Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 5

TORTOISE ENERGY INFRASTRUCTURE CORP

Form 5

January 13, 2017

Reported

Form 4 Transactions Reported

FORM 5 **OMB APPROVAL OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer ADAMS P. BRADLEY Symbol TORTOISE ENERGY (Check all applicable) INFRASTRUCTURE CORP [TYG] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 11/30/2016 Chief Executive Officer 11550 ASH STREET, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

LEAWOOD, KSÂ 66211

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tabl	le I - Non-Der	ivative Se	curitie	es Acquir	ed, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Ownership Beneficially Form: Direct Owned at end (D) or of Issuer's Indirect (I) Fiscal Year (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	(A) or (D)	Price	(Instr. 3 and 4)		
Common Shares	03/01/2016	Â	P4	2.72 (1)	A		111.87	I	By spouse
Common Shares	03/01/2016	Â	P4	20.38 (1)	A	\$ 25.1	7,335.83 (2)	D	Â
Common Shares	06/01/2016	Â	P4	2.48 (1)	A	\$ 28.25	111.87	I	By spouse
Common Shares	06/01/2016	Â	P4	18.58 (1)	A	\$ 28.25	7,335.83	D	Â

Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 5

Common Shares	08/04/2016	Â	S4(3)	10	D	\$ 30.75	7,335.83	D	Â
Common Shares	08/09/2016	Â	S4(3)	26	D	\$ 31.43	7,335.83	D	Â
Common Shares	09/01/2016	Â	L	17.61 (1)	A	\$ 30.46	836.54 (4)	I	By adult child living at home
Common Shares	09/01/2016	Â	L	2.35 (1)	A	\$ 30.46	111.87	I	By spouse
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons who respond to the collection of information contained in this form are not required to respond unless					SEC 2270 (9-02)	

the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									Amount	
						Date	Expiration	Title	or Number	
						Exercisable	Date	Title	of	
					(A) (D)				Shares	
					(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Othe			
ADAMS P. BRADLEY 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211	Â	Â	Chief Executive Officer	Â			

Signatures

P. Bradley Adams	01/13/2017			
**Signature of Reporting Person	Date			

Reporting Owners 2

Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 5

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Energy Infrastructure Corporation dividend reinvestment plan price.
- Includes 239.84 shares acquired under the Tortoise Energy Infrastructure Corporation dividend reinvestment plan, and excludes 818.93
 (2) shares formerly reported as direct beneficial ownership but now being reported as indirect beneficial ownership by adult child living at home
- (3) Non-discretionary transaction; transaction by reporting person's investment adviser in account over which reporting person has no discretion.
- (4) Includes 818.93 shares formerly reported as direct beneficial ownership but now held by adult child living at home.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.