## Edgar Filing: Bank of New York Mellon Corp - Form 4

Bank of New York Mellon Corp Form 4 February 21, 2014

February 2	1, 2014												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL				
Washington, D.C. 20549										OMB Number:	3235-0287		
if no lo	this box									Expires:	January 31, 2005		
subject Section Form 4	to <b>SIAIE</b> 16.	MENT OF	CHANGES IN BENEFICIAL OWN SECURITIES					WNE	RSHIP OF	Estimated av burden hours response	erage		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940													
(Print or Type	e Responses)												
1. Name and Address of Reporting Person <u>*</u> Arledge Curtis			2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer				
		Bank of New York Mellon Corp [BK]						(Check all applicable)					
(Last)		3. Date of Earliest Transaction (Month/Day/Year)						Director 10% Owner X Officer (give title Other (specify					
THE BAN MELLON WALL ST	RK (	02/19/2014					be	low) Vice	below) e Chairman				
									6. Individual or Joint/Group Filing(Check Applicable Line)				
NEW YO								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative Se	curi	ties A	Acquir	ed, Disposed of,	or Beneficially	Owned		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Y			Date, if TransactionDisposed of (D) Code (Instr. 3, 4 and 5)				(A) or	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common Stock	02/19/2014			А	43,973.435 (1)	4	A	\$0	589,178.4354	4 D			
Common Stock	02/19/2014			А	121,360 <u>(2)</u>	1	A	\$0	710,538.4354	4 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Arledge Curtis THE BANK OF NEW YORK MELLO ONE WALL STREET NEW YORK, NY 10286	ON CORPORATION			Vice Chairman			
Signatures							
/s/ Craig T. Beazer, Attorney-in-Fact	02/21/2014						
**Signature of Reporting Person	Date						

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents previously granted Performance Share Units (including units from reinvested dividend equivalents) for which performance (1) goals have been achieved, as certified on February 19, 2013. Each unit represents the right to one share of the Issuer's common stock. These units are scheduled to vest on February 21, 2016 and remain subject to risk-based adjustment provisions.

Award of Restricted Stock Units under The Bank of New York Mellon Corporation Long-Term Incentive Plan. Units vest in annual (2) increments of one-third beginning on first anniversary of the award. All or a portion of the units may be forfeited prior to vesting based on ongoing risk-based adjustment provisions. Vested units will be settled in Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.