

CODEXIS INC  
Form 3  
June 03, 2011

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol
Â Raizen Energia Participacoes S.A.			(Month/Day/Year)	CODEXIS INC [CDXS]
(Last)	(First)	(Middle)		
AVENIDA PRESIDENTE JUSCELINO KUBITSCHECK,Â 1327 6 O ANDAR			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
			____ Director    __X__ 10% Owner	
			____ Officer    ____ Other	
			(give title below)    (specify below)	
SAO PAULO,Â D5Â 04543-011			6. Individual or Joint/Group Filing(Check Applicable Line)	
(City)	(State)	(Zip)	____ Form filed by One Reporting Person	
			__X__ Form filed by More than One Reporting Person	

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,573,319 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial Ownership
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# Edgar Filing: CODEXIS INC - Form 3

(Month/Day/Year)	Derivative Security (Instr. 4)	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Raizen Energia Participacoes S.A. AVENIDA PRESIDENTE JUSCELINO KUBITSCHEK 1327 6 O ANDAR SAO PAULO, D5 04543-011	Â	Â X	Â	Â
Ispagnac Participacoes Ltda. AVENIDA DAS AMERICAS 4200 BLOCO 6, 1 O ANDAR, (PART) BARRA DA TIJUCA RIO DE JANEIRO, RJ CEP, D5 22640-102	Â	Â X	Â	Â
Royal Dutch Shell plc 30, CAREL VAN BYLANDTLAAN THE HAGUE, P7 2596 HR	Â	Â X	Â	Â
Cosan S.A. Industria e Comercio AVENIDA PRESIDENTE JUSCELINO KUBITSCHEK, 1327, 4 O ANDAR SAO PAULO, SP CEP, D5 04543-011	Â	Â X	Â	Â
Cosan Ltd. AVENIDA PRESIDENTE JUSCELINO KUBITSCHEK, 1726 6TH FLOOR SAO PAULO, SP, D5 04543-000	Â	Â X	Â	Â

## Signatures

/s/ Pedro Isamu Mizutani for Raizen Energia Participacoes S.A as its COO	06/01/2011
__Signature of Reporting Person	Date
/s/ Matias Lopes for Ispagnac Participacoes Ltda as an Officer	06/01/2011
__Signature of Reporting Person	Date
/s/ Mark Edwards for Royal Dutch Shell plc as its Deputy Company Secretary	06/01/2011
__Signature of Reporting Person	Date
/s/ Marcos Marinho Lutz for Cosan S.A. Industria e Comercio	06/01/2011

as its CEO

\_\_Signature of Reporting Person

Date

/s/ Rubens Ometo Silveira Mello  
for Cosan Limited as its CEO

06/01/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Acquisition of Codexis Common Stock by Raizen Energia Participacoes S.A. ("Raizen"), a joint venture company in which Royal Dutch Shell plc ("RDS") and Cosan Limited are indirectly, through their respective subsidiaries Ispagnac Participacoes Ltda. ("Ispagnac") and Cosan S.A. Industria e Comercio, joint venture partners, from Ispagnac was part of RDS's and its affiliates' contribution to such joint venture, and was made in exchange for Raizen capital stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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