#### GOODRICH PETROLEUM CORP

Form 4/A October 31, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

Expires:

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

2 Jaguar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| FERCHAU MARK E                       |  | 2. Issuer Name and Ticker or Trading  Symbol |                                   |  |  |           | Issuer |  |  |   |  |
|--------------------------------------|--|--|-----------------------------------|--|--|-----------|--------|--|--|---|--|
|                                      |  |  | GOODRICH PETROLEUM CORP<br>[NONE] |  |  |           |        | (Check all applicable)   |  |   |  |
| (Last)                               |  |  |                                   | 3. Date of Earliest Transaction (Month/Day/Year) |  |           |        | Director 10% Owner Nother (specify   |  |   |  |
| 801 LOUISIANA, SUITE 700             |  |  | 10/12/2016                        |  |  |           |        | below) below) Executive Vice President   |  |   |  |
| (Street)                             |  |  | 4. If Amendment, Date Original    |  |  |           |        | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| HOUSTON TV 77002                     |  |  | Filed(Month/Day/Year) 10/14/2016  |  |  |           |        | Applicable Line) _X_ Form filed by One Reporting Person  |  |   |  |
| HOUSTON,                             | TX 77002   |  |                                   |  |  |           |        | Form filed by Person   | More than One Re   | eporting  |  |
| (City)                               | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                   |  |  |           |        |  |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction E<br>(Month/Day/Ye  | ar) Execution                                | emed<br>on Date, if<br>/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8)           | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) |           |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |  |  |                                   | Code V   | Amount   | or<br>(D) | Price  | (Instr. 3 and 4)   |  |   |  |
| Common Stock (1)                     | 10/12/2016   |  |                                   | A  | 51,206   | A         | \$0    | 51,206   | D  |   |  |
| Common<br>Stock (2)                  | 10/12/2016   |  |                                   | A  | 30,722   | A         | \$0    | 81,928   | D  |   |  |
| Common Stock (3)                     | 10/12/2016   |  |                                   | A  | 12,230   | A         | \$ 0   | 94,158   | D  |   |  |
| Common<br>Stock (4)                  | 10/12/2016   |  |                                   | A  | 18,342   | A         | \$ 0   | 112,500  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | of Derivative Securities Acquired                       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 an | unt of<br>rlying<br>rities | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo |
|---|---|---|---|--|---|--|--------------------|---|----------------------------|---|--|
|   |   |   |   |  | (A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number     |   | Repo<br>Trans<br>(Instr                          |
|   |   |   |   | Code V                                 | (A) (D)   |  |                    |   | of<br>Shares               |   |  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FERCHAU MARK E 801 LOUISIANA SUITE 700 HOUSTON, TX 77002

**Executive Vice President** 

## **Signatures**

Mark E. Ferchau 10/31/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock awarded pursuant to the Goodrich Management Incentive Plan as outlined in the First Amended Joint Plan of Reorganization of Goodrich Petroleum Corporation, and Affiliated Debtor (the "Plan").
- These restricted stock units were granted pursuant to the Goodrich Management Incentive Plan as outlined in the Plan. The restricted stock units are scheduled to vest in one-third increments on each of anniversary dates for the next three years. One-third of the shares will be delivered to the reporting person within 30 days of each vesting date, as long as the reporting person remains affiliated with the company on the vesting date.
- These restricted stock units were granted pursuant to the Goodrich Management Incentive Plan as outlined in the Plan. The restricted stock will vest upon the exercise of the UCC warrants outstanding as of the Effective Date (the exercisability of which is contingent upon the Company's achievement of market capitalization of \$230,000,000).

Reporting Owners 2

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These restricted stock units were granted pursuant to the Goodrich Management Incentive Plan as outlined in the Plan. The restricted (4) stock will vest when the notes held by the secondary lienholders to the Company outstanding as of the Effective Date have been exchanged for equity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.