InfuSystem Holdings, Inc Form 3 May 03, 2010

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **GOLD DANIEL P PHD** 

(Last) (First) Statement

(Month/Day/Year)

04/23/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol InfuSystem Holdings, Inc [MSHL]

> 4. Relationship of Reporting Person(s) to Issuer

\_X\_ Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MARSHALL EDWARDS, INC., 140 WICKS ROAD, NORTH RYDE

(Street)

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) Chief Executive Officer

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**NEW SOUTH** WALES, C3Â 2113

(City)

(State)

(Zip)

(Middle)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Price of Derivative Security

Derivative Security: Direct (D)

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#### Edgar Filing: InfuSystem Holdings, Inc - Form 3

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GOLD DANIEL P PHD

C/O MARSHALL EDWARDS, INC. 140 WICKS ROAD, NORTH RYDE NEW SOUTH WALES, C3Â 2113

# **Signatures**

/s/ Daniel P.
Gold

\*\*Signature of Reporting Person

O5/03/2010

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 25% will vest one year from the effective date of the Dr. Gold's employment agreement, effective April 19, 2010, thereafter, the remaining 75% of Dr. Gold's options will vest in equal monthly installments over the following 36 months.
- (2) The options expire five years from the grant date.
- (3) The Company has agreed to grant Dr. Gold options to purchase an additional 110,195 shares of common stock of the Company no later than 30 days following the public release of the Company's Ovature study results.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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