Edgar Filing: NOVAGOLD RESOURCES INC - Form 3/A

NOVAGOLD RESOURCES INC Form 3/A January 09, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement NOVAGOLD RESOURCES INC [NG] Lang Gregory A. (Month/Day/Year) 12/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O NOVAGOLD 12/02/2013 (Check all applicable) **RESOURCES INC., Â 201** SOUTH MAIN STREET, SUITE _X_ Director 10% Owner 400 _X__Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group President & CEO Filing(Check Applicable Line) _X_ Form filed by One Reporting Person SALT LAKE Form filed by More than One CITY. UTÂ 84111 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1.Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â **Common Shares** 698,444 (<u>1</u>) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02)

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

Edgar Filing: NOVAGOLD RESOURCES INC - Form 3/A

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(2)	12/06/2016	Common Shares	500,000	\$ 10.12 (<u>3</u>)	D	Â
Stock Option (right to buy)	(4)	06/07/2017	Common Shares	500,000	\$ 6.17 <u>(3)</u>	D	Â
Stock Option (right to buy)	(5)	12/04/2017	Common Shares	660,000	\$ 4.38 <u>(3)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0		10% Owner	Officer	Other			
Lang Gregory A. C/O NOVAGOLD RESOURCES INC. 201 SOUTH MAIN STREET, SUITE 400 SALT LAKE CITY, UT 84111	ÂX	Â	President & CEO	Â			
Signatures							
/s/ Tricia Pannier as attorney-in-fact for Gre Lang	01/09/2014						
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 560,000 Performance Share Units, which are subject to performance criteria set by the board of directors of the Issuer. The underlying common shares will not be issued to the reporting person, and the reporting person shall not have any voting or dispositive rights with respect to the underlying common shares, until the performance criteria has been met.

- (2) The options vest as follows: 1/3 immediately; 1/3 on 1/9/2013; 1/3 on 1/9/2014.
- (3) Expressed in Canadian dollars.
- (4) The options vest as follows: 166,000 on 6/8/2012; 167,000 on 6/8/2013; 167,000 on 6/8/2014.
- (5) The options vest as follows: 220,000 on 12/5/2012; 220,000 on 12/5/2013; 220,000 on 12/5/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.