

MENDELSON LAWRENCE

Form 4

May 02, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MENDELSON LAWRENCE

(Last) (First) (Middle)

9400 SW
BEAVERTON-HILLSDALE
HWY., SUITE 131

(Street)

BEAVERTON, OR 97005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

Great Ajax Corp. [AJX]

3. Date of Earliest Transaction
(Month/Day/Year)

05/02/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

CHIEF EXECUTIVE OFFICER

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, par value \$0.01 per share	05/02/2018		A		49,156 ⁽¹⁾	A	\$ 15.53	454,763 ⁽²⁾	I	By Thetis Asset Management LLC
Common Stock, par value \$0.01 per share								70	I	By wife
								70	I	By daughter

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Common
Stock, par
value
\$0.01 per
share

Common
Stock, par
value
\$0.01 per
share

70

I

By son

Common
Stock, par
value
\$0.01 per
share

274,667 ⁽³⁾

I

By Gregory
Funding LLC

Common
Stock, par
value
\$0.01 per
share

726,212 ⁽⁴⁾
⁽⁵⁾

I

By Aspen Yo
LLC

Common
Stock, par
value
\$0.01 per
share

7,018 ⁽⁶⁾

I

By Flanders
Street Capital
Partners I.,
L.P.

Common
Stock, par
value
\$0.01 per
share

19,070

D

Common
Stock, par
value
\$0.01 per
share

5,923 ⁽⁷⁾

I

By
Mendelsohn
Family
Limited
Partnership

Common
Stock, par
value
\$0.01 per
share

45,938 ⁽⁸⁾

I

By Great
Ajax FS LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MENDELSON LAWRENCE
9400 SW BEAVERTON-HILLSDALE HWY,
SUITE 131
BEAVERTON, OR 97005

X

CHIEF EXECUTIVE OFFICER

Signatures

/s/ Lawrence
Mendelsohn 05/02/2018

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Payment of management fee to Thetis Asset Management LLC for first quarter ended March 31, 2018.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or for any other purpose.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or for any other purpose

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(5) Includes 274,667 shares held by Gregory Funding LLC, 405,607 shares held by Thetis Asset Management LLC and 45,938 shares held by Great Ajax FS LLC reported herein.

(6)

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(7) Mr. Mendelsohn and certain members of his family are partners of Mendelsohn Family Limited Partnership.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the

(8) inclusion of these shares in this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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