#### Edgar Filing: RICKS CABARET INTERNATIONAL INC - Form 4

#### RICKS CABARET INTERNATIONAL INC

Form 4 July 03, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Title of

Security

(Instr. 3)

Common

Stock

1. Name and Address of Reporting Person \* LANGAN ERIC SCOTT

(First) (Middle)

(Zip)

Execution Date, if

2. Transaction Date 2A. Deemed

10959 CUTTEN ROAD

(State)

07/01/2014

(Month/Day/Year)

(Street)

HOUSTON, TX US 77066

2. Issuer Name and Ticker or Trading

Symbol

RICKS CABARET INTERNATIONAL INC [RICK]

3. Date of Earliest Transaction (Month/Day/Year) 07/01/2014

3.

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

(Month/Day/Year)

(A) or Code V Amount (D)

A

58,000 Α

(1)

Price

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_\_ Director X 10% Owner X\_ Officer (give title Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

5. Amount of

Beneficially

Securities

Owned Following

Reported

699,977

Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial

Indirect (I) (Instr. 4)

Ownership (Instr. 4)

(9-02)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			ate	7. Title Amoun Under	int of lying ities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
	Derivative Security				Securities Acquired			(Instr.	3 and 4)		Owne Follo
					(A) or Disposed						Repo Trans
					of (D) (Instr. 3, 4, and 5)						(Instr
					4, and 3)				Amount		
						Date Exercisable	Expiration Date	Title	or Number of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Frame / Tradicis	Director	10% Owner	Officer	Other				
LANGAN ERIC SCOTT 10959 CUTTEN ROAD HOUSTON, TX US 77066	X	X	President and CEO					

## **Signatures**

/s/ Eric S.
Langan

\*\*Signature of Reporting Person

O7/03/2014

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The issuer granted a bonus award to Mr. Langan of 58,000 restricted shares of unvested common stock. All 58,000 shares will vest on the second anniversary of the grant date if he is still employed by the issuer at that time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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