Edgar Filing: Eliasek M Grier - Form 4

Eliasek M Gr	ier											
Form 4												
December 07	, 2017											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL			
. •	 UNITED S 	TATES					IGE C	OMMISSION	OMB	3235-0287		
Check this	sbox		Was	hington,	D.C. 205	49			Number:	January 31,		
if no longe				TEC IN D	FNIFFI	~T A T	OWN	JEDSHID OF	Expires: 200			
subject to		ENIU			ES IN BENEFICIAL OWNERSHIP OF					Estimated average		
Section 16 Form 4 or				SECURI	TIES	112.5				burden hours per response 0.5		
Form 5		uant to S	Section 16	(a) of the	Securitie	•s Fx	change	e Act of 1934,	response 0.5			
obligation	^s Section $17(a$						•	1935 or Section				
may contin See Instruc	nue.		of the Inv	•								
1(b).	cuon				1 5							
(Print or Type R	esponses)											
1 37 1 4		*						5 D L (1) (D				
I. Name and Ac Eliasek M G	ldress of Reporting P	erson_		r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
Lindsek IVI OI			Symbol	OSPECT CAPITAL CORP								
								(Check all applicable)				
			[PSEC]									
			of Earliest Transaction			_X_ Director 10% Owner _X_ Officer (give title Other (specify						
10 EAST 40	ГН ST, 42ND FL	OOP	(Month/Da 12/05/20	-				below)	below)			
10 LAST 40	111 51, 4 2ND PL	JOOK	12/03/20	17				CHIEF OPE	RATING OFF	ICER		
(Street) 4. If Amer				ndment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Mont	h/Day/Year)				Applicable Line)				
	NIX 10016							_X_ Form filed by On Form filed by Mo				
NEW YORK	, NY 10016							Person	1			
(City)	(State) (Zip)	Table	I - Non-De	erivative So	ecurit	ies Acq	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of	2. Transaction Date	e 2A. Dee	med	3.	4. Securiti	ies Ac	quired	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Executio	ion Date, if Transaction(A) or Disposed of (D)				l of (D)	Securities	Ownership	Indirect		
(Instr. 3)		any (Month/	any (Month/Day/Year)		Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			Beneficially Owned Following		Beneficial		
		(Monul/						Owned Following Reported		•		
								Transaction(s)	(I)	· /		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)	(Instr. 4)			
COMMON												
STOCK	12/05/2017			Р	10,000	А	\$ 6.73	1,072,195.805	D			
							0.70					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	s	Relationships						
	Director	10% Owner	Officer	Other				
Eliasek M Grier 10 EAST 40TH ST, 42ND FLOO NEW YORK, NY 10016	OR X		CHIEF OPERATING OFFICER					
Signatures								
/s/ M. Grier 12/0 Eliasek 12/0	07/2017							

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.