GRIFFIN KENNETH C

Form 4

March 15, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * CITADEL ADVISORS LLC

(First)

(State)

C/O CITADEL LLC, 131 S.

2. Issuer Name and Ticker or Trading

Symbol

E TRADE FINANCIAL Corp [ETFC]

(Middle)

(Zip)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

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2005

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Number:

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response...

(Check all applicable)

(Month/Day/Year)

03/13/2013

_X__ Director 10% Owner Officer (give title Other (specify

DEARBORN ST., 32ND FLOOR

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

CHICAGO, IL 60603

(City)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired (A) or or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/13/2011		Code V S	Amount 27,394,448	(D)	Price \$ 11.28	0	D (1)	
Common Stock							4,784	D (2)	
Common Stock							26,729	D (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
topy and a many radiation	Director	10% Owner	Officer	Other			
CITADEL ADVISORS LLC C/O CITADEL LLC 131 S. DEARBORN ST., 32ND FLOOR CHICAGO, IL 60603	X						
CITADEL HOLDINGS I LP C/O CITADEL LLC 131 DEARBORN ST., 32ND FLOOR CHICAGO, IL 60603	X						
CITADEL ADVISORS HOLDINGS LP C/O CITADEL LLC 131 DEARBORN ST., 32ND FLOOR CHICAGO, IL 60603	X						
CITADEL SECURITIES LLC C/O CITADEL LLC 131 SOUTH DEARBORN STREET CHICAGO, IL 60603	X						
CITADEL DERIVATIVES TRADING LTD C/O CITADEL LLC 131 S DEARBORN STREET 32ND FLOOR CHICAGO, IL 60603	X						
CITADEL INVESTMENT GROUP II, L.L.C. C/O CITADEL LLC	X						

Reporting Owners 2

131 SOUTH DEARBORN STREET CHICAGO, IL 60603

CITADEL EQUITY FUND LTD

C/O CITADEL LLC

131 S DEARBORN STREET 32ND FL

CHICAGO, IL 60603

GRIFFIN KENNETH C

C/O CITADEL LLC

131 S DEARBORN STREET 32ND FL

CHICAGO, IL 60603

Signatures

s/ Mark Polemeni, Authorized Signatory

03/15/2013

X

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This security is owned by Citadel Equity Fund Ltd.
- (2) This security is owned by Citadel Derivatives Trading Ltd.
- (3) This security is owned by Citadel Securities LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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