### Edgar Filing: NOVAGOLD RESOURCES INC - Form 3/A

#### NOVAGOLD RESOURCES INC

Form 3/A April 16, 2014

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Ottewell David A.

(Last) (First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

12/01/2013

NOVAGOLD RESOURCES INC [NG]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

12/02/2013

C/O NOVAGOLD RESOURCES INC., Â 201 SOUTH MAIN STREET, SUITE 400

(Street)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

Vice President & CFO

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

### SALT LAKE CITY. UTÂ 84111

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect

(Instr. 5)

Common Shares

242,815 (1)

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(2)	09/19/2017	Common Shares	300,000	\$ 4.99 (3)	D	Â
Stock Option (right to buy)	(4)	12/04/2017	Common Shares	240,000	\$ 4.38 <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
·F	Director	10% Owner	Officer	Other		
Ottewell David A. C/O NOVAGOLD RESOURCES INC. 201 SOUTH MAIN STREET, SUITE 400 SALT LAKE CITY, UT 84111	Â	Â	Vice President & CFO	Â		

# **Signatures**

/s/ Tricia Pannier as attorney-in-fact for David A.
Ottewell

04/16/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 200,000 Performance Share Units, which are subject to performance criteria set by the board of directors of the Issuer. The
- (1) underlying common shares will not be issued to the reporting person, and the reporting person shall not have any voting or dispositive rights with respect to the underlying common shares, until the performance criteria has been met.
- (2) The options vest as follows: 1/3 on 11/13/2012; 1/3 on 11/13/2013; and 1/3 on 11/13/2014.
- (3) Expressed in Canadian dollars.
- (4) The options vest as follows: 1/3 on 12/05/2012; 1/3 on 12/05/2013; and 1/3 on 12/05/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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