Mahamedi Abdi Form 4 March 14, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

Mahamedi Abdi

2. Issuer Name and Ticker or Trading

Symbol

LIQUIDMETAL TECHNOLOGIES INC [LQMT]

3. Date of Earliest Transaction

(Month/Day/Year)

Issuer (Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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Estimated average

burden hours per

LIQUIDMETAL TECHNOLOGIES, 02/05/2013

(First)

INC., 30452 ESPERANZA

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### RANCHO SANTA MARGARITA, CA 92688

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Sec	curitie	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities omr Disposed of (Instr. 3, 4 an	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/06/2013		J <u>(1)</u>	2,856,747	,		8,077,772	I	See Footnote 2 (2)
Common Stock	02/06/2013		J(3)	3,860,696	A	\$ 0.22	11,938,468	I	See Footnote 2 (2)
Common Stock	02/28/2013		J <u>(4)</u>	565,344	A	\$ 0.1	6,222,177	D	
Common Stock	02/28/2013		J <u>(5)</u>	3,105,096	A	\$ 0.22	9,327,273	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A-1 Preferred Stock	\$ 0.1	02/06/2013		C(1)		48,600	<u>(6)</u>	<u>(6)</u>	Common Stock	2,856,747
Series A-2 Preferred Stock	\$ 0.22	02/06/2013		C(3)		144,495	<u>(6)</u>	<u>(6)</u>	Common Stock	3,860,696
Series A-1 Preferred Stock	\$ 0.1	02/28/2013		C(4)		10,000	<u>(6)</u>	<u>(6)</u>	Common Stock	565,344
Series A-2 Preferred Stock	\$ 0.22	02/28/2013		C(5)		116,215	<u>(6)</u>	<u>(6)</u>	Common Stock	3,105,096

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Mahamedi Abdi LIQUIDMETAL TECHNOLOGIES, INC. 30452 ESPERANZA RANCHO SANTA MARGARITA, CA 92688	X					

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## **Signatures**

/s/ Abdi Mahamedi 03/14/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 6, 2013, the reporting person converted 48,600 shares of Series A-1 Preferred Stock in accordance with the terms described in the Amended and Restated Certificate of Designations, Preferences and Rights of Series A Preferred Stock of the issuer.
- Shares indirectly held in the name of Carlyle Holdings, LLC. The reporting person disclaims beneficial ownership of these securities, and (2) this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of the reporting person's pecuniary interest in such securities.
- (3) On February 6, 2013, the reporting person converted 144,495 shares of Series A-2 Preferred Stock in accordance with the terms described in the Amended and Restated Certificate of Designations, Preferences and Rights of Series A Preferred Stock of the issuer.
- (4) On February 28, 2013, the reporting person converted 10,000 shares of Series A-1 Preferred Stock in accordance with the terms described in the Amended and Restated Certificate of Designations, Preferences and Rights of Series A Preferred Stock of the issuer.
- On February 28, 2013, the reporting person converted 116,215 shares of Series A-2 Preferred Stock in accordance with the terms described in the Amended and Restated Certificate of Designations, Preferences and Rights of Series A Preferred Stock of the issuer.
- Each share of Series A Preferred Stock is convertible into shares of Common Stock at the time and under the circumstances described in (6) the Amended and Restated Certificate of Designations, Preferences and Rights for Series A Preferred Stock of the issuer. The Series A Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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