

HENNESSY ADVISORS INC  
Form 8-K/A  
December 03, 2010

**SECURITIES AND EXCHANGE COMMISSION**

**UNITED STATES**

Washington, DC 20549

**FORM 8-K/A**

**Amendment No. 1**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the**

**Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported) December 3, 2010**

**HENNESSY ADVISORS, INC.**

(Exact name of registrant as specified in its charter)

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(State or other jurisdiction  
of incorporation)

Commission  
File Number)

(IRS Employer  
Identification No.)

**7250 Redwood Blvd., Suite 200**

**Novato, California**  
(Address of principal executive offices)

**94945**  
(Zip Code)

**Registrant's telephone number including area code: (415) 899-1555**

**Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ..  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ..  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ..  Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ..  Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Explanatory Note**

This amended Form 8-K is being filed to correct the financial highlights table in the attached press release. Otherwise the filing remains unchanged. Set forth below is the amended Form 8-K:

Item 2.02 Results of Operations and Financial Condition

The registrant has issued an earnings release for the fiscal year ended September 30, 2010, which is included herein as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits

The following exhibit is attached to this Current Report on Form 8-K.

Exhibit 99.1 Earnings release issued December 3, 2010, by Hennessy Advisors, Inc., for the fiscal year ended September 30, 2010.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

December 3, 2010

By: /s/ Neil J. Hennessy  
Neil J. Hennessy  
President

EXHIBIT INDEX

Exhibit 99.1 Earnings release issued December 3, 2010, by Hennessy Advisors, Inc., for the fiscal year ended September 30, 2010.