**MOSAIC CO** Form 4 July 22, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

(Print or Type Responses)

1(b).

See Instruction

1. Name and Address of Reporting Person \* PROKOPANKO JAMES T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

MOSAIC CO [MOS] 3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

07/18/2013

below) President and CEO

C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490

(Street)

(State)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

PLYMOUTH, MN 55441

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

118,758 D

Common Stock

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securit Acquire	ive ies ed (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (right to buy)	\$ 15.45						(3)	08/04/2016	Common Stock	129,38
Stock Option (Right to Buy)	\$ 20.7						<u>(3)</u>	02/01/2017	Common Stock	53,957
Stock Option (Right to Buy)	\$ 40.03						(3)	08/02/2017	Common Stock	83,433
Stock Option (Right to Buy)	\$ 127.21						(3)	07/31/2018	Common Stock	23,409
Stock Option (Right to Buy)	\$ 52.72						(3)	07/27/2019	Common Stock	48,077
Stock Option (Right to Buy)	\$ 44.93						<u>(4)</u>	07/27/2020	Common Stock	79,011
Restricted Stock Units	\$ 0 (1)						07/27/2013	(2)	Common Stock	43,401
Stock Option (Right to Buy)	\$ 70.62						<u>(5)</u>	07/21/2021	Common Stock	47,373
Restricted Stock Units	\$ 0 (1)						07/21/2014	(2)	Common Stock	20,768
Stock Option	\$ 57.62						<u>(6)</u>	07/19/2022	Common Stock	77,214

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(Right to Buy)								
Restricted Stock Units	\$ 0 (1)				07/19/2015	(2)	Common Stock	30,661
Stock Option (Right to Buy)	\$ 54.03	07/18/2013	A	83,649	<u>(7)</u>	07/18/2023	Common Stock	83,649
Restricted Stock Units	\$ 0 (1)	07/18/2013	A	32,698	07/18/2016	(2)	Common Stock	32,698

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 9	Director	10% Owner	Officer	Other			
PROKOPANKO JAMES T							
C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490	X		President and CEO				
PLYMOUTH, MN 55441							

# **Signatures**

/s/Richard L. Mack, Attorney-in-Fact for James T.
Prokopanko 07/22/2013

#### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) One-for-One
- (2) Not Applicable
- (3) This Stock Option is 100% exercisable.
- (4) Grant Date 07/27/2010; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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