

OLD SECOND BANCORP INC
Form 4/A
February 19, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Eccher James

2. Issuer Name and Ticker or Trading Symbol
OLD SECOND BANCORP INC
[OSBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
06/11/2011

Director 10% Owner
 Officer (give title below) Other (specify below)
COO

37 S. RIVER ST.
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)
01/03/2014

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AURORA, IL 60506
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Old Second Bancorp, Inc Common Stock | 06/06/2011 | | P | | 10,000 (2) | A | \$ 1.0448 85,724 (1) D |
| Old Second Bancorp, Inc. Common Stock | 03/28/2013 | | D | | 57,270 (3) | D | \$ 0 28,454 D |
| Old Second Bancorp, Inc. | 04/16/2013 | | A | | 25,000 (4) | A | \$ 0 53,454 (5) D |

| | | | | |
|--|-------|---|---------------------------|--|
| Common Stock | | | | |
| Old Second Bancorp Inc. Common Stock | 6,242 | I | 401-k | |
| Old Second Bancorp Inc. Common Stock | 1,960 | I | Profit Sharing Plan | |
| OSBI Fixed Rate Cumulative Preferred Stock-Ser B | 120 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. F Der Sec (Ins | |
|---|---|---|---|---|---|--|---|----------------------------|-------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option | \$ 27.75 | | | | | 12/18/2008 | 12/18/2017 | Common Stock | 20,000 |
| Employee Stock Option | \$ 29.2 | | | | | 12/19/2007 | 12/19/2016 | Common Stock | 12,000 |
| Employee Stock Option | \$ 31.34 | | | | | 12/20/2005 | 12/20/2015 | Common Stock | 12,000 |

| | | | | | |
|----------|----------|------------|------------|--------|--------|
| Employee | | | | | |
| Stock | \$ 32.59 | 12/20/2005 | 12/21/2014 | Common | 12,000 |
| Option | | | | Stock | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Eccher James 37 S. RIVER ST. AURORA, IL 60506 | X | | COO | |

Signatures

| | |
|--|---------------------|
| /s/ James Eccher | 02/19/2014 |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this total are 148 shares held jointly with spouse; 23,256 shares held in a brokerage account.
- (2) This amount includes part of the shares purchased by Mr. Eccher and disclosed in a Form 4 filed on June 6, 2011. These shares were inadvertently excluded from the total amount due to a scrivener's error.
- (3) These shares represent a forfeiture of Restricted stock shares due to TARP rules. As originally reported on a Form 4 on 1-3-14, the amount of shares forfeited did not account for the 10,000 shares that were misreported on Form 4 filed 6-6-11.
- (4) These shares represent a Restricted stock grant pursuant to Old Second Bancorp, Inc. Equity Incentive Plan.
- (5) Included in this total are 148 shares held jointly with spouse; 23,256 shares held in a brokerage account and 30,050 shares held in Mr. Eccher's name alone.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.