Edgar Filing: English Frank E. Jr. - Form 4

Form 4	Jr.										
March 04, 2019	_										
FORM 4	UNITED	STATES	SECU	RITIES A	AND EX	CHANGI	E COMMISSIO		PPROVAL		
Washington, D.C. 20549						Number:	3235-0287				
Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							Estimated burden hou response	Estimated average burden hours per response 0.5		
(Print or Type Respo	onses)										
1. Name and Address of Reporting Person <u>*</u> English Frank E. Jr.			2. Issuer Name and Ticker or Trading Symbol Tower International, Inc. [TOWR]			5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Middle)	3. Date of Earliest Transaction			(Che	(Check all applicable)				
C/O TOWER INTERNATIONAL, INC., 17672 LAUREL PARK DR. NORTH, SUITE 400E			(Month/Day/Year) 02/28/2019			X_Director10% Owner Officer (give titleOther (specify below) below)					
(Street) 4. If Amendment, Filed(Month/Day/Y								erson			
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned		
	ransaction Date nth/Day/Year)	Execution any	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Report or	n a separate line	e for each cl	ass of sec	urities bene	Perso inform requir	ns who re nation con red to resp ays a curre	or indirectly. spond to the colle tained in this form ond unless the fo ently valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					posed of, or convertible	Beneficially Owner securities)	d			
1. Title of 2.	3. Tra	unsaction Da	te 3A. D	Deemed	4.	5. Numl	ber 6. Date Exerc	isable and 7.7	Fitle and Amount of 8. F		

Conversion (Month/Day/Year) Execution Date, if Transaction Derivative Expiration Date

Derivative

Underlying Securities Deri

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)		Secu (Inst
				Code V	(A) (D)) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/28/2019		Α	18.26	<u>(1)</u>	<u>(1)</u>	Common Stock, Par Value \$0.01 Per Share	18.26	\$
Restricted Stock Units	<u>(3)</u>	02/28/2019		А	18.46	(3)	(3)	Common Stock, Par Value \$0.01 Per Share	18.46	\$
Restricted Stock Units	<u>(4)</u>	02/28/2019		A	20.6	<u>(4)</u>	(4)	Common Stock, Par Value \$0.01 Per Share	20.6	\$
Restricted Stock Units	<u>(5)</u>	02/28/2019		Α	16.7	(5)	(5)	Common Stock, Par Value \$0.01 Per Share	16.7	\$
Restricted Stock Units	<u>(6)</u>	02/28/2019		A	19.39	<u>(6)</u>	<u>(6)</u>	Common Stock, Par Value \$0.01 Per Share	19.39	\$

Reporting Owners

Reporting Owner Name / Address	Relationships				
Reporting Owner Funite / Address	Director	10% Owner	Officer	Other	
English Frank E. Jr. C/O TOWER INTERNATIONAL, INC. 17672 LAUREL PARK DR. NORTH, SUITE 400E LIVONIA, MI 48152	Х				

Signatures

/s/ Peter Ehrenberg, Attorney-in-Fact

03/04/2019 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These restricted stock units ("RSUs") result from dividend equivalent units accrued as additional RSUs on 2014 RSU award. Each RSU represents a contingent right to receive one share of the common stock, par value \$0.01 per share (the "Common Stock"), of Tower

- (1) International, Inc. (the "Company"). RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- (2) Represents total vested RSUs associated with original award that have not yet settled, including vested but unsettled RSUs accrued in respect of dividend equivalent units.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2015 RSU award. Each RSU represents a contingent(3) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.
- These RSUs result from dividend equivalent units accrued as additional RSUs on 2016 RSU award. Each RSU represents a contingent
 (4) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.

These RSUs result from dividend equivalent units accrued as additional RSUs on 2017 RSU award. Each RSU represents a contingent
(5) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.

These RSUs result from dividend equivalent units accrued as additional RSUs on 2018 RSU award. Each RSU represents a contingent(6) right to receive one share of the Company's Common Stock. RSUs resulting from dividend equivalents vest and settle, at the same time and on the same terms and conditions, with the underlying RSUs to which they relate. There is no expiration date.

(7) Represents total unvested RSUs associated with original award, including unvested RSUs accrued in respect of dividend equivalent units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.