

HOWARD CHARLES S
Form 4
April 22, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOWARD CHARLES S

2. Issuer Name and Ticker or Trading Symbol
MidWestOne Financial Group, Inc. [MOFG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
102 SOUTH CLINTON STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/22/2011

Director 10% Owner
 Officer (give title below) Other (specify below)

IOWA CITY, IA 52240

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/22/2011		A	260 ⁽⁵⁾ A \$ 0	95,791	D	
Common Stock					75,800	I	By Spouse
Common Stock					46,438 ⁽²⁾	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Stock Option	\$ 16.86					<u>(1)</u> 12/31/2012	Common Stock	6,650
Stock Option	\$ 19.75					<u>(1)</u> 12/31/2013	Common Stock	6,982
Stock Option	\$ 21.94					<u>(1)</u> 04/21/2014 ⁽³⁾	Common Stock	6,649
Stock Option	\$ 18.71					<u>(1)</u> 04/21/2014 ⁽⁴⁾	Common Stock	2,849

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOWARD CHARLES S 102 SOUTH CLINTON STREET IOWA CITY, IA 52240			X	

Signatures

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

04/22/2011

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Fully vested.

(2) Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of April 21, 2011. Reporting person's ownership of shares in his ESOP account increased since his last report due to allocations made to his

Edgar Filing: HOWARD CHARLES S - Form 4

account.

- (3) Expiration date changed from 12/31/2014 to 4/21/2014 to comply with retirement provisions of 1998 Stock Option Plan as an employee stock option upon termination of reporting person's paid employment status on 4/21/2011.
- (4) Expiration date changed from 12/31/2015 to 4/21/2014 to comply with retirement provisions of 1998 Stock Option Plan as an employee stock option upon termination of reporting person's paid employment status on 4/21/2011.
- (5) Shares were acquired pursuant to a grant of restricted stock units which vest in four equal annual installments beginning April 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.