

SCHAMBER BLAINE

Form 3

April 09, 2019

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

SCHAMBER BLAINE

(Last) (First) (Middle)

C/O ARQULE, INC., ONE
WALL STREET

(Street)

BURLINGTON, MA 01803

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/30/2019

3. Issuer Name and Ticker or Trading Symbol
ARQULE INC [ARQL]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP, Finance, CAO6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

31,967

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/20/2020	Common Stock	5,300	\$ 3.42	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/23/2021	Common Stock	5,300	\$ 6.7	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/31/2022	Common Stock	10,000	\$ 7.95	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/13/2023	Common Stock	8,500	\$ 2.51	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/21/2024	Common Stock	15,000	\$ 2.57	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	01/19/2025	Common Stock	13,000	\$ 1.16	D	Â
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	06/21/2025	Common Stock	10,000	\$ 1.83	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	01/18/2026	Common Stock	32,000	\$ 1.79	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	01/16/2027	Common Stock	20,000	\$ 1.53	D	Â
Employee Stock Option (Right to Buy)	Â <u>(5)</u>	01/15/2028	Common Stock	16,600	\$ 1.75	D	Â
Employee Stock Option (Right to Buy)	Â <u>(6)</u>	01/14/2029	Common Stock	49,100	\$ 3.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHAMBER BLAINE C/O ARQULE, INC. ONE WALL STREET BURLINGTON, MA 01803	Â	Â	Â VP, Finance, CAO	Â

Signatures

/s/ Stephen Migausky, By Power of Attorney for Blaine
Schamber

04/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Fully Vested.
- (2) Vests in four annual installments from 6/22/2015.
- (3) Vests in four annual installments from 1/19/2016.
- (4) Vests in four annual installments from 1/17/2017.
- (5) Vests in four annual installments from 1/16/2018.
- (6) Vests in four annual installments from 1/15/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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