#### Edgar Filing: STEIPP THOMAS W - Form 3

#### STEIPP THOMAS W

Form 3

August 11, 2010

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **STEIPP THOMAS W** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/05/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

#### LIQUIDMETAL TECHNOLOGIES INC [LQMT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

LIQUIDMETAL TECHNOLOGIES, INC.. 30452 ESPERANZA

(Street)

\_X\_ Director 10% Owner \_X\_ Officer Other

(Check all applicable)

(give title below) (specify below) President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

## RANCHO SANTA MARGARITA, CAÂ 92688

(State)

1. Title of Security (Instr. 4)

(City)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5)

Common Stock

 $6,000,000 \stackrel{(1)}{=}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series A-1 Preferred Stock	(2)	(2)	Common	20,000	\$ 0.1	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
STEIPP THOMAS W					
LIQUIDMETAL TECHNOLOGIES, INC.	ÂΧ	â	President and CEO	Â	
30452 ESPERANZA	АЛ	А	A President and CEO	A	
RANCHO SANTA MARGARITA, CA 92688					

# **Signatures**

/s/ Thomas W.
Steipp

\*\*Signature of Reporting Person

O8/11/2010

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person was recently hired as President and CEO of the issuer. The shares reported on Table 1 represent unvested restricted shares issued in accordance with Rule 16b-3 under the Securities Exchange Act of 1934 that total 6,000,000 shares of the issuer's common stock, \$0.001 par value per share. 20% of the restricted shares vest on August 5, 2011 and an additional 20% vest on each of August 5, 2012, August 5, 2013, August 5, 2014, and August 5, 2015.
- (2) The Series A-1 Preferred Stock is convertible into common stock of the issuer at any time on a fifty-for-one basis and has no expiration

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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