NYSE Group, Inc. Form 4 January 26, 2007

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Putnam Gerald D Issuer Symbol NYSE Group, Inc. [NYX] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify C/O NYSE GROUP, INC., 11 01/24/2007 below) **WALL STREET** President and Co-COO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10005 Person

(City)	(State)	(Zip) <b>Tab</b> l	le I - Non-l	Derivative Sec	urities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on(A) or Dispos (Instr. 3, 4 and (A	sed of (D) d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	01/24/2007		Code V	Amount (D) 200 (1) D	,		I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007		S	200 (1) D	\$ 101.44	796,447	I	See Footnote
Common Stock, par	01/24/2007		S	200 (1) D	\$ 101.45	796,247	I	See Footnote

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Estimated average

burden hours per

value \$0.01 per share							(2)
Common Stock, par value \$0.01 per share	01/24/2007	S	200 <u>(1)</u> D	\$ 101.46	796,047	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	200 <u>(1)</u> D	\$ 101.56	795,847	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 <u>(1)</u> D	\$ 100.1	795,547	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 (1) D	\$ 100.18	795,247	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 (1) D	\$ 100.29	794,947	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 (1) D	\$ 100.3	794,647	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 (1) D	\$ 100.38	794,347	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 <u>(1)</u> D	\$ 100.39	794,047	I	See Footnote (2)
Common Stock, par value	01/24/2007	S	300 (1) D	\$ 100.52	793,747	I	See Footnote

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\$0.01 per share							
Common Stock, par value \$0.01 per share	01/24/2007	S	300 (1) D	\$ 101.41	793,447	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	300 <u>(1)</u> D	\$ 101.5	793,147	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	400 <u>(1)</u> D	\$ 100.05	792,747	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	400 <u>(1)</u> D	\$ 100.15	792,347	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	500 (1) D	\$ 101.3	791,847	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	700 <u>(1)</u> D	\$ 100.01	791,147	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	7,725 D	\$ 100	783,442 ( <u>3)</u>	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Ziicicisaoie	2		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005

President and Co-COO

### **Signatures**

Cornelius M. Courtney under POA dated 4/27/2006

01/26/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by Leiscester Enterprises LLC.
- (2) Mr. Putnam owns a controlling interest in Leiscester Enterprises LLC.

Represents 738,858 shares held through GSP, LLC (Mr. Putnam owns a controlling interest in GSP, LLC), 33,533 shares held through (3) Leicester Enterprises, LLC (Mr. Putnam owns a controlling interest in Leiscester Enterprises, LLC) and 11,031 shares that GSP, LLC gifted to the Putnam Family Foundation.

#### **Remarks:**

This is report 5 of 5 for transactions effected on January 24, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4