HOLDING FRANK B

Form 4

January 18, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Add HOLDING FI	•	ing Person *	2. Issuer Name and Ticker or Trading Symbol FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) POST OFFIC	(First) E BOX 137	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/19/2012	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) Executive Vice Chairman			
SMITHFIELI	(Street) O, NC 27577	1	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned			

SMITHFIELD, NC 27577 $\frac{2}{\text{Pe}}$								Iore than One R	
(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Acqui	ired, Disposed of	, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4	d of (I and 5)))	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Class A Common Stock							635,990	D	
Class A Common Stock	12/21/2012		G(4) V	537,911	D	\$ 0	146,563 <u>(1)</u>	I	By spouse
Class A Common Stock	12/21/2012		G(4) V	537,911	A	\$ 0	537,911 (1)	I	By EAH 2012 GRAT
Class A Common							703,018 (3)	I	By adult children and their spouses

Edgar Filing: HOLDING FRANK B - Form 4

Stock									and children
Class A Common Stock							26,430 (3)	I	By trust for adult children
Class A Common Stock							167,600 (2)	I	By First Citizens Bancorporation, Inc.
Class A Common Stock							28,628 (2)	I	By Heritage BancShares, Inc. and subsidiary
Class A Common Stock							100,000 (2)	I	By Fidelity BancShares, Inc.
Class A Common Stock							146,699 (2)	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock							8,214 (2)	I	By Twin States Farming, Inc.
Class A Common Stock							627 (2)	I	By E&F Properties, Inc.
Class A Common Stock							1,675 <u>(2)</u>	I	By Holding Properties, LLC
Class B Common Stock	03/19/2012	P		15	A	\$ 162.65	1,833	D	
Class B Common Stock	01/02/2013	G	V	1,512	D	\$0	321	D	
Class B Common Stock	12/28/2012	G	V	480	D	\$0	2,346 (1)	I	By spouse
Class B Common Stock	01/02/2013	G	V	840	D	\$ 0	1,506 (1)	I	By spouse
Class A Common Stock	01/09/2013	G	V	840	D	\$ 0	666 (1)	I	By spouse
Class B Common Stock	01/02/2013	G	V	1,512	A	\$ 0	632,307 (3)	I	By adult children and their spouses and children

Edgar Filing: HOLDING FRANK B - Form 4

Class B Common Stock	01/02/2013	G	V	840	A	\$ 0	633,147 (3)	I	By adult children and their spouses and children
Class B Common Stock	12/28/2012	G	V	160	A	\$ 0	633,307 (3)	I	By adult children and their spouses and children
Class B Common Stock	12/27/2012	G	V	1,453	A	\$ 0	634,760 (3)	I	By adult children and their spouses and children
Class B Common Stock	12/28/2012	G	V	304	D	\$ 0	634,456 (3)	I	By adult children and their spouses and children
Class B Common Stock	01/09/2013	G	V	840	A	\$ 0	635,296 (3)	I	By adult children and their spouses and children
Class B Common Stock							6,772 <u>(3)</u>	I	By trust for adult children
Class B Common Stock							45,900 (2)	I	By First Citizens Bancorporation, Inc.
Class B Common Stock							22,619 (2)	I	By Southern BancShares (N.C.), Inc.
Class B Common Stock							1,355 (2)	I	By Twin States Farming, Inc.
Class B Common Stock							200 (2)	I	By E&F Properties, Inc.
Class B Common Stock							2,156 <u>(2)</u>	I	By Holding Properties, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui

Edgar Filing: HOLDING FRANK B - Form 4

Bene Own Follo Repo Trans (Instr

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr.	8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secur (Instr	rities . 3 and 4)	(Instr. 5)	
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other
HOLDING FRANK B POST OFFICE BOX 1377 SMITHFIELD, NC 27577	X	X	Executive Vice Chairman	

Signatures

Frank B. Holding, By: William R. Lathan, Jr.,
Attorney-in-Fact

01/18/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (2) The reporting person is a director, officer and/or shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein, if any.
- These shares are held by or for the reporting person's adult children and their spouses and children, none of whom reside in the reporting person's home. The reporting person disclaims any pecuniary or reportable interest in the shares and they will not be included in his future reports.
- (4) On December 21, 2012, the reporting person's spouse contributed 537,911 shares of Class A common stock to a grantor retained annuity trust for the benefit of herself and her children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4