

STEPHENS WARREN A
Form 4
October 18, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPHENS WARREN A

(Last) (First) (Middle)
111 CENTER STREET
(Street)
LITTLE ROCK, AR 72201
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONNIS INC [CONN]

3. Date of Earliest Transaction
(Month/Day/Year)
10/16/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | 10/16/2017 | | P | 23,000 A | \$ 25,4989 2,792,920 | I | By Stephens Investments Holdings LLC |
| Common Stock | | | | | 22,619 | I | By Warren Miles Amerine Stephens 2012 Trust |
| Common Stock | | | | | 385,350 | I | By Warren A. Stephens Grantors |

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| | | | Trust |
|--------------|---------|---|--|
| Common Stock | 285,000 | I | By Warren A. Stephens Roth IRA |
| Common Stock | 430,000 | I | By WAS Family Trust One |
| Common Stock | 279,831 | I | By Harriet C. Stephens Trust |
| Common Stock | 403,452 | I | By Paula W. and John P. Calhoun Family Trust |
| Common Stock | 931,038 | I | By Warren and Harriet Stephens Childrens Trust |
| Common Stock | 82,430 | I | By Stephens Inc. |
| Common Stock | 0 | I | By WAS Conns Annuity Trust One |
| Common Stock | 56,633 | I | By Warren Miles Amerine Stephens 1995 Trust |
| Common Stock | 6,352 | I | By Warren Miles Amerine Stephens Trust |
| Common Stock | 56,633 | I | By John Calhoun Stephens 1995 Trust |
| Common Stock | 6,352 | I | By John Calhoun Stephens Trust |
| | 56,633 | I | |

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| | | | | | | | |
|--------------|--|--|--|--------|---|--|--|
| Common Stock | | | | | | | By Laura Whitaker Stephens 1995 Trust |
| Common Stock | | | | 6,352 | I | | By Laura Whitaker Stephens Trust |
| Common Stock | | | | 68,706 | I | | By Warren Miles Amerine Stephens Revocable Trust |
| Common Stock | | | | 68,705 | I | | By John C. Stephens Revocable Trust |
| Common Stock | | | | 68,705 | I | | By Laura Whitaker Stephens Revocable Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

