

SIMMONS FIRST NATIONAL CORP

Form 8-K

April 17, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Exchange Act of 1934

Date of Report (Date of earliest event reported) April 17, 2019

SIMMONS FIRST NATIONAL CORPORATION

(Exact name of registrant as specified in its charter)

Arkansas  
(State or other jurisdiction of  
incorporation)

0-6253  
(Commission File Number)

71-0407808  
(I.R.S. Employer Identification No.)

501 Main Street, Pine Bluff, Arkansas  
(Address of principal executive offices)

71601  
(Zip Code)

(870) 541-1000

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(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.07 Submission of Matters to a Vote of Security Holders.**

On April 17, 2019, Simmons First National Corporation (“Corporation”) held its Annual Meeting of Shareholders (“Meeting”) at the Corporation’s headquarters in Pine Bluff, Arkansas. At the Meeting, the following matters were submitted to the Corporation’s security holders for consideration: (1) ratification of the action of the Corporation’s board of directors fixing the number of directors at fifteen (15), (2) election of fifteen (15) directors, (3) adoption of a non-binding resolution approving the compensation of the named executive officers of the Corporation, (4) ratification of the Audit Committee’s selection of the accounting firm BKD, LLP as independent auditors of the Company and its subsidiaries for the year ending December 31, 2019, and (5) amending the Corporation’s 2015 Employee Stock Purchase Plan to increase the number of offerings from 10 to 20 and the number of shares reserved for issuance thereunder from 200,000 to 500,000.

At the Meeting, all fifteen (15) directors were elected by proxies solicited pursuant to Section 14 of the Securities Exchange Act of 1934, without any solicitation in opposition thereto. The following table summarizes the required analysis of the voting by security holders at the Meeting:

**Voting of Shares**

	Broker						
<u>Action</u>	<u>For</u>	<u>%</u>	<u>Against</u>	<u>%</u>	<u>Abstain</u>	<u>%</u>	<u>Non-Votes</u>
Fix the number of directors at fifteen (15)	65,986,858	99.62	15,843	0.37	78,523	0.1	15,347,701
	Withhold				Broker		
<u>Election of Directors</u>	<u>For</u>	<u>%</u>	<u>Authority</u>	<u>%</u>	<u>Non-Votes</u>		
Jay D. Burchfield	65,901,702	99.43	79,523	0.6	15,347,701		
William E. Clark, II	63,911,196	96.42	370,028	3.6	15,347,701		
Steven A. Cosse	64,671,940	97.61	609,285	2.4	15,347,701		
Mark C. Doramus	63,905,746	96.42	375,479	3.6	15,347,701		
Edward Drilling	65,687,992	99.15	93,232	0.9	15,347,701		
Eugene Hunt	65,885,052	99.43	96,172	0.6	15,347,701		
Jerry Hunter	65,636,484	99.06	44,741	1.0	15,347,701		
Christopher R. Kirkland	65,908,302	99.43	72,922	0.6	15,347,701		
Susan Lanigan	65,630,563	99.06	50,661	1.0	15,347,701		
George A. Makris, Jr.	64,479,784	97.31	801,441	2.7	15,347,701		
W. Scott McGeorge	64,915,224	97.91	366,000	2.1	15,347,701		
Tom E. Purvis	65,896,757	99.43	84,467	0.6	15,347,701		
Robert L. Shoptaw	64,906,005	97.91	375,220	2.1	15,347,701		
Russell Teubner	65,744,439	99.25	36,786	0.8	15,347,701		
Mindy West	65,773,750	99.25	07,475	0.8	15,347,701		

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Broker

Action

Adoption of a non-binding resolution approving the compensation of the named executive officers

<u>For</u>	<u>%</u>	<u>Against</u>	<u>%</u>	<u>Abstain</u>	<u>%</u>	<u>Non-Votes</u>
63,085,286	95.22	827,965	4.33	67,973	0.51	15,347,701

Broker

Action

Ratification of the Audit Committee's selection of BKD, LLP as independent auditors of the Corporation and its subsidiaries for the year ending December 31, 2019

<u>For</u>	<u>%</u>	<u>Against</u>	<u>%</u>	<u>Abstain</u>	<u>%</u>	<u>Non-Votes</u>
79,843,238	97.81	699,008	2.18	6,680	0.10	

Broker

Action

Amendment of Corporation's  
2015 Employee Stock Purchase Plan to increase the number of  
offerings from 10 to 20 and the number of shares reserved for  
issuance from 200,000 to 500,000

<u>For</u>	<u>%</u>	<u>Against</u>	<u>%</u>	<u>Abstain</u>	<u>%</u>	<u>Non-Votes</u>
65,311,877	98.66	74,679	1.02	94,668	0.41	5,347,701

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**SIMMONS FIRST NATIONAL CORPORATION**

Date: April 17, 2019 /s/ Robert A. Fehlman  
Robert A. Fehlman, Senior Executive Vice President,  
Chief Financial Officer and Treasurer