

Giles Richard B
Form 3
September 27, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Giles Richard B

(Last) (First) (Middle)

C/O AMPIO
PHARMACEUTICALS,
INC.,Â 5445 DTC PARKWAY,
SUITE 925

(Street)

GREENWOOD
VILLAGE,Â COÂ 80111

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/18/2011

3. Issuer Name **and** Ticker or Trading Symbol
Ampio Pharmaceuticals, Inc. [AMPE]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock	53,144	D	Â
Common Stock	400	D	Â
Common Stock	400	D	Â
Common Stock	600	D	Â
Common Stock	200	D	Â
Common Stock	65	D	Â
Common Stock	4,545	D	Â
Common Stock	18,701	D	Â
Common Stock	59,585	D	Â

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Common Stock	32,000	D	Â
Common Stock	200	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (right to buy)	01/03/2011	01/03/2021	Common Stock	40,000	\$ 2.2	I	By wife
Stock Option (right to buy)	08/12/2010	08/12/2020	Common Stock	220,000	\$ 1.03	D	Â
Stock Option (right to buy)	08/27/2010	08/27/2020	Common Stock	30,000	\$ 1.7	D	Â
Stock Option (right to buy)	03/31/2011	03/31/2021	Common Stock	150,000	\$ 2.5	D	Â
Warrant to purchase common stock (right to buy)	02/28/2011	12/31/2013	Common Stock	11,918	\$ 1.75	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Giles Richard B C/O AMPPIO PHARMACEUTICALS, INC. 5445 DTC PARKWAY, SUITE 925 GREENWOOD VILLAGE, CO 80111	Â X	Â	Â	Â

Signatures

/s/ Richard B.
Giles

09/20/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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