HARDIN JOSEPH S JR

Form 4

January 08, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Add			ne and Ticl Company		Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
				ting	ntification N Person, voluntary)	Number	Mor	tatement for hth/Day/Year 16/03	10 10	X Director			
(Street) Santa Barbara, CA 93108							Date	Amendment, e of Original onth/Day/Year)	(C <u>X</u> Pe	Check Applicate Form filed by erson	One Reporting More than One		
(City)	(State) (Zip)	Table I Non-Derivative S					Securities Acquired, Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction C (Instr. 8 Code	ode	4. Securitie (A) or Disp (Instr. 3, 4 Amount	es Acqu posed o	iired	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form:	7. Nature of Indirect Beneficial		
Common Stock	01/06/03		A	V	296	A	0(1)		10,579	D			
Common Stock									1,400	I	by Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

Table II - Derivative Securities Acquired, Disposed of, or Beneficially FORM 4 (continued)

(e.g., puts, calls, warrants, options, convertible securities)

		(6.5.	, pars, ca	1109 1141		, opnous, converus	me securities)				
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natui
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indire
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficia
,	Price of	Date	Date,	Code	Derivati	(Melonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownersh
(Instr. 3)	Derivative		if any		Securition	X ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
		1	1 '	1 '	1 '	1	1		l l	1 '	1

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: HARDIN JOSEPH S JR - Form 4

		(Month/ Day/ Year)	(Month/ Day/ Year)	(Instr 8)		Acq (A) Disp of (Ins 3, 4	or pose D)					Reported Transaction(s) (Instr. 4)	ative Security: Direct (D) or Indirect (I) (Instr. 4)	
				Code	V	(A)			Expira- tion Date		Amount or Number of Shares			
Non-Qualified Stock Option	\$20.9375							06/30/99		Common Stock	15,000	15,000	D	
Non-Qualified Stock Option	\$24.4375							06/30/00		Common Stock	15,000	15,000	D	
Non-Qualified Stock Option	\$26.5500							06/29/01		Common Stock	15,000	15,000	D	
Non-Qualified Stock Option	\$29.3150							06/30/98		Common Stock	15,000	15,000	D	
Non-Qualified Stock Option	\$37.1600							07/01/02 <u>(2)</u>		Common Stock	15,000	15,000	D	

Explanation of Responses:

(1) These are restricted shares issued under Dean's 1997 Stock Option and Restricted Stock Plan in payment of fees owed for services as an independent director. All such shares are subject to a 3-year vesting period, with the first vesting occurring as of the date the shares were earned. (2) All the options listed on this Table II were granted under the Issuer's Amended and Restated Stock Option and Restricted Stock Plan, and are fully vested and immediately exercisable upon grant.

By: /s/ Joseph S. Hardin, Jr.

 $\underline{01/08/03}$

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).