

Putnam Gerald D  
Form 4  
April 03, 2007

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Putnam Gerald D

(Last) (First) (Middle)

C/O NYSE GROUP, INC., 11  
WALL STREET

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NYSE Group, Inc. [NYX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/02/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

President and Co-COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, par value \$0.01 per share	04/02/2007		S		100 <sup>(1)</sup> D \$ 94.24	648,858	I See Footnote <sup>(2)</sup>
Common Stock, par value \$0.01 per share	04/02/2007		S		100 <sup>(1)</sup> D \$ 94.18	648,758	I See Footnote <sup>(2)</sup>
Common Stock, par value \$0.01 per share	04/02/2007		S		100 <sup>(1)</sup> D \$ 94.16	648,658	I See Footnote <sup>(2)</sup>

Edgar Filing: Putnam Gerald D - Form 4

Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.15	648,558	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.1	648,458	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.09	648,358	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.08	648,258	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.06	648,158	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.05	648,058	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.03	647,958	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 94.02	647,858	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.96	647,758	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.87	647,658	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.81	647,558	I	See Footnote <u>(2)</u>
	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.8	647,458	I	

Edgar Filing: Putnam Gerald D - Form 4

Common Stock, par value \$0.01 per share								See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.79	647,358	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.74	647,258	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.7	647,158	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.67	647,058	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.63	646,958	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.62	646,858	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.61	646,758	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.6	646,658	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.55	646,558	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	04/02/2007	S	100 <u>(1)</u>	D	\$ 93.5	646,458	I	See Footnote <u>(2)</u>
	04/02/2007	S	100 <u>(1)</u>	D		646,358 <u>(3)</u>	I	

Edgar Filing: Putnam Gerald D - Form 4

Common Stock, par value \$0.01 per share	\$ 93.38	See Footnote (2)
---	-------------	------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005			President and Co-COO	

## Signatures

Cornelius M. Courtney under POA dated 4/27/2006	04/04/2007
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by GSP, LLC.

## Edgar Filing: Putnam Gerald D - Form 4

- (2) Mr. Putnam owns a controlling interest in GSP, LLC and is the president of G&S Management Co., the manager of GSP, LLC. Represents 641,358 shares held through GSP, LLC (Mr. Putnam owns a controlling interest in GSP, LLC), and 5,000 shares that GSP, LLC gifted to the Putnam Family Foundation, a charitable organization. Mr. Putnam does not have a pecuniary interest in the Putnam Family Foundation and disclaims beneficial ownership in shares owned by the Putnam Family Foundation.
- (3) LLC gifted to the Putnam Family Foundation, a charitable organization. Mr. Putnam does not have a pecuniary interest in the Putnam Family Foundation and disclaims beneficial ownership in shares owned by the Putnam Family Foundation.

### **Remarks:**

This is report 5 of 5 for transactions effected on April 2, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to complete

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.