Edgar Filing: LGL GROUP INC - Form 4

LCL CDOUDING

| Form 4 | | | | | | | | | | |
|--|--|---|--|--|--|------------|--|--|---|--|
| January 03, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549 | | | | | | COMMISSION | | 3235-0287 | | |
| Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b). | STATEMI Filed pursu ae. Section 17(a) | | | | | | | | January 31, 2005 d average ours per e 0.5 | |
| (Print or Type Res | sponses) | | | | | | | | | |
| Susanto Hendi S | | | 2. Issuer Name and Ticker or Trading Symbol LGL GROUP INC [LGL] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) C/O THE LGI SHADER RD | L GROUP, INC. | (Mont | 3. Date of Earliest Transaction (Month/Day/Year) 12/30/2016 | | | | (Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> 0ther (specify below) | | | |
| | mendment, Da Ionth/Day/Year) | e | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| ORLANDO, F | FL 32804 | | | | | | | More than One Re | | |
| (City) | (State) (Z | Zip) Ta | able I - Non-D | erivative S | ecuri | ties Ac | quired, Disposed o | of, or Beneficial | lly Owned | |
| | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, any (Month/Day/Ye | Code ar) (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, Amount | (A) o of (D |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 12/30/2016 | | А | 1,992 (1) | A | \$0 | 1,992 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Securi (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Susanto Hendi C/O THE LGL GROUP, INC. 2525 SHADER RD ORLANDO, FL 32804 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Patti A. Smith - Attorney-in-fact | | 01/03/2017 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock was awarded to the Reporting Person pursuant to the Issuer's Amended and Restated 2011 Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.