

MEISS HANS VON
Form 4
June 02, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MEISS HANS VON

2. Issuer Name and Ticker or Trading Symbol
THINKORSWIM GROUP INC.
[SWIM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
13947 SOUTH MINUTEMAN
DRIVE

3. Date of Earliest Transaction
(Month/Day/Year)
05/29/2009

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)
DRAPER, UT 84020

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| | | | | Code V | Amount | | |
| Common Stock | 05/29/2009 | | M | 8,000 | A \$ 0.2 | 25,276 | D |
| Common Stock | 05/29/2009 | | M | 7,500 | A \$ 3.03 | 32,776 | D |
| Common Stock | 05/29/2009 | | M | 5,625 | A \$ 5.18 | 38,401 | D |
| Common Stock | | | | | | 136,333 | I Owned by In-Laws |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 0.2 | 05/29/2009 | | M | 8,000 | <u>(2)</u> 07/16/2013 | Common Stock | 8,000 |
| Stock Option | \$ 3.03 | 05/29/2009 | | M | 7,500 | <u>(3)</u> 12/08/2014 | Common Stock | 7,500 |
| Stock Option | \$ 5.18 | 05/29/2009 | | M | 5,625 | <u>(4)</u> 12/06/2015 | Common Stock | 5,625 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MEISS HANS VON 13947 SOUTH MINUTEMAN DRIVE DRAPER, UT 84020 | | | X | |

Signatures

/s/HANS VON
MEISS

05/29/2009

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted and approved by the Board of Directors.

(2) 20,000 shares were granted on 07/17/2003. These shares vest ratably 25% on the anniversary date of the grant beginning one year from the date of grant. All shares have vested.

Edgar Filing: MEISS HANS VON - Form 4

- (3) 7,500 shares were granted on 12/09/2004. These shares vest ratably 25% on the anniversary date of the grant beginning one year from the date of grant. All shares have vested.
- (4) 7,500 shares were granted on 12/07/2005. These shares vest ratably 25% on the anniversary date of the grant beginning on year from date of grant. 5,625 shares have vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.