Kunkle Lisa K. Form 4 February 13, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporti Kunkle Lisa K.	ng Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol POLYONE CORP [POL]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First)	(Middle)	3. Date of Earliest Transaction	, 11			
POLYONE CENTER, 33587 WALKER ROAD		(Month/Day/Year) 02/10/2019	Director 10% OwnerX Officer (give title Other (specify below)  SVP Gnrl Counsel & Secretary			
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	<ul><li>6. Individual or Joint/Group Filing(Ch Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>			
AVON LAKE, OH 44012			Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secui	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/10/2019(1)		M	3,800	A	(2)	65,489 <u>(3)</u>	D	
Common Stock	02/11/2019		F	1,303	D	\$ 31.49	64,186	D	
Common Stock							1,824.8661	I	Savings Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	o N o
Restricted Stock Units	<u>(2)</u>	02/10/2019(1)		M		3,800	02/10/2019	02/10/2019	Common Stock	
Restricted Stock Units	<u>(2)</u>	02/11/2019		A	6,150		02/11/2022	02/11/2022	Common Stock	
Stock Appreciation Rights	\$ 31.54	02/11/2019		A	19,250		<u>(5)</u>	02/11/2029	Common Stock	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kunkle Lisa K. POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012

SVP Gnrl Counsel & Secretary

### **Signatures**

/s/ Lisa K. 02/13/2019 Kunkle

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As the vesting date fell on a weekend, the vesting transaction was executed on the following business day, February 11, 2019.
- (2) Each restricted stock unit represents a contingent right to receive one share of PolyOne common stock.
- (3) Includes dividend equivalents earned with respect to the vested restricted stock units.

The information in this report is based on a PolyOne Retirement Savings Plan statement as of January 31, 2019. PolyOne common shares are held in a unitized fund that consists of stock and cash. The number of shares and the amount of cash may fluctuate daily depending on plan level activity in the fund.

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(5) SARs become exercisable and vest only upon the achievement of both price and time requirements. To vest, each one-third of the grant must attain 10%, 15% and 20% stock appreciation, respectively (which must be maintained for a minimum of thirty consecutive trading days) from the grant date closing price of \$31.54 per share and no more than one-third of the grant can vest per year during the first three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.