Bank of New York Mellon Corp Form 4 January 06, 2014

FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

VON SCHACK WESLEY W

| VON SCHACK WESLET W                  |                                      |  | Symbol Bank of New York Mellon Corp |   |  |  |             | 133401   |  |   |  |  |
|--------------------------------------|--------------------------------------|--|-------------------------------------|---|--|--|-------------|--|--|---|--|--|
|                                      |                                      |  | [BK]                                | or new Y  | ork Melion   | Corp   |             | (Check   | all applicable)  | )   |  |  |
| (Last)                               | (First)                              | (Middle)                                   | 3. Date of Earliest Transaction     |   | -  | X Director 10% Owner<br>Officer (give title Other (specify |             |  |  |   |  |  |
|                                      |                                      |  | (Month/<br>01/02/2                  | Day/Year)<br>2014   |  |  | b           | below) below)  |  |   |  |  |
| 0 II 0 <b>2</b> /                    |                                      |  |                                     |   |  |  |             | 6. Individual or Joint/Group Filing(Check  |  |   |  |  |
| Filed(Month/Day/Year)                |                                      |  | A                                   | Applicable Line)  |  |  |             |  |  |   |  |  |
| NEW YORK, NY 10286                   |                                      |  |                                     | _X_ Form filed by One Report Form filed by More than Person |  |  |             |  |  |   |  |  |
| (City)                               | (State)                              | (Zip)                                      | Tal                                 | ole I - Non-  | Derivative Se                                      | curiti   | es Acqui    | red, Disposed of,  | or Beneficiall   | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemo<br>Execution<br>any<br>(Month/Da | Date, if                            | 3.<br>Transactic<br>Code<br>(Instr. 8)                      | 4. Securities our Disposed (Instr. 3, 4 and Amount | of (D)   | Price       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 01/02/2014                           |  |                                     | A   | 104.1667<br>(1)                                    | A  | \$<br>34.56 | 56,623.3974  | D  |   |  |  |
| Common<br>Stock                      |                                      |  |                                     |   |  |  |             | 4,515  | I  | By<br>Keough<br>Account   |  |  |
| Common<br>Stock                      |                                      |  |                                     |   |  |  |             | 71,957   | I  | By<br>GRAT<br>2012  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc    | cisable and | 7. Titl | e and                                  | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|-------------|---------|--|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D     | ate         | Amou    | nt of                                  | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)       | Under   | lying                                  | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |             | Securi  | ties                                   | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                  |             | (Instr. | 3 and 4)                               |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                  |             |         |  |             | Follo  |
|             |             |                     |                    |            | (A) or     |                  |             |         |  |             | Repo   |
|             |             |                     |                    |            | Disposed   |                  |             |         |  |             | Trans  |
|             |             |                     |                    |            | of (D)     |                  |             |         |  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                  |             |         |  |             |        |
|             |             |                     |                    |            | 4, and 5)  |                  |             |         |  |             |        |
|             |             |                     |                    |            |            |                  |             |         | Amaunt                                 |             |        |
|             |             |                     |                    |            |            |                  |             |         |  |             |        |
|             |             |                     |                    |            |            | Date             | Expiration  |         |  |             |        |
|             |             |                     |                    |            |            | Exercisable Date | Date        | Title   |  |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                  |             |         |  |             |        |
|             |             |                     |                    | Code V     | 4, and 5)  |                  | •           | Title   | Amount<br>or<br>Number<br>of<br>Shares |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address     | Relationships |           |         |       |  |  |  |
|------------------------------------|---------------|-----------|---------|-------|--|--|--|
| 1 0                                | Director      | 10% Owner | Officer | Other |  |  |  |
| VON SCHACK WESLEY W                | v             |           |         |       |  |  |  |
| ONE WALL ST.<br>NEW YORK, NY 10286 | X             |           |         |       |  |  |  |

## **Signatures**

/s/ Craig T. Beazer,
Attorney-in-Fact
01/06/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock acquired pursuant to prior election under The Bank of New York Mellon Corporation Deferred Compensation Plan for Directors payable at a specified date in shares of The Bank of New York Mellon Corporation common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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