NYSE Group, Inc. Form 4 March 26, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

5. Relationship of Reporting Person(s) to

689,658

689,358

I

I

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

1(b).

per share Common

Stock, par

per share Common

Stock, par

per share

value \$0.01

value \$0.01

03/22/2007

03/22/2007

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Putnam Gerald D Symbol			Group, Inc			ng	Issuer (Check all applicable)		
(Last)	(First) (M		Earliest Tr	ansaction			Director		Owner
C/O NYSE WALL STR	GROUP, INC., 11 REET	(Month/E) 03/22/2	•				X_ Officer (give below)		er (specify
	(Street)		ndment, Da nth/Day/Year		l		6. Individual or Jo Applicable Line) _X_ Form filed by	•	
NEW YOR	K, NY 10005						Form filed by I Person	More than One Re	porting
(City)	(State) (	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Stock, par value \$0.01	03/22/2007		S	300 (1)	D	\$ 89.82	689,958	I	See Footnote

S

S

300 (1) D

300 (1) D

(2)

See

(2)

See

(2)

Footnote

Footnote

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Common Stock, par value \$0.01 per share	03/22/2007	S	300 (1)	D	\$ 89.27	689,058	I	See Footnote (2)
Common Stock, par value \$0.01 per share	03/22/2007	S	300 (1)	D	\$ 89.23	688,758	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	300 (1)	D	\$ 89.09	688,458	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	300 (1)	D	\$ 88.91	688,158	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	300 (1)	D	\$ 88.89	687,858	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 89.8	687,658	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 89.56	687,458	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 89.29	687,258	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 89.22	687,058	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 89.04	686,858	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1)	D	\$ 88.99	686,658	I	See Footnote (2)
	03/22/2007	S	200 (1)	D		686,458	I	

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Common Stock, par value \$0.01 per share					\$ 88.93			See Footnote (2)
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 88.88	686,258	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 88.85	686,058	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 88.83	685,858	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.7	685,658	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.63	685,458	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.61	685,258	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.6	685,058	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> E	)	\$ 87.51	684,858	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.5	684,658	I	See Footnote (2)
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.4	684,458	I	See Footnote
	03/22/2007	S	200 <u>(1)</u> D	)	\$ 87.3	684,258	I	

Common Stock, par value \$0.01 per share							See Footnote (2)
Common Stock, par value \$0.01 per share	03/22/2007	S	200 <u>(1)</u> D	\$ 87.25	684,058	I	See Footnote
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1) D	\$ 87.17	683,858	I	See Footnote (2)
Common Stock, par value \$0.01 per share	03/22/2007	S	200 (1) D	\$ 87.16	683,658	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>Derivativ</li></ol>	re		Securit	ties	(Instr. 5)
	Derivative				Securities	S		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration		Number	
						Exercisable	Date		of	
				Code	V (A) (D)				Shares	
				Code	v (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
•	Director	10% Owner	Officer	Other				
Putnam Gerald D			President and Co-COO					
C/O NYSE GROUP, INC.								

Reporting Owners 4 11 WALL STREET NEW YORK, NY 10005

## **Signatures**

Cornelius M. Courtney under POA dated 4/27/2006

03/26/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by GSP, LLC.
- (2) Mr. Putnam owns a controlling interest in GSP, LLC and is the president of G&S Management Co., the manager of GSP, LLC.

#### **Remarks:**

This is report 2 of 4 for transactions effected on March 22, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 5