

MUGLIA ROBERT L  
Form 4  
December 04, 2002

UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION  
Washington, D.C. 20549

**FORM 4**

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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|  |  |   |                                 |   |   |
|--|--|---|---------------------------------|---|---|
| 1. Name and Address of Reporting Person* |  | 2. Issuer Name and Ticker or Trading Symbol                                   |                                 | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |   |
| <b>Muglia, Robert Lewis</b>              |  | <b>Microsoft Corporation, MSFT</b>  |                                 | <input type="checkbox"/> Director —<br><input type="checkbox"/> 10% Owner —<br><input checked="" type="checkbox"/> Officer (give title below) —<br>Other (specify below)<br><b>Group Vice President</b> |   |
| (Last) (First) (Middle)                  |  | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | 4. Statement for Month/Day/Year |   | 7. Individual or Joint/Group Filing (Check Applicable Line) |
| <b>One Microsoft Way</b>                 |  |   | <b>12/02/02</b>                 |   |   |
| (Street)                                 |  | 5. If Amendment, Date of Original (Month/Day/Year)                            |                                 | <input checked="" type="checkbox"/> Form filed by One Reporting Person  |   |
| <b>Redmond, WA 98052</b>                 |  |   |                                 | <input type="checkbox"/> Form filed by More than One Reporting Person   |   |

| 1. Title of Security (Instr. 3) |  |  | 2. Transaction Date (Month/ Day/ Year) | 2A. Deemed Execution Date, if any (Month/Day/ Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) |            |         | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|--|--|---|--------------------------------|---|---|------------|---------|--|--|---|
|                                 |  |  |  |   | Code                           | V | Amount  | (A) or (D) | Price   |  |  |   |
| Common Stock                    |  |  | 12/02/02                               |   | M                              |   | 10,000  | A          | \$11.31 |  |  |   |
| Common Stock                    |  |  | 12/02/02                               |   | S                              |   | 3,000   | D          | \$58.60 |  |  |   |
| Common Stock                    |  |  | 12/02/02                               |   | S                              |   | 1,000   | D          | \$58.62 |  |  |   |
| Common Stock                    |  |  | 12/02/02                               |   | S                              |   | 6,000   | D          | \$58.60 | 44,511   | D  |   |
| Common Stock                    |  |  |  |   |                                |   |   |            |         | 2,880  | I  | Held by spouse  |
| Common Stock                    |  |  |  |   |                                |   |   |            |         | 3,600  | I  | Held in IRA account                                   |
| Common Stock                    |  |  |  |   |                                |   |   |            |         | 31,210   | I  | Held in 401(k) account                                |
| Common Stock                    |  |  |  |   |                                |   |   |            |         | 9,550  | I  | Held by spouse in 401(k) account                      |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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**FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 & 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|----------------------------|--|--|--|--|
|  |  |                                      |  | Code                           | V | (A)  | (D) | Date Exercisable   | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |
| Employee Stock Option (right to buy)       | \$11.31  | 12/02/02                             |  | M                              |   | 10,000   |     | 01/31/99   | 07/31/05        | Common stock  | 10,000                     |  | 710,000  | D  |  |

Explanation of Responses:

By: /s/ **Shauna L. Vernal** 12/03/02  
**Shauna L. Vernal, Attorney-in-Fact for Robert Lewis** Date  
**Muglia**  
 \*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
 If space is insufficient, See Instruction 6 for procedure.

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