For     | Against   | Management |
| 5.1h | Reelect Philip Ryan as Director  | For     | Against   | Management |
| 5.1i | Reelect Paul Tucker as Director  | For     | Against   | Management |
| 5.1j | Reelect Susan Wagner as Director   | For     | Against   | Management |
| 5.1k | Elect Jay Ralph as Director  | For     | Against   | Management |
| 5.1l | Elect Joerg Reinhardt as Director  | For     | Against   | Management |
| 5.1m | Elect Jacques de Vaucleroy as Director   | For     | Against   | Management |
| 5.2a | Appoint Raymond Ch'ien as Member of the Compensation Committee   | For     | For       | Management |
| 5.2b | Appoint Renato Fassbind as Member of the Compensation Committee  | For     | For       | Management |
| 5.2c | Appoint Robert Henrikson as Member of the Compensation Committee   | For     | For       | Management |
| 5.2d | Appoint Joerg Reinhardt as Member of the Compensation Committee  | For     | For       | Management |
| 5.3  | Designate Proxy Voting Services GmbH as Independent Proxy  | For     | For       | Management |
| 5.4  | Ratify PricewaterhouseCoopers AG as Auditors   | For     | For       | Management |
| 6.1  | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 9.9 Million                              | For     | For       | Management |
| 6.2  | Approve Maximum Fixed and Variable Long-Term Remuneration of Executive Committee in the Amount of CHF 34 Million | For     | For       | Management |
| 7    | Approve CHF 1.1 Million Reduction in Share Capital via Cancellation of   | For     | For       | Management |

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|     |   |     |     |            |
|-----|---|-----|-----|------------|
|     | Repurchased Shares  |     |     |            |
| 8   | Authorize Repurchase of up to CHF 1 Billion of Issued Share Capital   | For | For | Management |
| 9.1 | Approve Renewal of CHF 8.5 Million Pool of Authorized Share Capital with Partial Exclusion of Preemptive Rights | For | For | Management |
| 9.2 | Amend Articles Re: Exclusion of Preemptive Rights   | For | For | Management |
| 10  | Transact Other Business (Voting)  | For | For | Management |

### TECAN GROUP AG

Ticker:           TECN                   Security ID: H84774167  
Meeting Date: APR 11, 2017   Meeting Type: Annual  
Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                             | For     | For       | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 1.75 per Share              | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                              | For     | For       | Management |
| 4.1.1 | Reelect Heinrich Fischer as Director  | For     | For       | Management |
| 4.1.2 | Reelect Oliver Fetzter as Director  | For     | For       | Management |
| 4.1.3 | Reelect Lars Holmqvist as Director  | For     | For       | Management |
| 4.1.4 | Reelect Karen Huebscher as Director   | For     | For       | Management |
| 4.1.5 | Reelect Christa Kreuzburg as Director   | For     | For       | Management |
| 4.1.6 | Reelect Gerard Vaillant as Director   | For     | For       | Management |
| 4.1.7 | Reelect Rolf Classon as Director  | For     | For       | Management |
| 4.2   | Elect Rolf Classon as Board Chairman  | For     | For       | Management |
| 4.3.1 | Appoint Oliver Fetzter as Member of the Compensation Committee                | For     | For       | Management |
| 4.3.2 | Appoint Christa Kreuzburg as Member of the Compensation Committee             | For     | For       | Management |
| 4.3.3 | Appoint Gerard Vaillant as Member of the Compensation Committee               | For     | For       | Management |
| 4.4   | Ratify Ernst & Young as Auditors  | For     | For       | Management |
| 4.5   | Designate Proxy Voting Services GmbH as Independent Proxy                     | For     | For       | Management |
| 5.1   | Approve Remuneration Report   | For     | For       | Management |
| 5.2   | Approve Remuneration of Directors in the Amount of CHF 1.5 Million            | For     | For       | Management |
| 5.3   | Approve Remuneration of Executive Committee in the Amount of CHF 18.5 Million | For     | For       | Management |
| 6     | Transact Other Business (Voting)  | For     | Against   | Management |

### UBS GROUP AG

Ticker:           UBSG                   Security ID: H892U1882  
Meeting Date: MAY 04, 2017   Meeting Type: Annual



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Record Date:

| #     | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-------|---|---------|-----------|------------|
| 1.1   | Accept Financial Statements and Statutory Reports   | For     | For       | Management |
| 1.2   | Approve Remuneration Report (Non-Binding)   | For     | For       | Management |
| 2     | Approve Allocation of Income and Dividends of CHF 0.60 per Share from Capital Contribution Reserves | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management  | For     | For       | Management |
| 4     | Approve Variable Remuneration of Executive Committee in the Amount of CHF 71.9 Million              | For     | For       | Management |
| 5     | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 31.5 Million         | For     | For       | Management |
| 6.1a  | Reelect Axel Weber as Director and Board Chairman   | For     | For       | Management |
| 6.1b  | Reelect Michel Demare as Director   | For     | For       | Management |
| 6.1c  | Reelect David Sidwell as Director   | For     | For       | Management |
| 6.1d  | Reelect Reto Francioni as Director  | For     | For       | Management |
| 6.1e  | Reelect Ann Godbehere as Director   | For     | For       | Management |
| 6.1f  | Reelect William Parrett as Director   | For     | For       | Management |
| 6.1g  | Reelect Isabelle Romy as Director   | For     | For       | Management |
| 6.1h  | Reelect Robert Scully as Director   | For     | For       | Management |
| 6.1i  | Reelect Beatrice Weder di Mauro as Director   | For     | For       | Management |
| 6.1j  | Reelect Dieter Wemmer as Director   | For     | For       | Management |
| 6.2   | Elect Julie Richardson as Director  | For     | For       | Management |
| 6.3.1 | Appoint Ann Godbehere as Member of the Compensation Committee                                       | For     | For       | Management |
| 6.3.2 | Appoint Michel Demare as Member of the Compensation Committee                                       | For     | For       | Management |
| 6.3.3 | Appoint Reto Francioni as Member of the Compensation Committee                                      | For     | For       | Management |
| 6.3.4 | Appoint William Parrett as Member of the Compensation Committee                                     | For     | For       | Management |
| 7     | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 14 Million                  | For     | For       | Management |
| 8.1   | Designate ADB Altorfer Duss & Beilstein AG as Independent Proxy                                     | For     | For       | Management |
| 8.2   | Ratify Ernst & Young AG as Auditors   | For     | For       | Management |
| 9     | Transact Other Business (Voting)  | For     | Against   | Management |

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VALIANT HOLDING AG

Ticker: VATN Security ID: H90203128  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date:

| # | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For     | For       | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
| 2     | Approve Remuneration Report   | For | Against | Management |
| 3     | Approve Discharge of Board and Senior Management                                      | For | For     | Management |
| 4     | Approve Allocation of Income and Dividends of CHF 3.80 per Share                      | For | For     | Management |
| 5.1   | Approve Remuneration of Board of Directors in the Amount of CHF 1.7 Million           | For | Against | Management |
| 5.2   | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 2.9 Million    | For | For     | Management |
| 5.3   | Approve Variable Remuneration of Executive Committee in the Amount of CHF 1.9 Million | For | For     | Management |
| 6.1.1 | Reelect Juerg Bucher as Director and Board Chairman                                   | For | For     | Management |
| 6.1.2 | Reelect Barbara Artmann as Director   | For | For     | Management |
| 6.1.3 | Reelect Jean-Baptiste Beuret as Director  | For | For     | Management |
| 6.1.4 | Reelect Christoph Buehler as Director   | For | For     | Management |
| 6.1.5 | Reelect Othmar Stoeckli as Director   | For | For     | Management |
| 6.1.6 | Reelect Franziska von Weissenfluh as Director   | For | For     | Management |
| 6.2.1 | Elect Maya Bundt as Director  | For | For     | Management |
| 6.2.2 | Elect Nicole Pauli as Director  | For | For     | Management |
| 7.1   | Appoint Franziska von Weissenfluh as Member of the Compensation Committee             | For | For     | Management |
| 7.2   | Appoint Juerg Bucher as Member of the Compensation Committee                          | For | Against | Management |
| 7.3   | Appoint Jean-Baptiste Beuret as Member of the Compensation Committee                  | For | For     | Management |
| 8     | Ratify PricewaterhouseCoopers AG as Auditors  | For | For     | Management |
| 9     | Designate Fellmann Tschuempferlin Loetscher AG as Independent Proxy                   | For | For     | Management |
| 10    | Transact Other Business (Voting)  | For | Against | Management |

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VAT GROUP AG

Ticker: VACN Security ID: H90508104  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                          | For     | For       | Management |
| 2.1   | Approve Treatment of Net Loss  | For     | For       | Management |
| 2.2   | Approve Dividends of CHF 4.00 per Share from Capital Contribution Reserves | For     | For       | Management |
| 3     | Approve Discharge of Board and Senior Management                           | For     | For       | Management |
| 4.1.1 | Elect Martin Komischke as Director and Board Chairman                      | For     | For       | Management |
| 4.1.2 | Reelect Alfred Gantner as Director   | For     | For       | Management |
| 4.1.3 | Reelect Ulrich Eckhardt as Director  | For     | For       | Management |
| 4.1.4 | Reelect Urs Leinhaeuser as Director  | For     | For       | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
| 4.1.5 | Reelect Karl Schlegel as Director   | For | For     | Management |
| 4.1.6 | Elect Hermann Gerlinger as Director   | For | For     | Management |
| 4.2.1 | Appoint Martin Komischke as Member of the Compensation Committee  | For | For     | Management |
| 4.2.2 | Appoint Ulrich Eckhardt as Member of the Compensation Committee   | For | Against | Management |
| 4.2.3 | Appoint Karl Schlegel as Member of the Compensation Committee   | For | Against | Management |
| 5     | Designate Roger Foehn as Independent Proxy  | For | For     | Management |
| 6     | Ratify KPMG AG as Auditors  | For | Against | Management |
| 7     | Amend Articles Re: Variable Compensation  | For | For     | Management |
| 8.1   | Approve Remuneration Report (Non-Binding)   | For | For     | Management |
| 8.2.1 | Approve Remuneration of Board of Directors in the Amount of CHF 170,000 from Jan. 1, 2017 until the 2017 AGM                | For | For     | Management |
| 8.2.2 | Approve Remuneration of Board of Directors in the Amount of CHF 900,000 for the Period from the 2017 AGM until the 2018 AGM | For | For     | Management |
| 8.3.1 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 1.55 Million for Fiscal 2017                 | For | For     | Management |
| 8.3.2 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 1.55 Million for Fiscal 2018                 | For | For     | Management |
| 8.4   | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 925,042 for Fiscal 2016                | For | For     | Management |
| 8.5.1 | Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 900,000 for Fiscal 2017         | For | For     | Management |
| 8.5.2 | Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 1.10 Million for Fiscal 2018    | For | For     | Management |
| 9     | Transact Other Business (Voting)  | For | Against | Management |

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VZ HOLDING AG

Ticker: VZN Security ID: H9239A103  
 Meeting Date: APR 06, 2017 Meeting Type: Annual  
 Record Date:

| #     | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-------|--|---------|-----------|------------|
| 1     | Accept Financial Statements and Statutory Reports                | For     | For       | Management |
| 2     | Approve Discharge of Board and Senior Management                 | For     | For       | Management |
| 3     | Approve Allocation of Income and Dividends of CHF 4.20 per Share | For     | For       | Management |
| 4.1.1 | Reelect Fred Kindle as Director and Board Chairman               | For     | For       | Management |

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|       |   |     |         |            |
|-------|---|-----|---------|------------|
| 4.1.2 | Reelect Roland Iff as Director  | For | For     | Management |
| 4.1.3 | Reelect Albrecht Langhart as Director   | For | For     | Management |
| 4.1.4 | Reelect Roland Ledergerber as Director  | For | For     | Management |
| 4.1.5 | Reelect Olivier de Perregaux as Director  | For | For     | Management |
| 4.2.1 | Appoint Fred Kindle as Member of the Compensation Committee                           | For | For     | Management |
| 4.2.2 | Appoint Roland Ledergerber as Member of the Compensation Committee                    | For | For     | Management |
| 5     | Designate Andreas Keller as Independent Proxy   | For | For     | Management |
| 6     | Ratify PricewaterhouseCoopers AG as Auditors  | For | For     | Management |
| 7.1   | Approve Remuneration of Directors in the Amount of CHF 345,000                        | For | For     | Management |
| 7.2   | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 4.1 Million    | For | For     | Management |
| 7.3   | Approve Variable Remuneration of Executive Committee in the Amount of CHF 1.6 Million | For | For     | Management |
| 8     | Transact Other Business (Voting)  | For | Against | Management |

===== END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

The Swiss Helvetia Fund, Inc.

By: /s/ Reid B. Adams

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Reid B. Adams  
Chief Legal Officer and  
Secretary

Date: August 24, 2017