GREEN STEPHEN L

Form 4 January 09, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

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| <ol> <li>Name and Add</li> <li>Green, Stephen</li> </ol> |   |                                | ne <b>and</b> Ticl<br><b>Company</b> |      | Pe  | 6. Relationship of Reporting<br>Person(s)<br>to Issuer (Check all applicable) |                      |   |         |                               |  |
|--|---|--------------------------------|--------------------------------------|------|---|---|----------------------|---|---------|-------------------------------|--|
| (Last)  105 Rowayton A                                   | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) |                                |                                      |      |   | tatement for<br>hth/Day/Year<br>16/03   | 10                   | X Director  10% Owner  Officer (give title below)  Other (specify below)  |         |                               |  |
| Rowayton, CT (   |   |                                |                                      |      | Date  | Amendment,<br>e of Original<br>nth/Day/Year)                                  | (C<br><u>X</u><br>Pe | 7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |         |                               |  |
| (City)   | (State)   | (Zip)                          | T                                    | able | I Non-D                                     | erivati   | ve Seci              | rities Acquired,  | Dispose | ed of, or Benef               | icially Owned                          |
| 1. Title of<br>Security<br>(Instr. 3)                    | 2. Trans-<br>action   | 2A. Deemed Execution Date,     | 3. Transaction C                     | Code | 4. Securitie<br>(A) or Disp<br>(Instr. 3, 4 | es Acqu<br>oosed o  | iired                | 5. Amount of Securities Beneficially  | •       | 6. Owner-<br>ship Form:       | 7. Nature of<br>Indirect<br>Beneficial |
|  | (Month/ Day/<br>Year)   | if any<br>(Month/Day/<br>Year) | Code                                 | V    | Amount                                      | (A)<br>or<br>(D)  | Price                | Owned Following Reported Transactions(s) (Instr. 3 & 4)   |         | or Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4)                |
| Common Stock   | 01/06/03  |                                | A                                    | V    | 276   | A   | 0(1)                 |   | 17,941  | D                             |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### **FORM 4 (continued)** Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g. nuts calls warrants ontions convertible securities)

| (e.g., puts, cans, warrants, options, convertible securities) |            |         |           |         |            |                     |                |             |                |           |            |  |  |
|---|------------|---------|-----------|---------|------------|---------------------|----------------|-------------|----------------|-----------|------------|--|--|
| 1. Title of   | 2. Conver- | 3.      | 3A.       | 4.      | 5.         | 6. Date Exercisable | 7. Title and   | 8. Price of | 9. Number of   | 10.       | 11. Natui  |  |  |
| Derivative  | sion or    | Trans-  | Deemed    | Trans-  | Number     | and Expiration      | Amount of      | Derivative  | Derivative     | Owner-    | of Indire  |  |  |
| Security  | Exercise   | action  | Execution | action  | of         | Date                | Underlying     | Security    | Securities     | ship      | Beneficia  |  |  |
|   | Price of   | Date    | Date,     | Code    | Derivati   | MeIonth/Day/        | Securities     | (Instr. 5)  | Beneficially   | Form      | Ownersh    |  |  |
| (Instr. 3)  | Derivative |         | if any    |         | Securition | <b>X</b> ear)       | (Instr. 3 & 4) |             | Owned          | of Deriv- | (Instr. 4) |  |  |
|   | Security   | (Month/ | (Month/   | (Instr. | Acquire    | d                   |                |             | Following      | ative     |            |  |  |
|   |            | Day/    | Day/      | 8)      | (A) or     |                     |                |             | Reported       | Security: |            |  |  |
|   |            | Year)   | Year)     |         | Dispose    | <b>1</b>            |                |             | Transaction(s) | Direct    |            |  |  |

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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|                               |           |  |      |      | f (D)<br>instr.<br>, 4 & |   |              |                         |                 |  |        | (D)<br>or<br>Indirect<br>(I)<br>(Instr. 4) |  |
|-------------------------------|-----------|--|------|------|--------------------------|---|--------------|-------------------------|-----------------|--|--------|--|--|
|                               |           |  | Code | V (A | A) (I                    |   | Exer-cisable | Expira-<br>tion<br>Date |                 | Amount<br>or<br>Number<br>of<br>Shares |        |  |  |
| Non-Qualified<br>Stock Option | \$19.8150 |  |      |      |                          | 0 | 06/30/97     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |
| Non-Qualified<br>Stock Option | \$20.9375 |  |      |      |                          | 0 | 06/30/99     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |
| Non-Qualified<br>Stock Option | \$24.4375 |  |      |      |                          | 0 | 06/30/00     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |
| Non-Qualified<br>Stock Option | \$26.5500 |  |      |      |                          | 0 | 06/29/01     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |
| Non-Qualified<br>Stock Option | \$29.3150 |  |      |      |                          | 0 | 06/30/98     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |
| Non-Qualified<br>Stock Option | \$37.1600 |  |      |      |                          | 0 | 07/01/02     |                         | Common<br>Stock | 15,000                                 | 15,000 | D  |  |

Explanation of Responses:

(1) These are restricted shares issued under Dean's 1997 Stock Option and Restricted Stock Plan in payment of fees owed for services as an independent director. All such shares are subject to a 3-year vesting period, with the first vesting occurring as of the date the shares were earned.

By: /s/ Stephen L. Green

<u>01/08/03</u> Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).